



UNIVERSITY
of VIRGINIA

SCHOOL *of* ENGINEERING
& APPLIED SCIENCE

Ballistic thermal injection: controlling electron-phonon scattering at interfaces for long-lived plasmonic modulation



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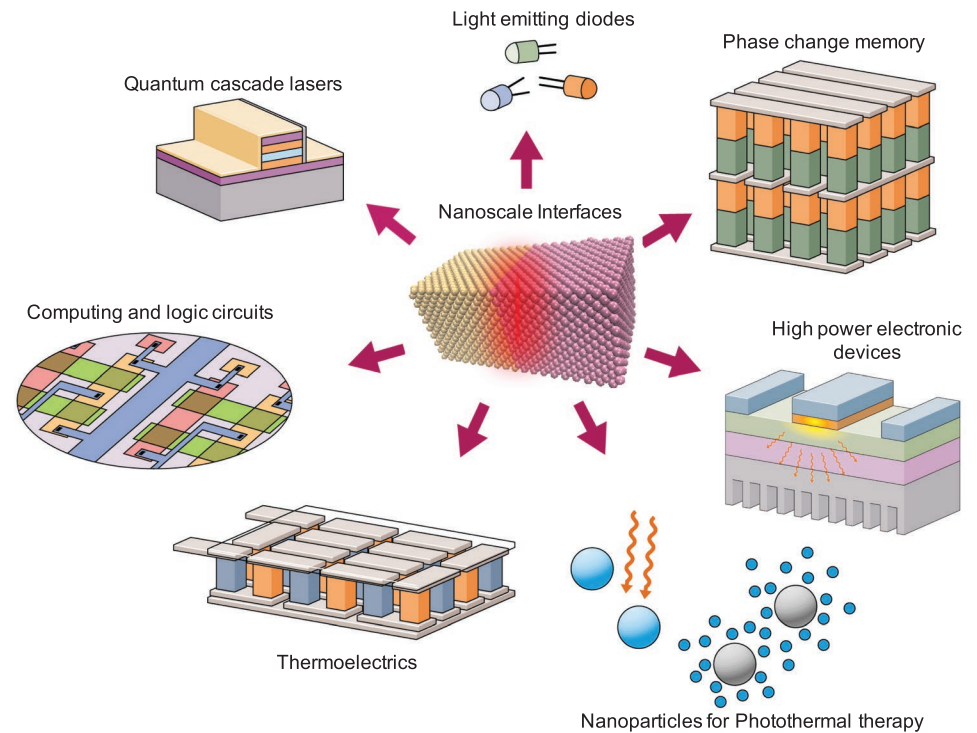
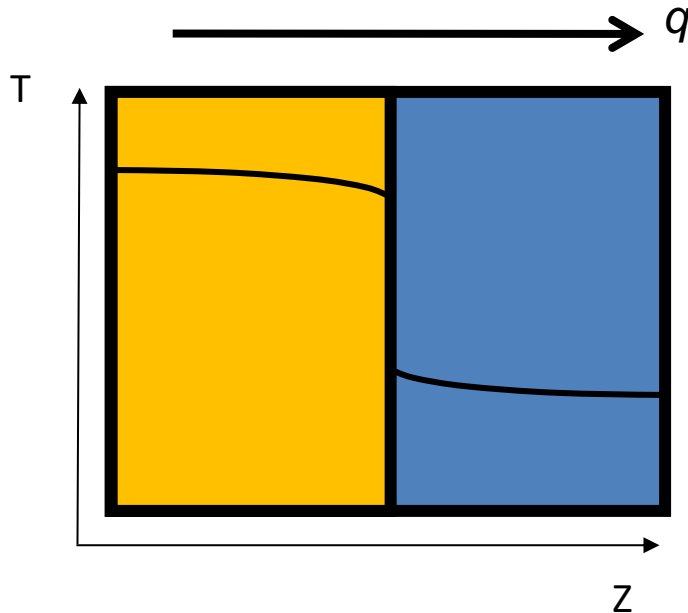


Prof. Josh
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ME/EE,
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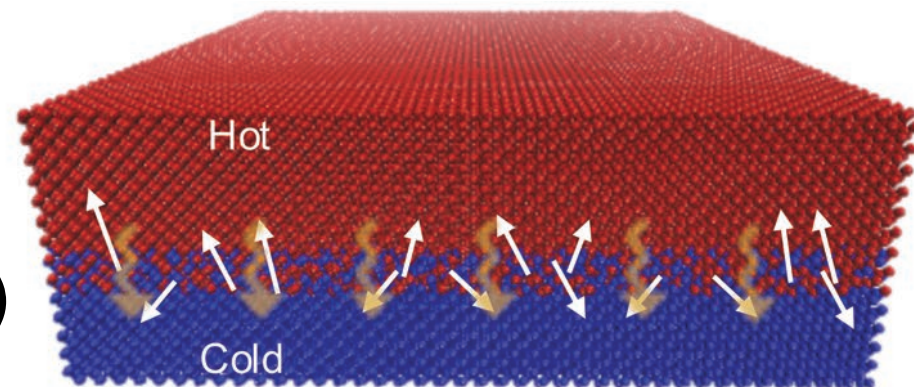
Interfacial heat transport : Thermal boundary conductance

$$q = h_K \Delta T = \frac{1}{R_K} \Delta T$$



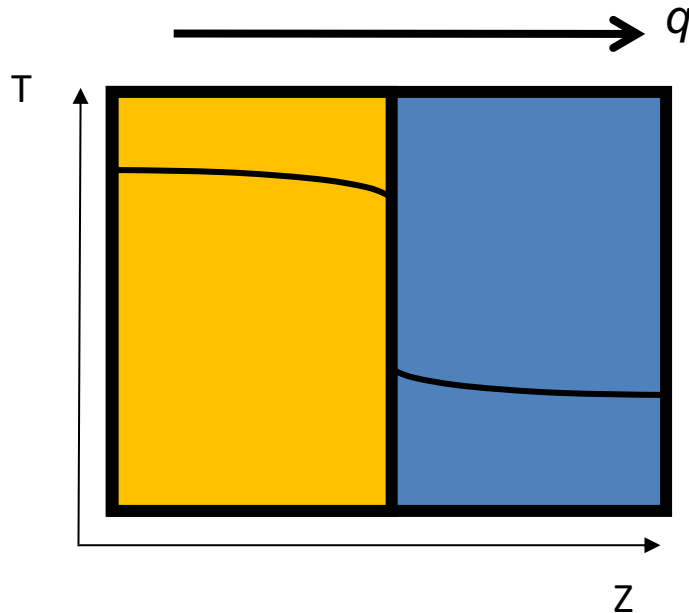
Recent Review

Advanced Functional Materials **30**, 1903857 (2020)



Interfacial heat transport : Thermal boundary conductance

$$q = h_K \Delta T = \frac{1}{R_K} \Delta T$$



Recent Review

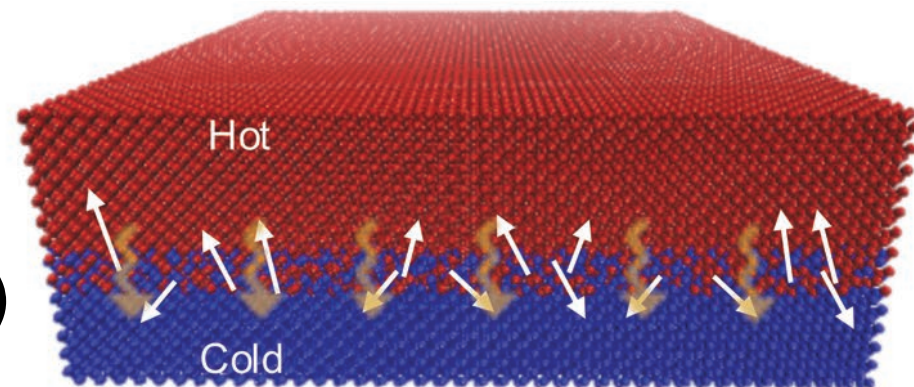
Advanced Functional Materials **30**, 1903857 (2020)

Kapitza Length

$$L_K = \frac{\kappa}{h_K}$$

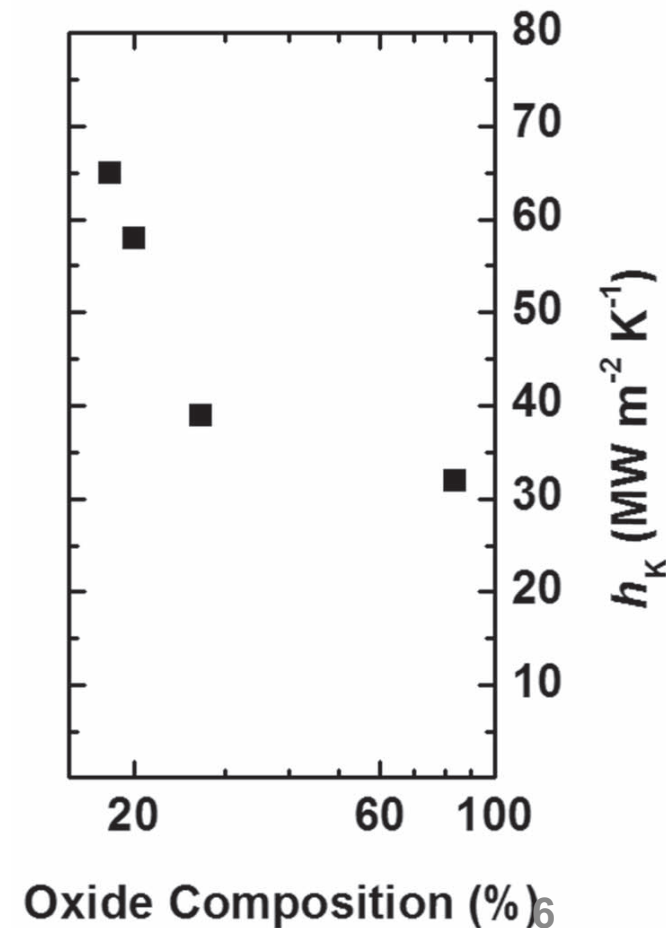
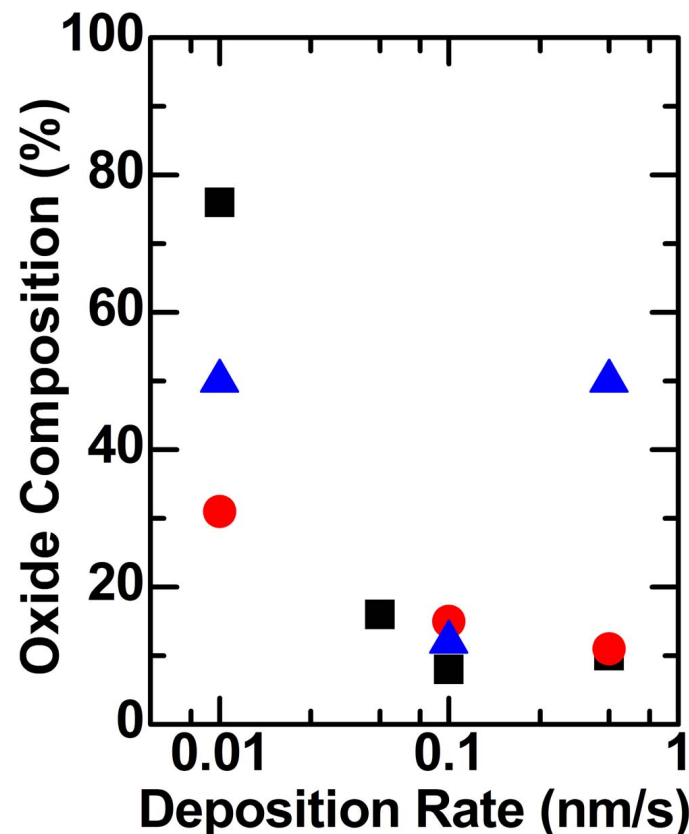
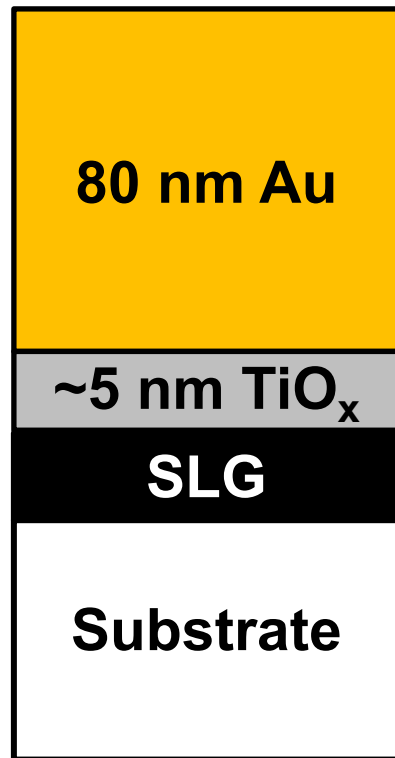
$$\kappa \sim 1 - 100 \text{ W m}^{-1} \text{ K}^{-1}$$

$$L_K \sim 10 \text{ nm} - 1 \text{ }\mu\text{m}$$



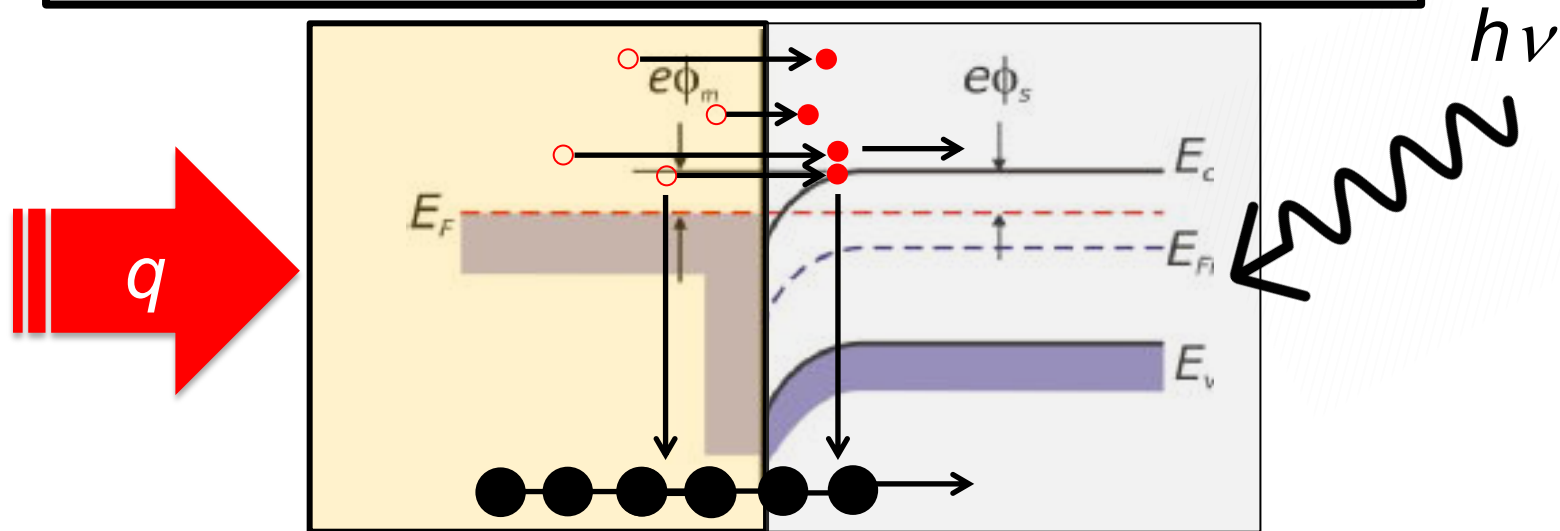
Chemistry & TBC at metal/non-metal interfaces (phonons)

Au/TiO_x/substrate with varying “x” (oxygen stoichiometry) to control thermal boundary conductance



Interfacial heat transport : Thermal boundary conductance

But what are the electron-phonon heat transport mechanisms at interfaces?



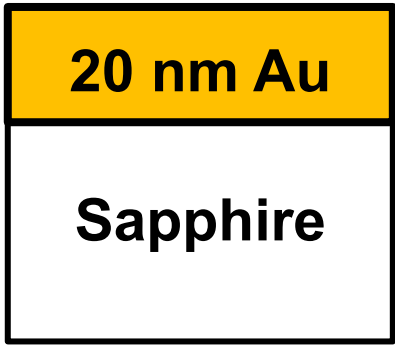
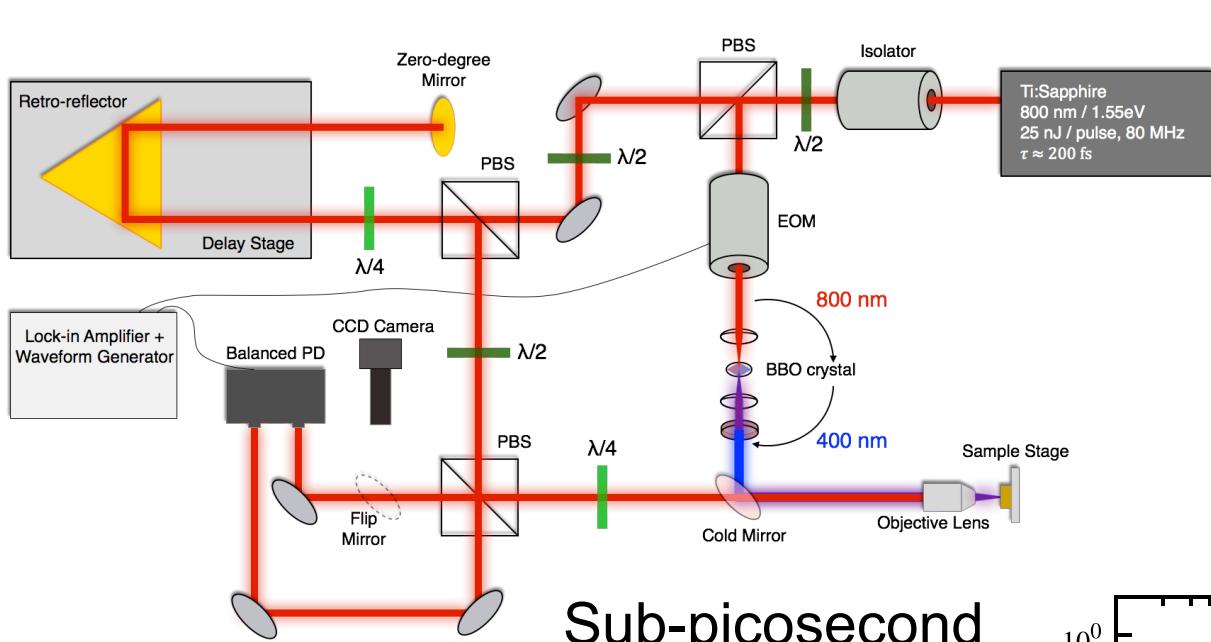
1. Electron energy transmission across metal/metal interfaces and electron-phonon coupling

Ex: Au/Ti adhesion layer/insulating substrate

2. Electron energy transmission across metal/non-metal interfaces to control carrier densities in non-metals

Ex: Au/doped CdO to control ultrafast plasmonic absorption in CdO

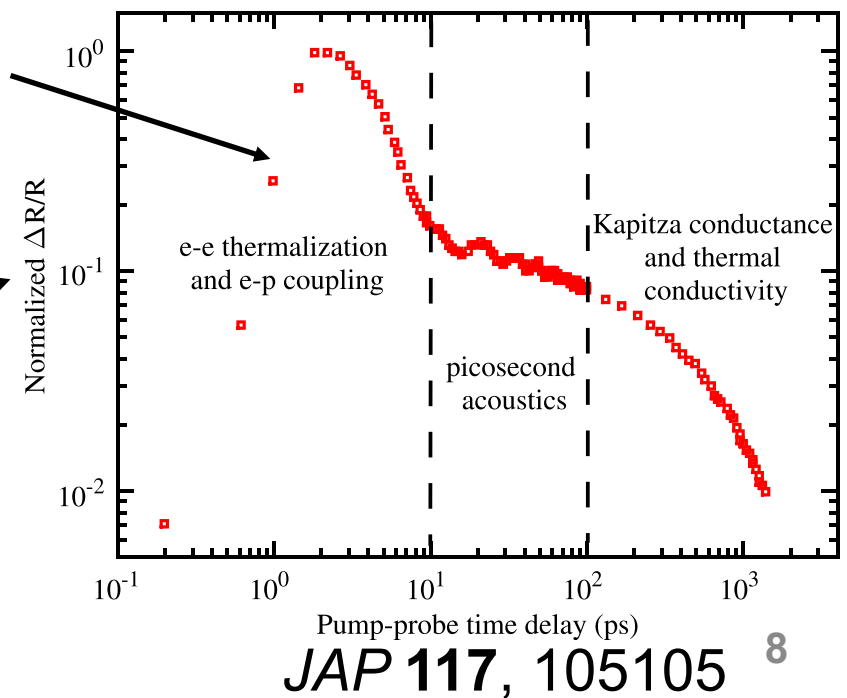
Ultrafast pump-probe to measure EP coupling



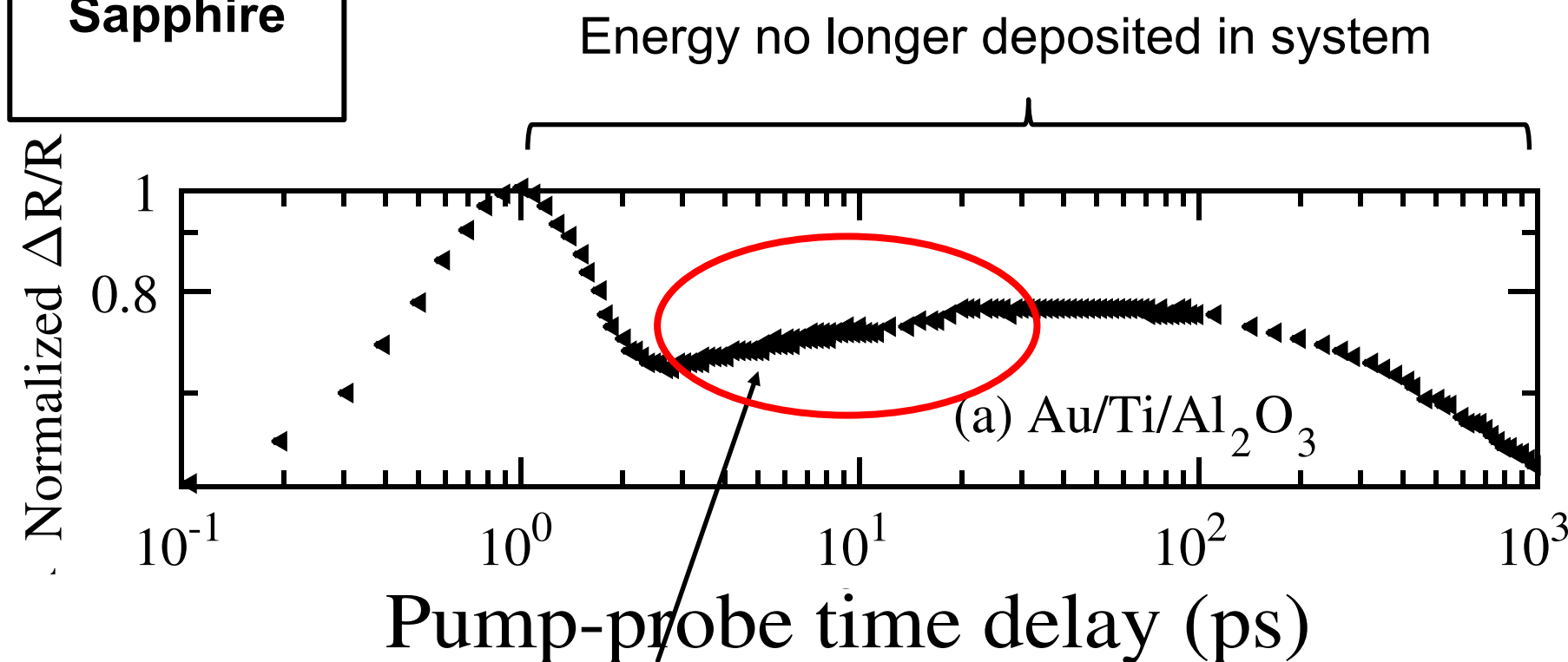
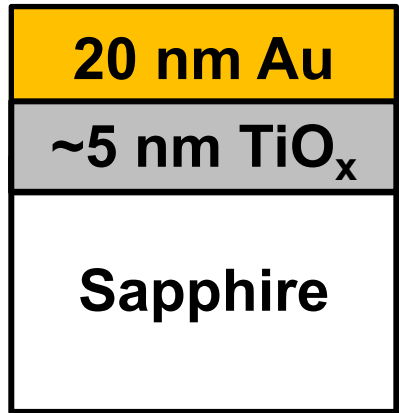
Energy no longer deposited in system

Sub-picosecond heat event

Directly related to temperature
(advantage of probing metal)
ACS Photonics **5**, 4880



Ultrafast pump-probe to measure EP coupling

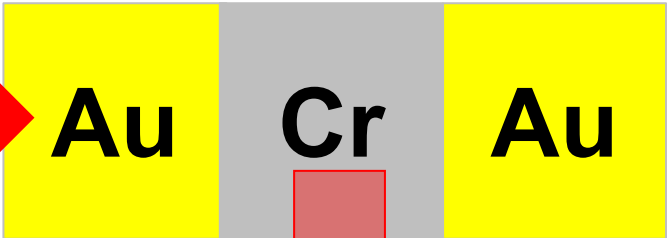


So why does temperature at surface increase when no energy is deposited in the system?

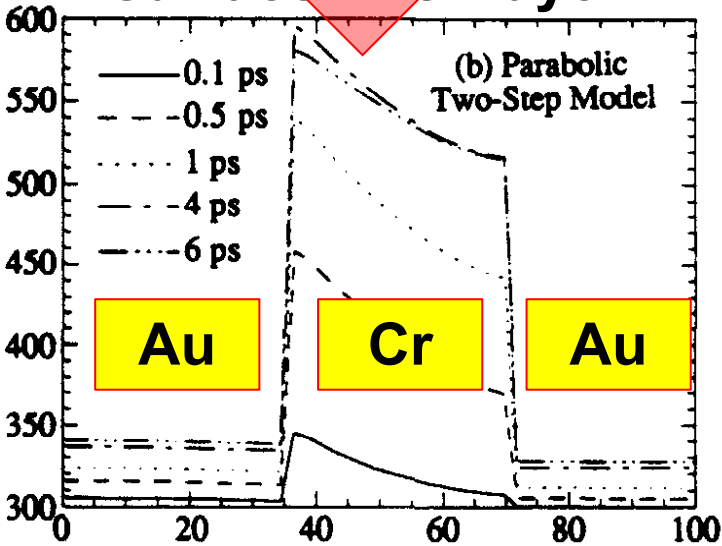
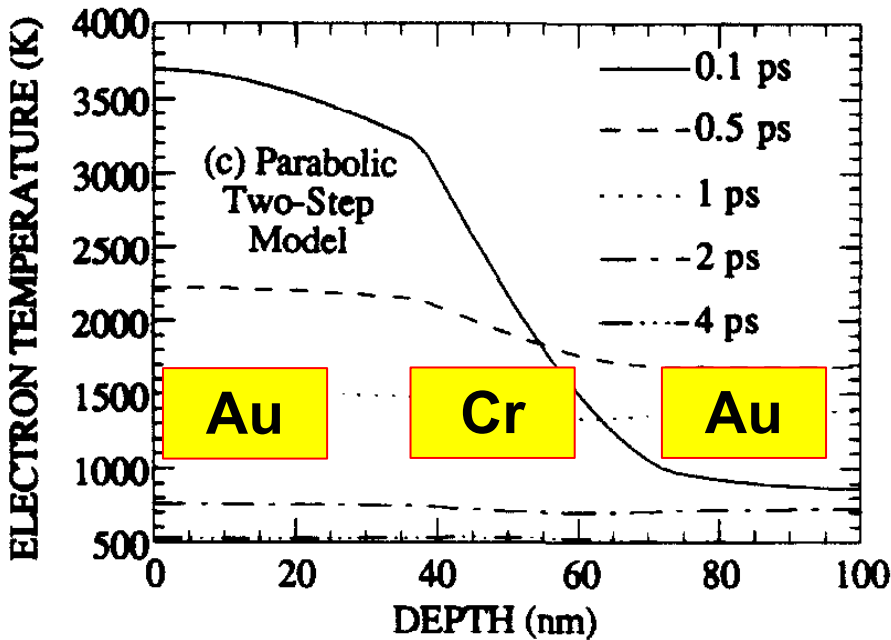
Electron-phonon interactions at metal/metal interfaces

Recall seminal predictions by Tien
Qiu and Tien, *IJHMT*
37, 2789 (1994)

Laser
excites Au
surface



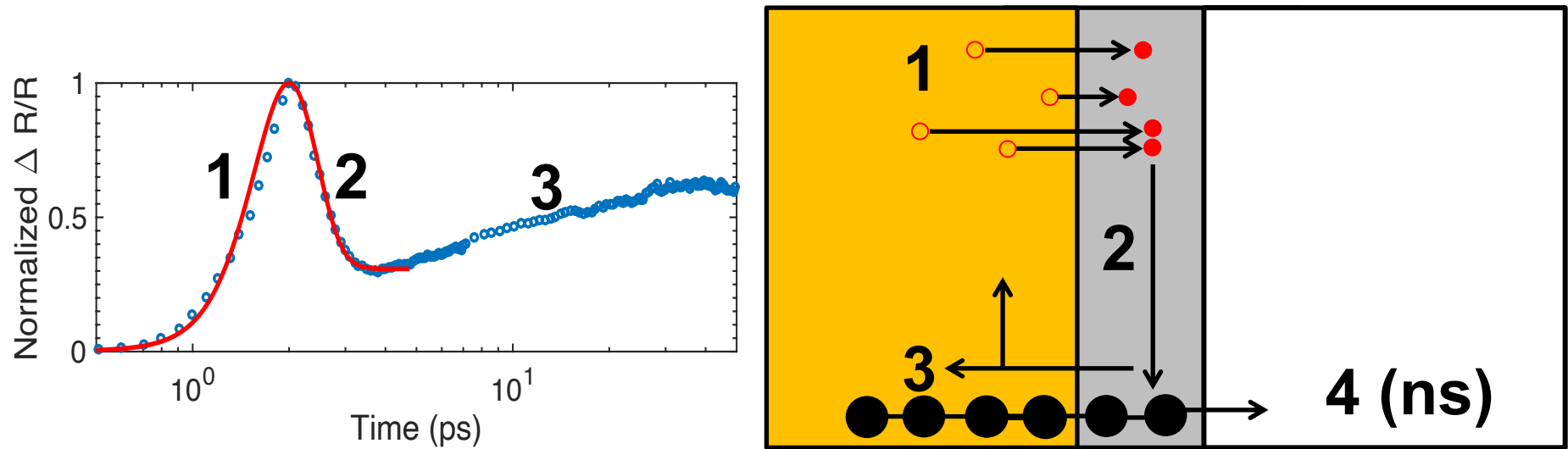
Lattice heats up under
surface in Cr layer



Au lattice slowly responds 10

Ballistic thermal injection

- Excited electrons in metal from pulse do not thermalize with lattice and deposit their energy to lattice in sub-surface layer
- Ballistic transport of electron energy through gold into titanium

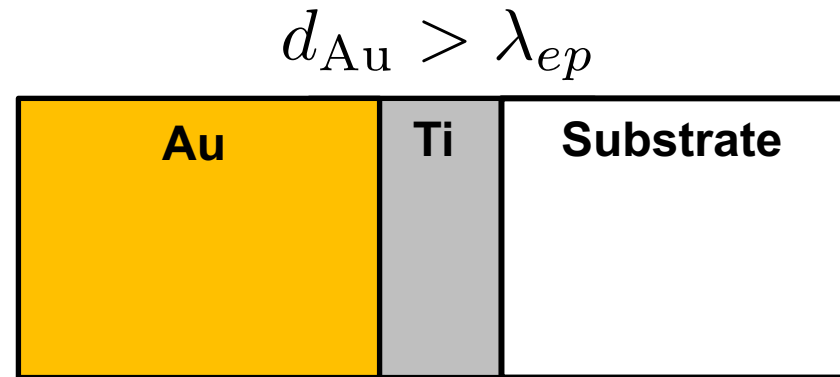
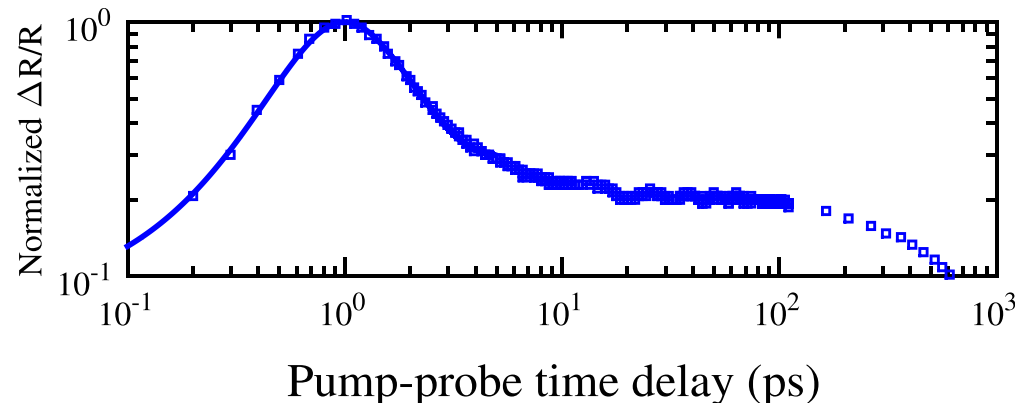
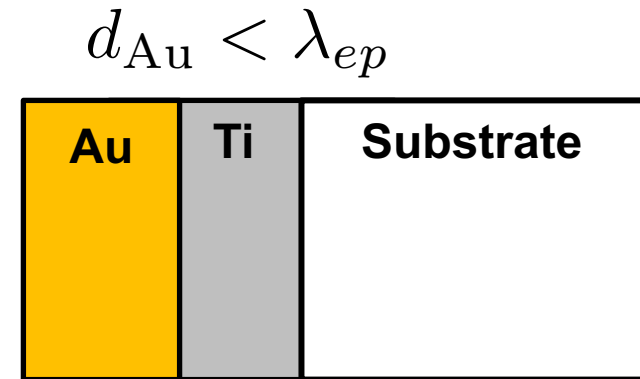
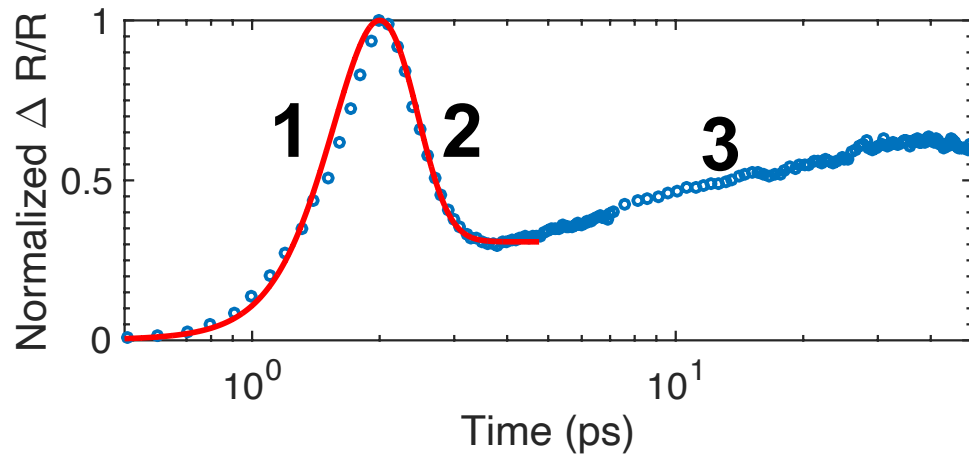


When would we see this effect?

1. Metal/metal or metal/non-metal interfaces with large differences in electron-phonon coupling factor
2. Films with thicknesses less than electron-phonon mean free path
3. Interfaces with very little electron-electron thermal resistance

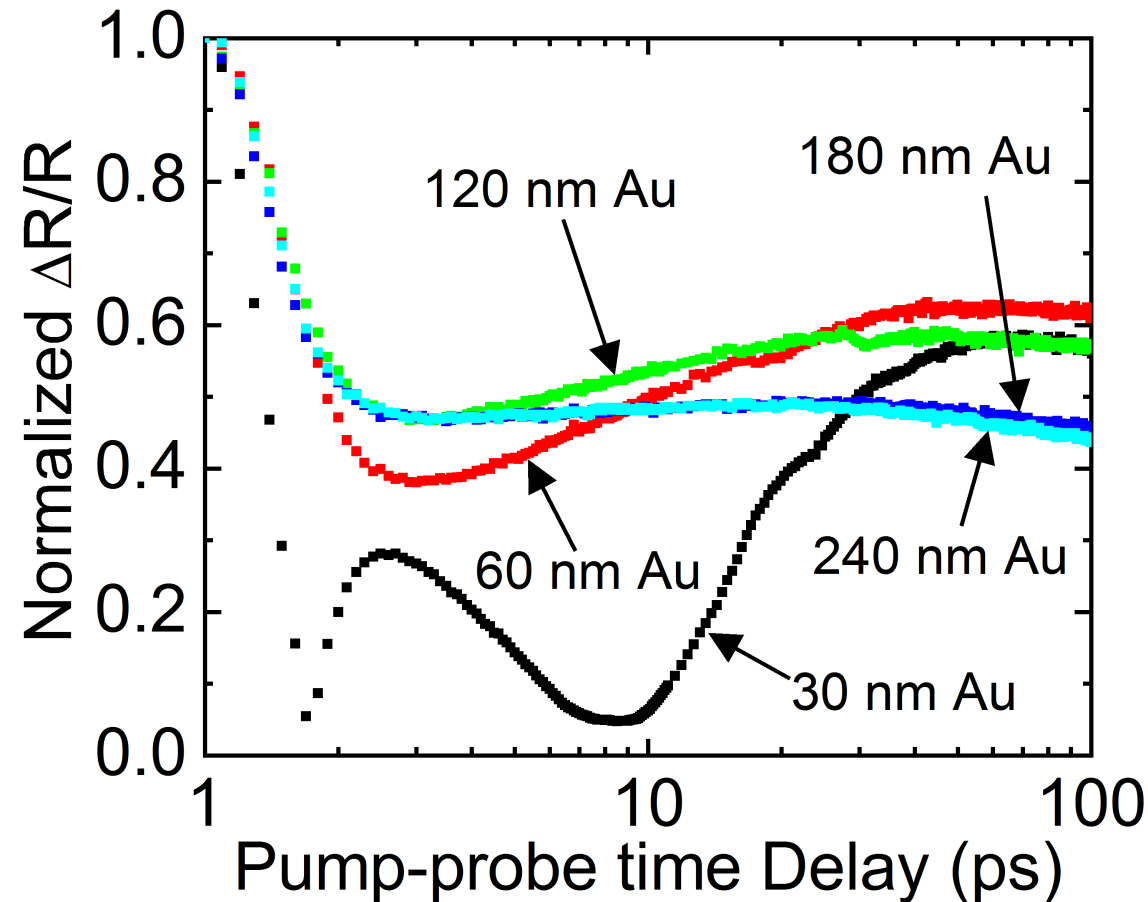
TDTR measurements of time scales of noneq. transport

Hypothesis: If Au thickness (d_{Au}) is thicker than electron-phonon mean free path (λ_{ep}), nonequilibrium at interface will be negligible and “back heating” (time regime 3) will not be observed



Ballistic electron-phonon mean free path in gold

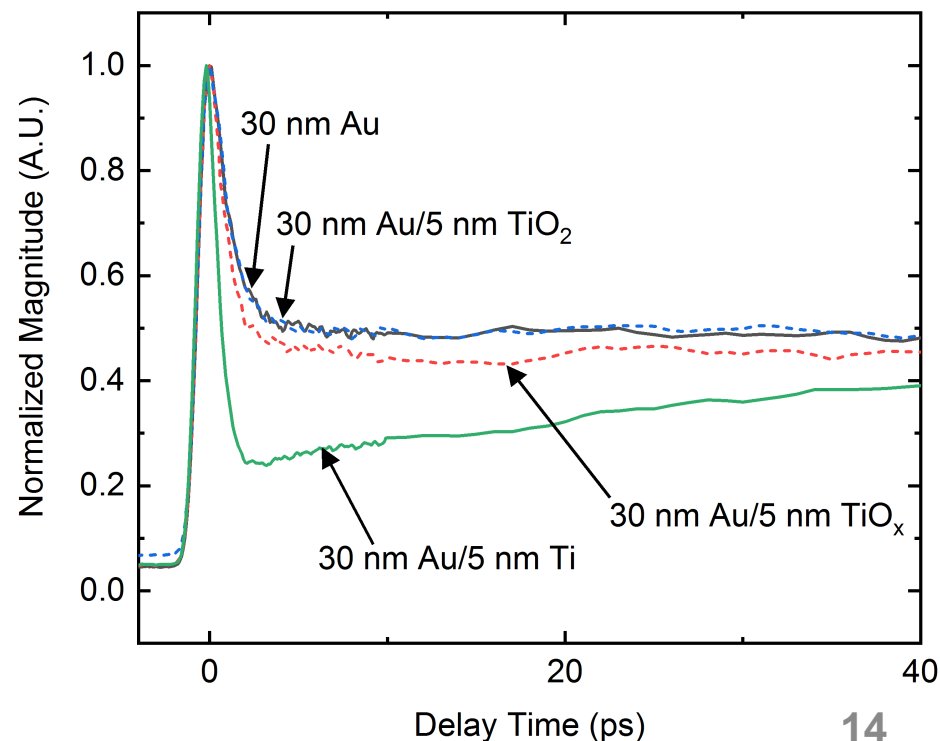
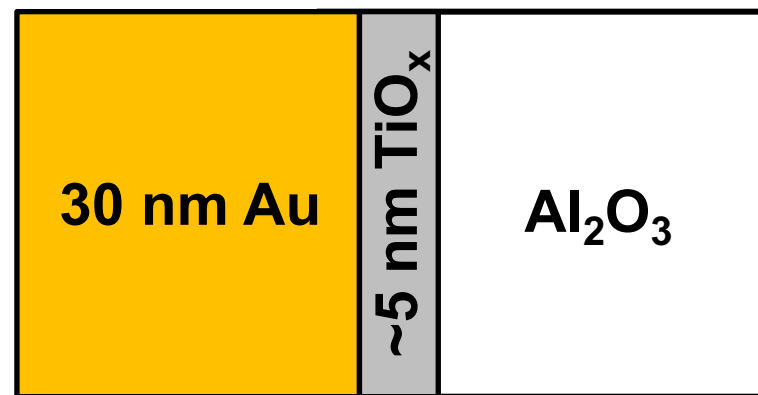
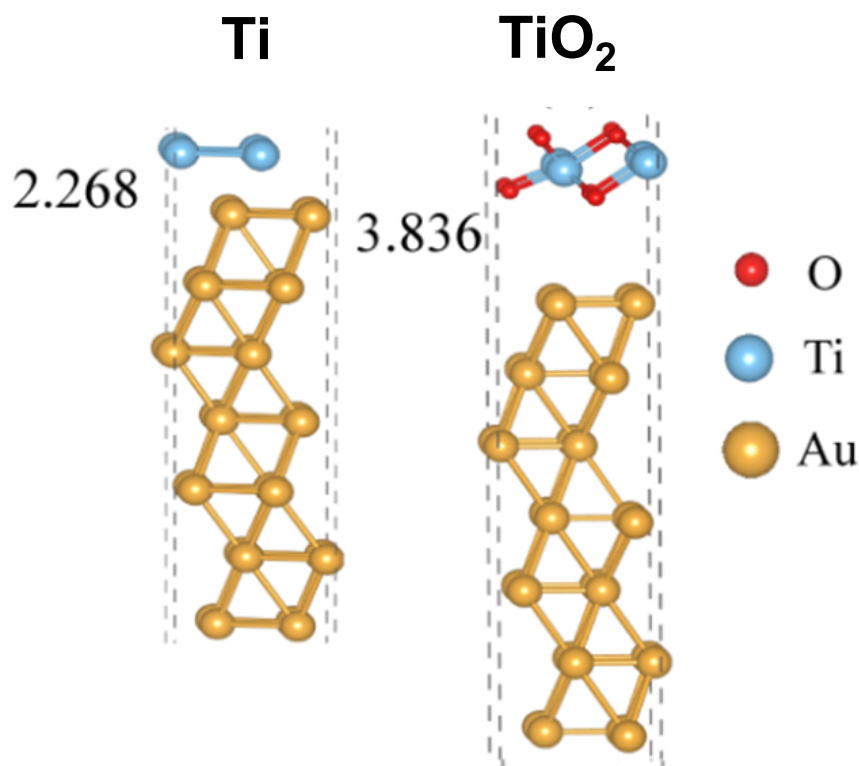
Hypothesis: If Au thickness (d_{Au}) is thicker than electron-phonon mean free path (λ_{ep}), nonequilibrium at interface will be negligible and “back heating” (time regime 3) will not be observed



$$\lambda_{\text{ep,Au,calc}} \approx \sqrt{\frac{\kappa_{\text{electron}}}{G}} \\ \approx 79 - 117 \text{ nm} \\ \lambda_{\text{ep,Au,meas}} \approx 90 - 130 \text{ nm}$$

Bonding at metal/metal interfaces controls heat injection

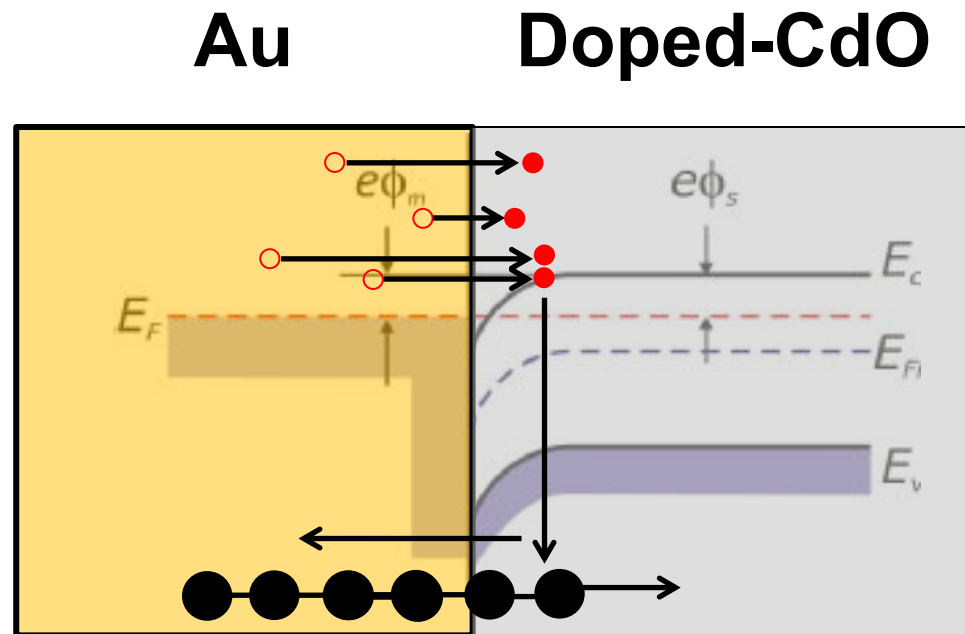
- Electron injection from Au to Ti needed to observed “back heating”
- Ti oxygen stoichiometry impacts electron injection to Ti



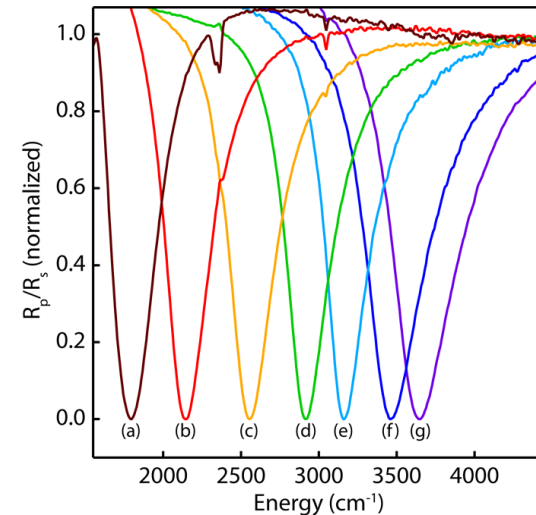
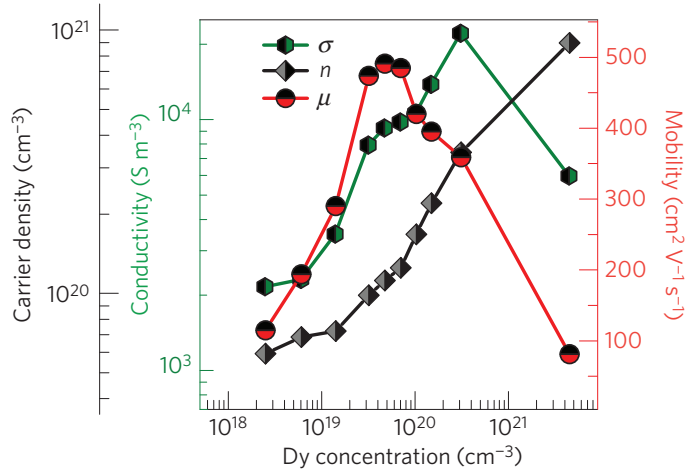
Nonequilibrium at metal/doped non-metal interfaces

- Consider ohmic contact between metal and doped non-metal
- Vary carrier concentration in non-metal

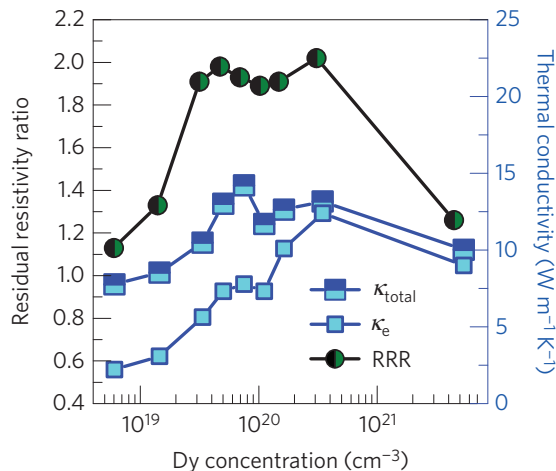
- Electron injection from Au to interfacial layer must occur to observe “back heating” effect
- Will not occur when interface is insulating



CdO – a gateway for mid-IR plasmonics



ACS Photonics **4**, 1885



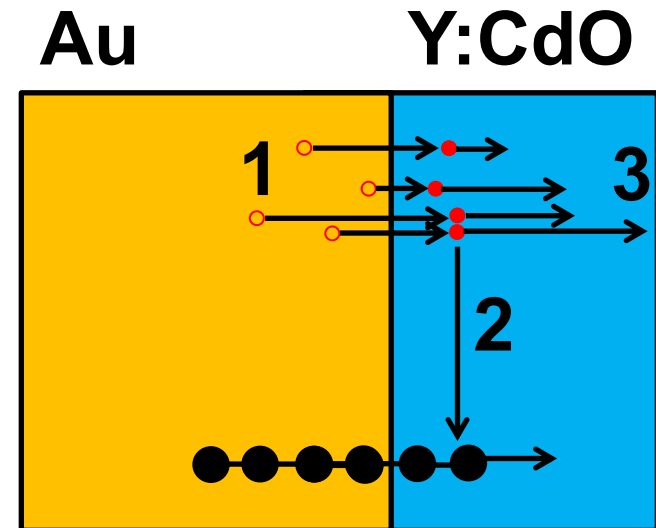
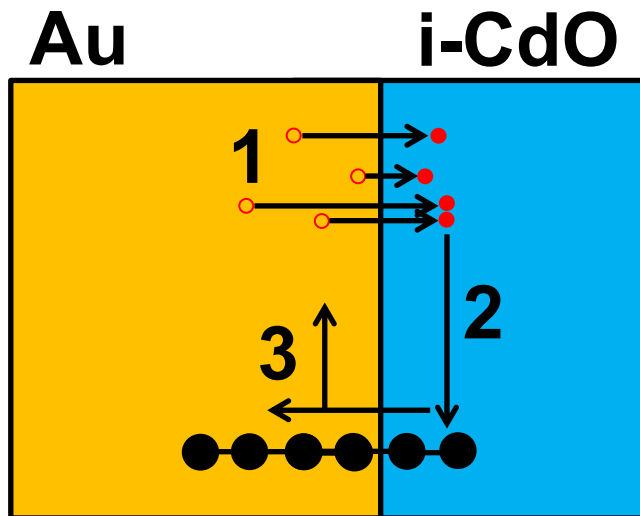
- Large electron mobility in CdO results in large electronic thermal conductivity
- Doping concentration tunes electronic conductivity and IR absorption

Nat. Mat. **14**, 414

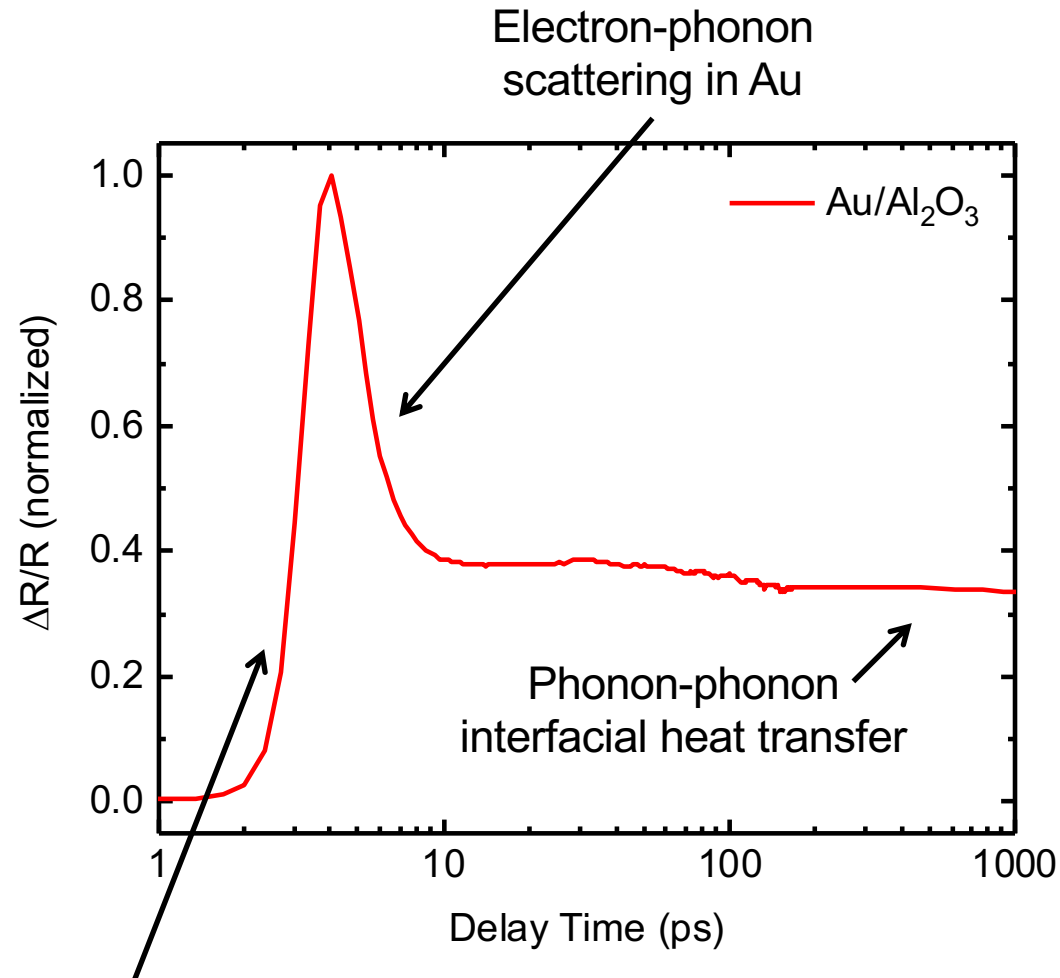
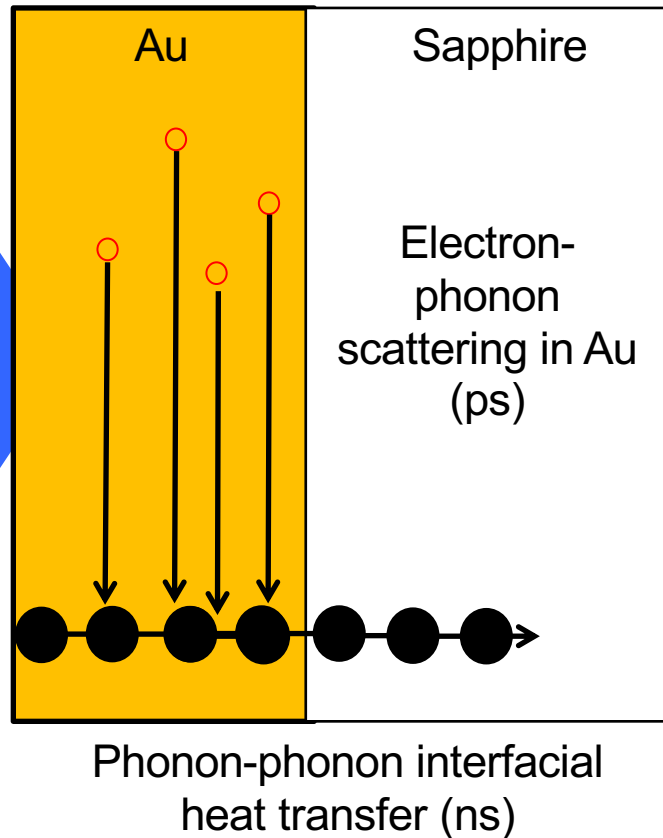
Appl. Phys. Lett. **108**, 021901

Nonequilibrium processes at Au/CdO interfaces

Doping will control electron-electron TBC
and electron thermal conductivity in CdO,
vary “back heating”

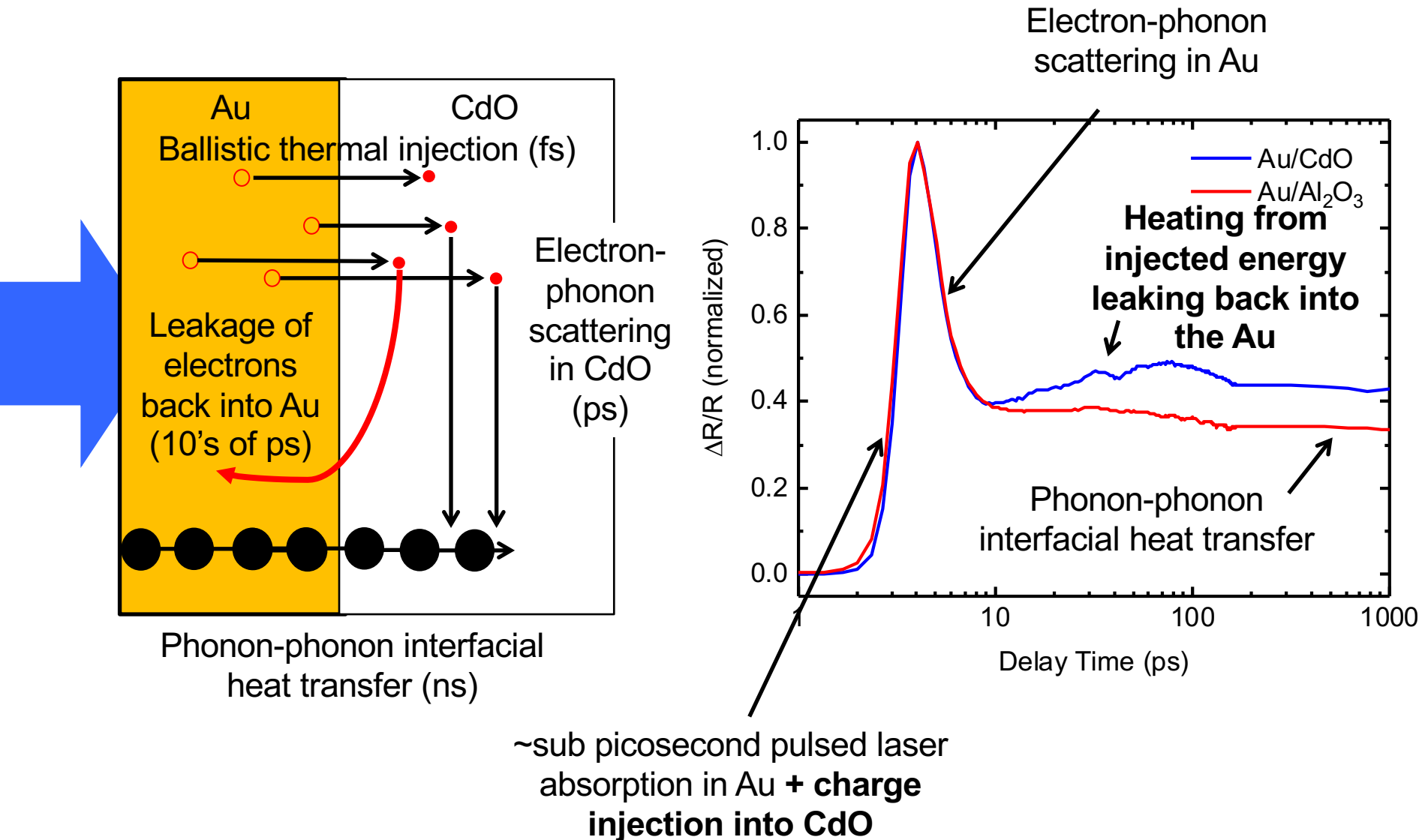


Nonequilibrium processes at Au/CdO interfaces



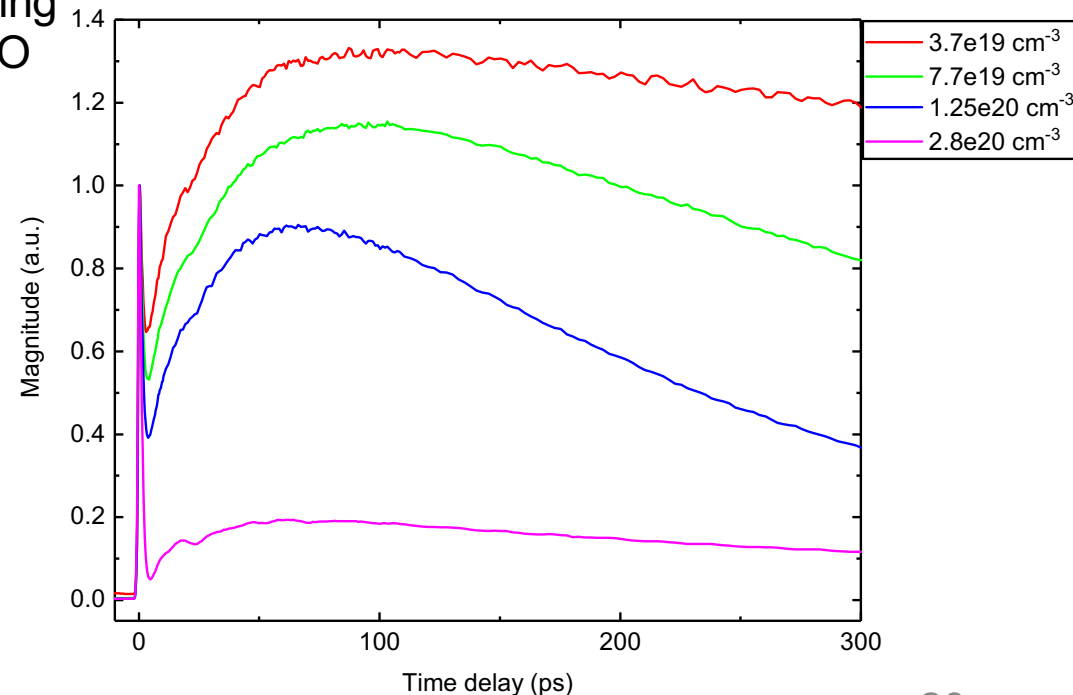
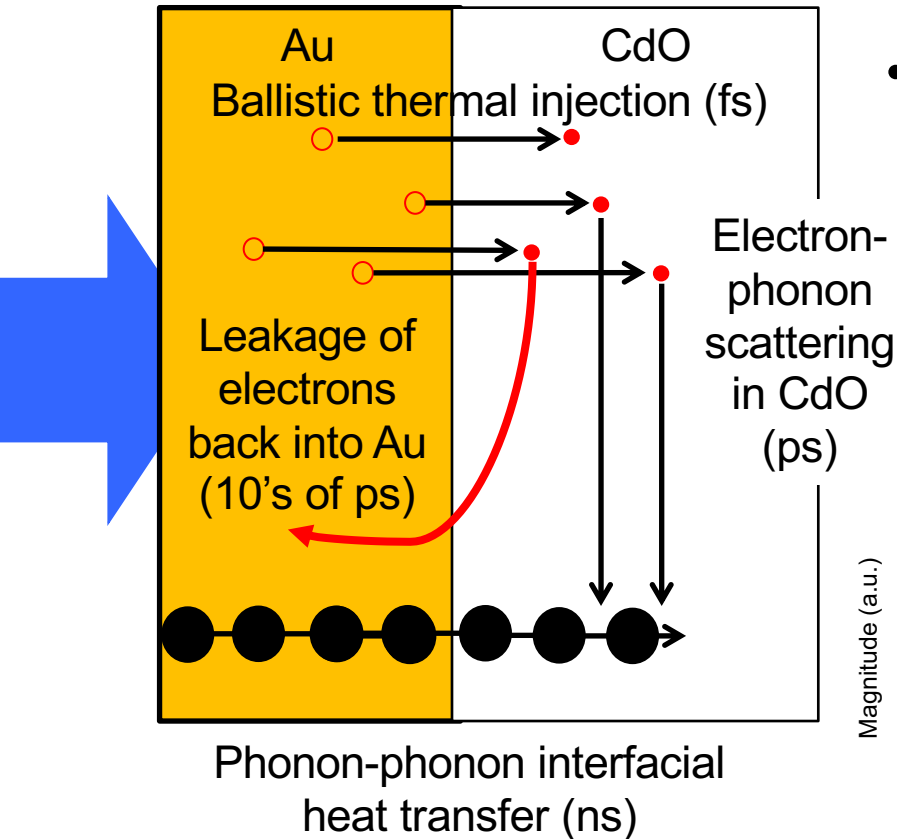
~sub picosecond pulsed laser absorption in Au

Nonequilibrium processes at Au/CdO interfaces



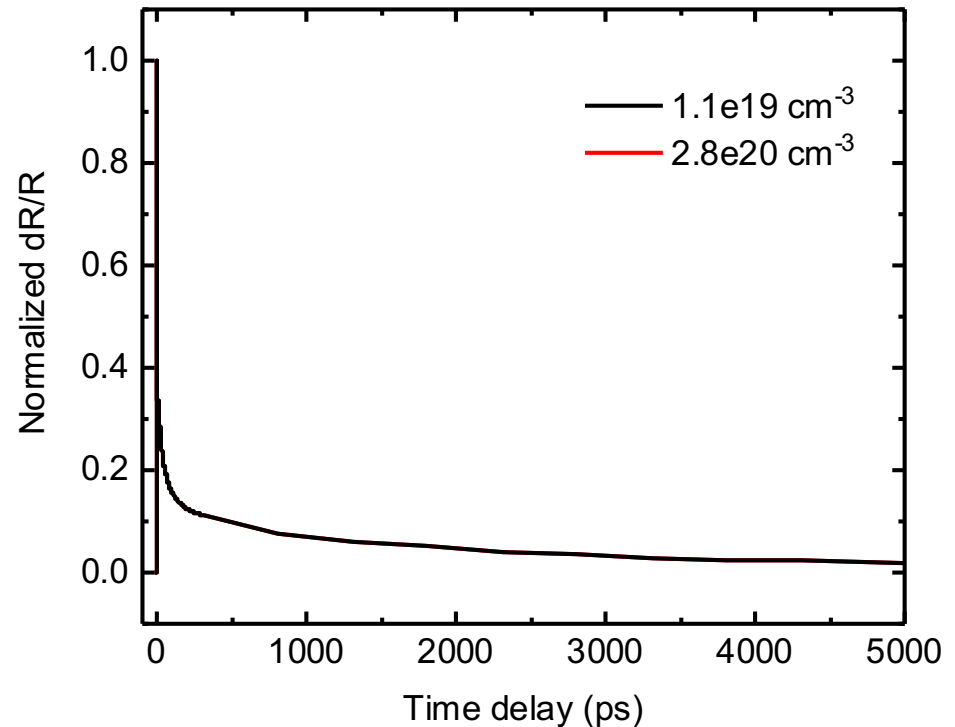
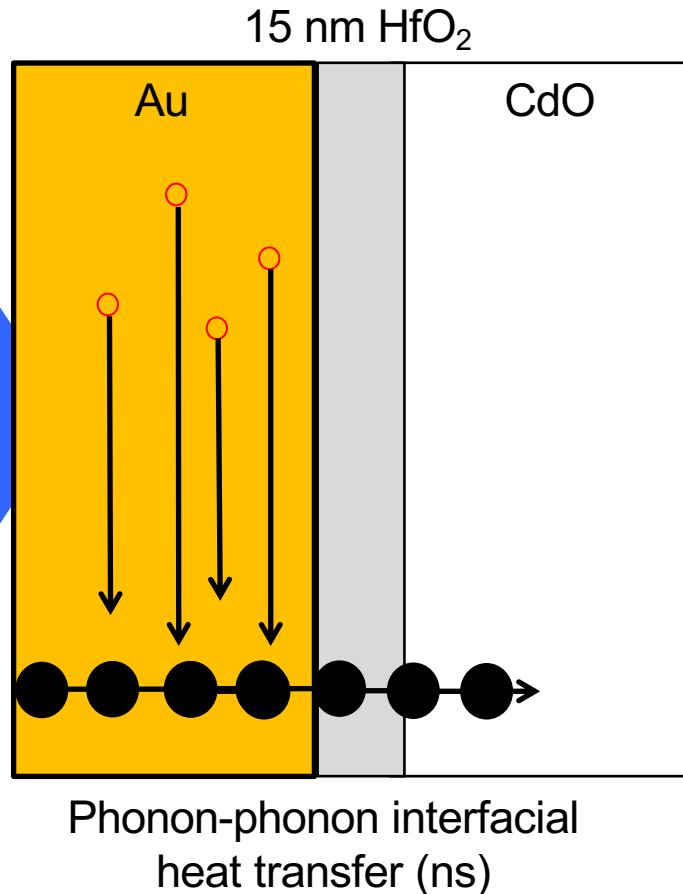
Nonequilibrium processes at Au/CdO interfaces

- Doping of CdO dictates amount of “electron leakage” back into Au
- Lower resistivity + higher e-ph coupling in CdO, less electronic back heating into gold contact



Nonequilibrium processes at Au/CdO interfaces

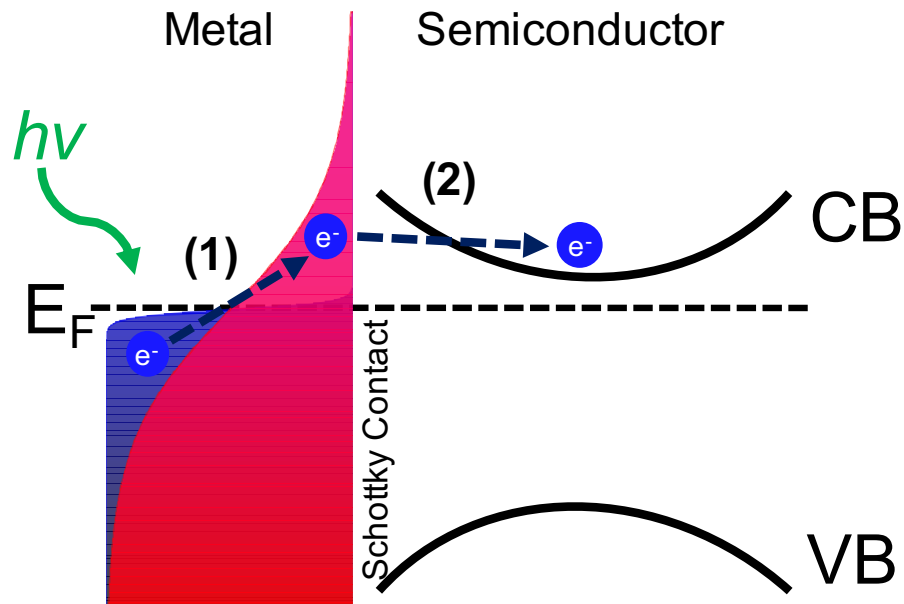
- Transparent buffer layer stops ballistic electrons, but allows light to transmit
- No back-heating observed for any dopant concentration!



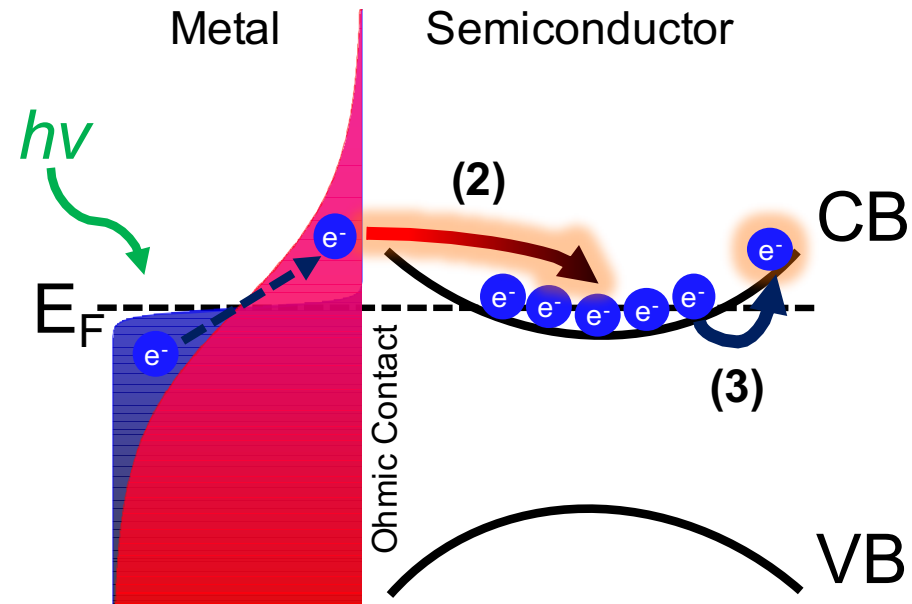
Ballistic thermal injection

- Can enable a “transient thermal diode” effect
- Energy easily transmitted across interface when traveling ballistically
- Slowly “goes back” across the interface when diffusive
- Is this just hot electron injection (charge)?
 - Too slow of process
 - Can further rule this out by monitoring CdO plasmon response

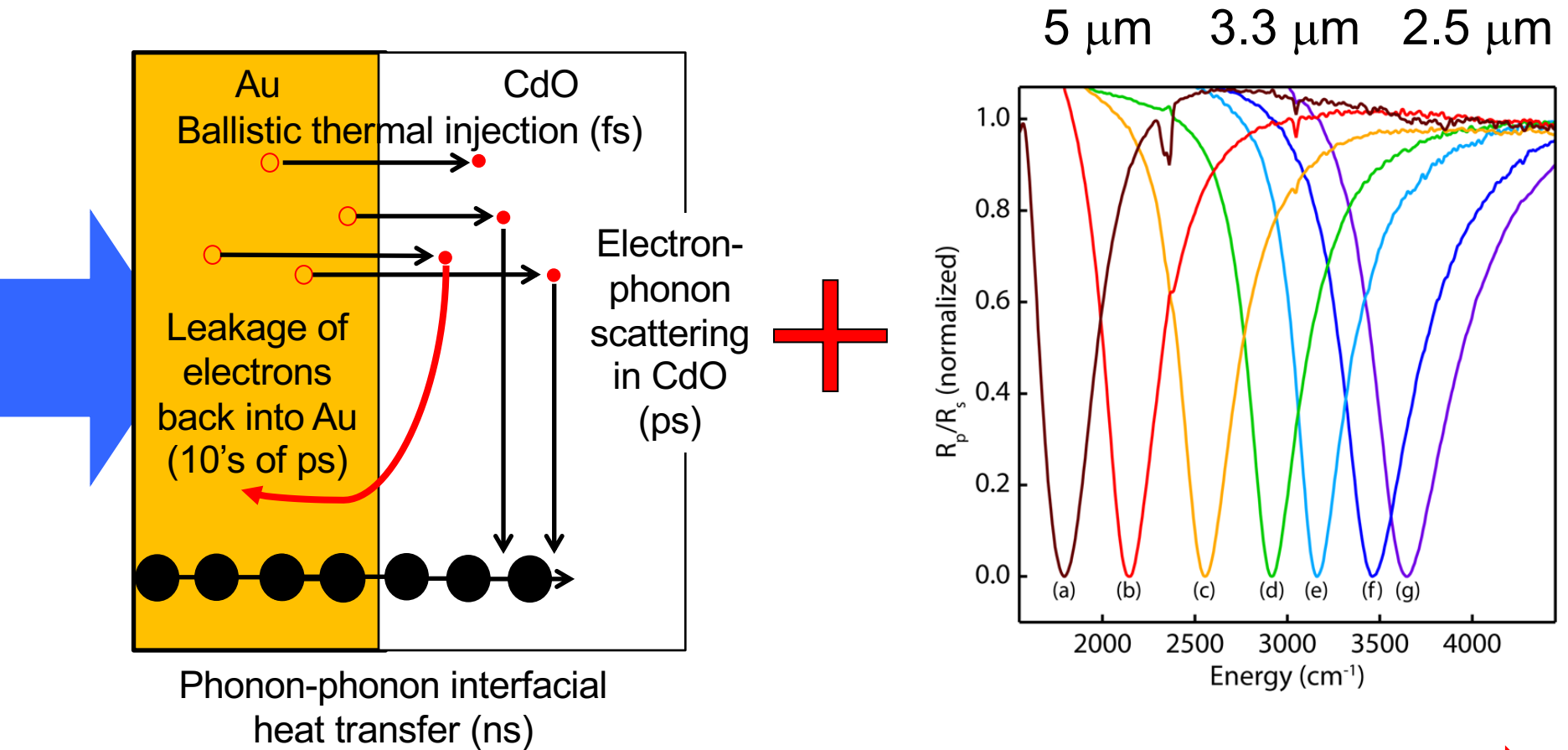
a) Hot electron injection
(Charge transfer)



b) Ballistic thermal injection
(Energy transfer)

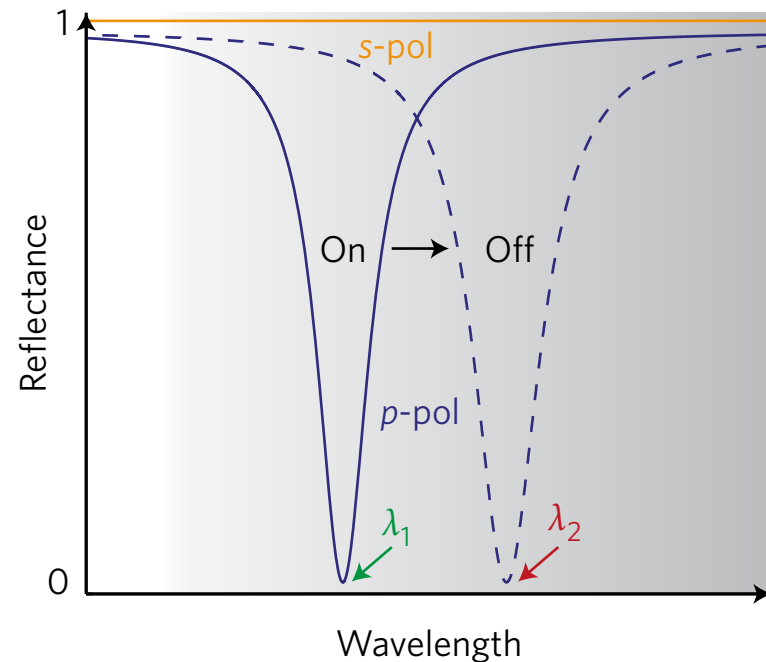
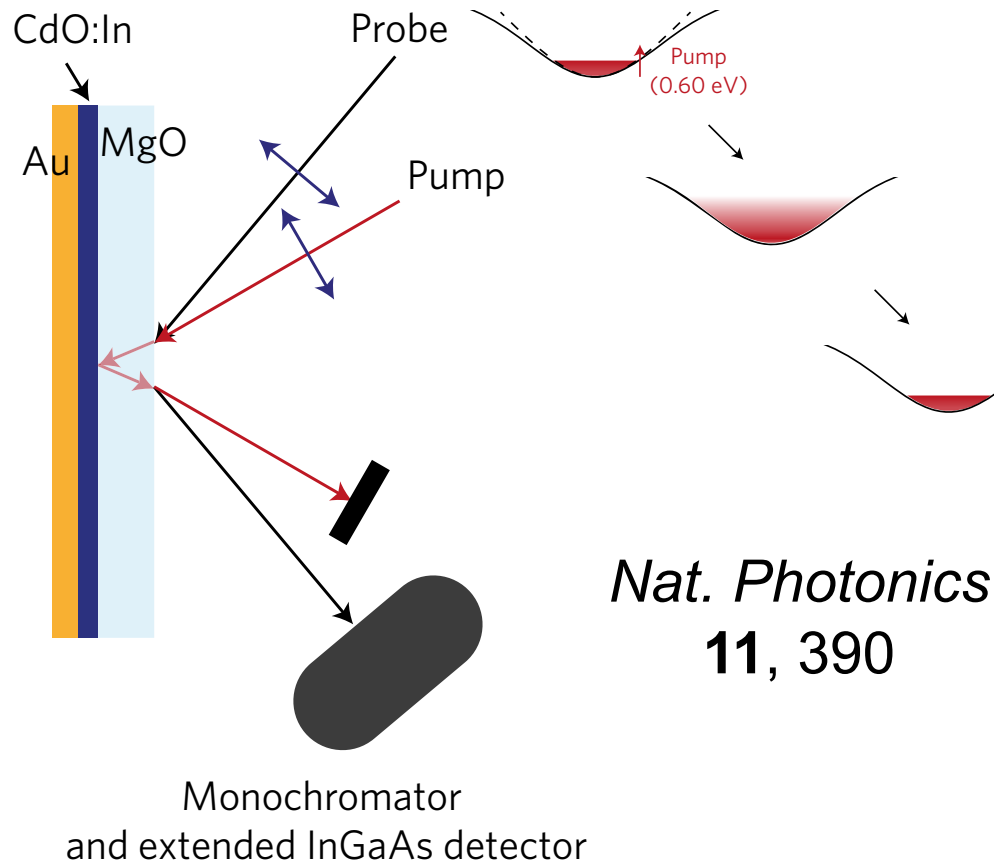


Nonequilibrium electrons to control CdO plasmons



Increasing carrier concentration

Pumping electrons can impact plasmon response

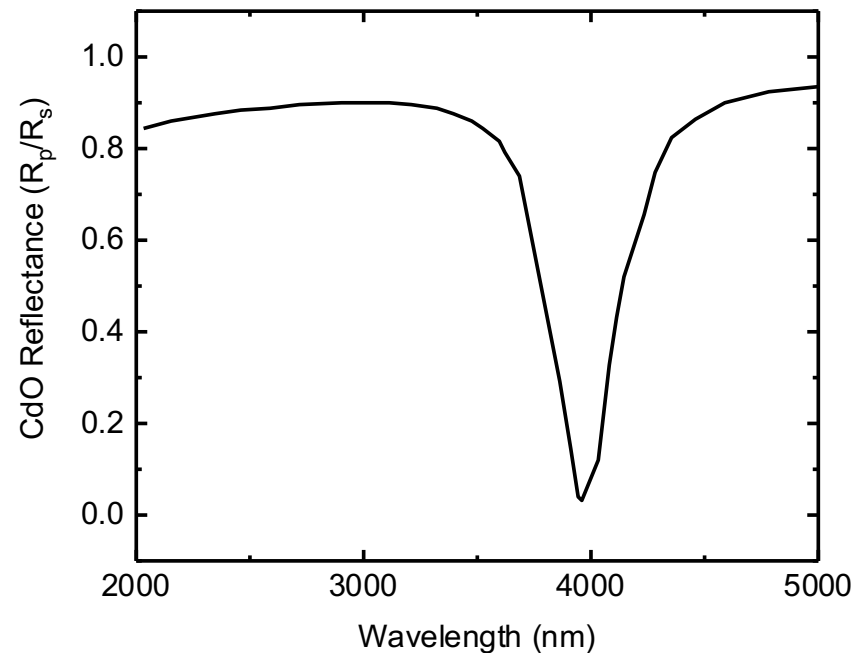
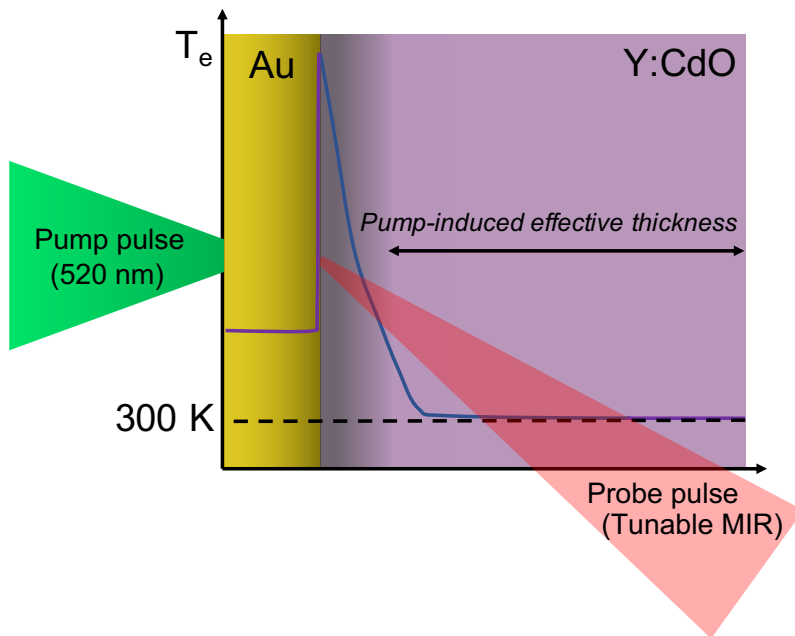


- Exciting electrons in CdO (intraband) can change effective mass and red shift plasmon response
- Requires specific wavelength photons and laser conditions
- **Can we use BTI to inject heat into CdO and impact plasmons?**

Pump electrons in Au, probe plasmon in CdO

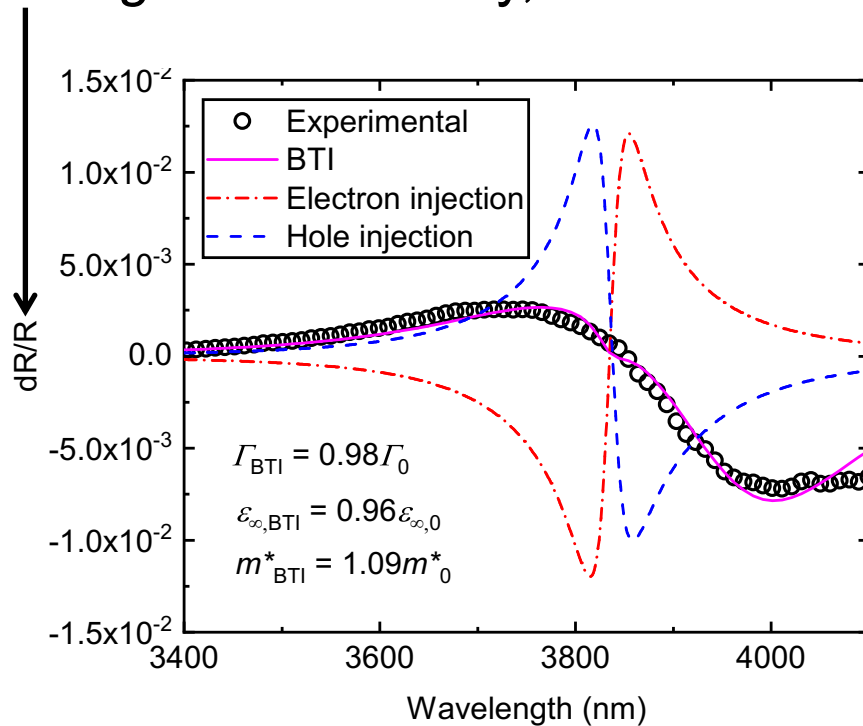
How is the IR plasmon response of CdO impacted by ballistic thermal injection?

Au/CdO/sapphire absorption response

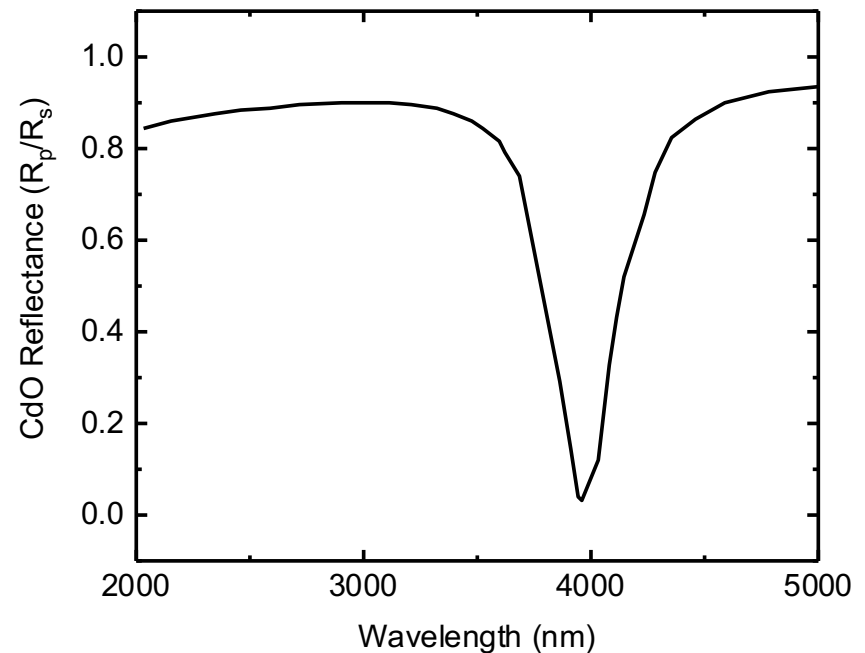


Asymmetric red shift in ENZ plasmon mode due to BTI

Note we are measuring *change* in reflectivity, dR

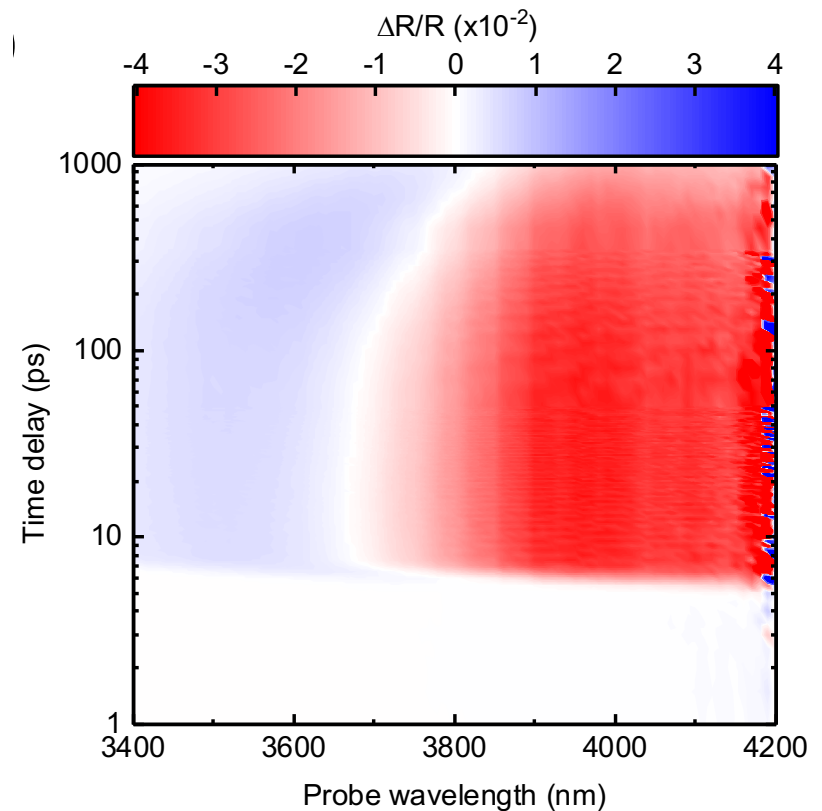
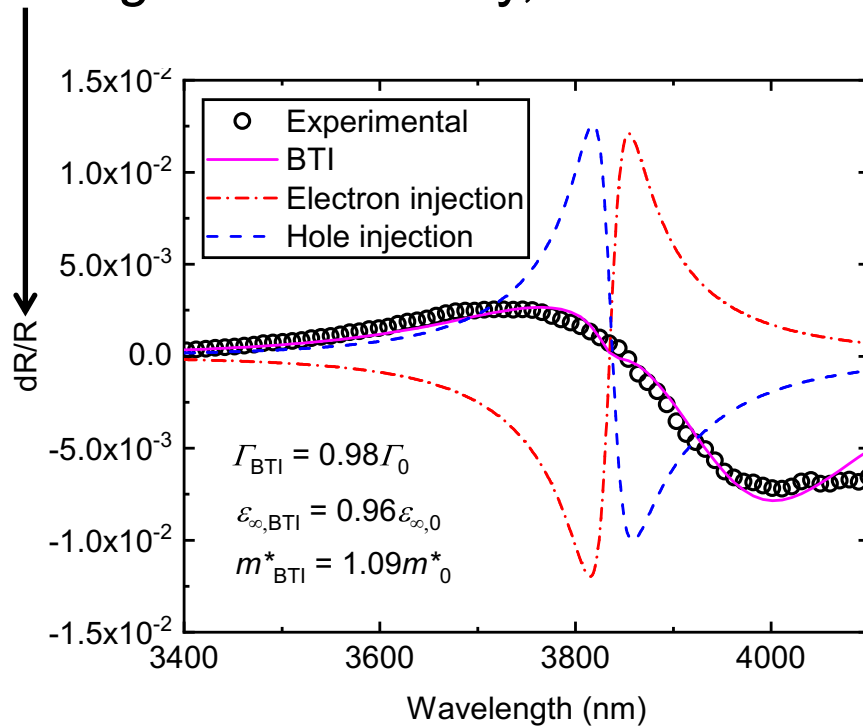


Au/CdO/sapphire absorption response

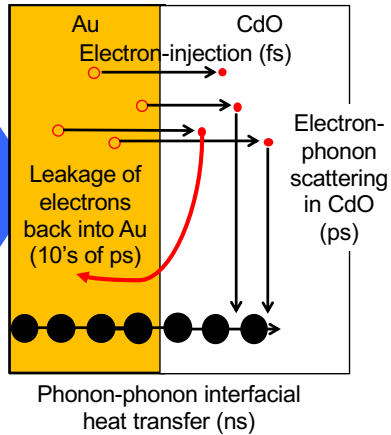


Asymmetric red shift in ENZ plasmon mode due to BTI

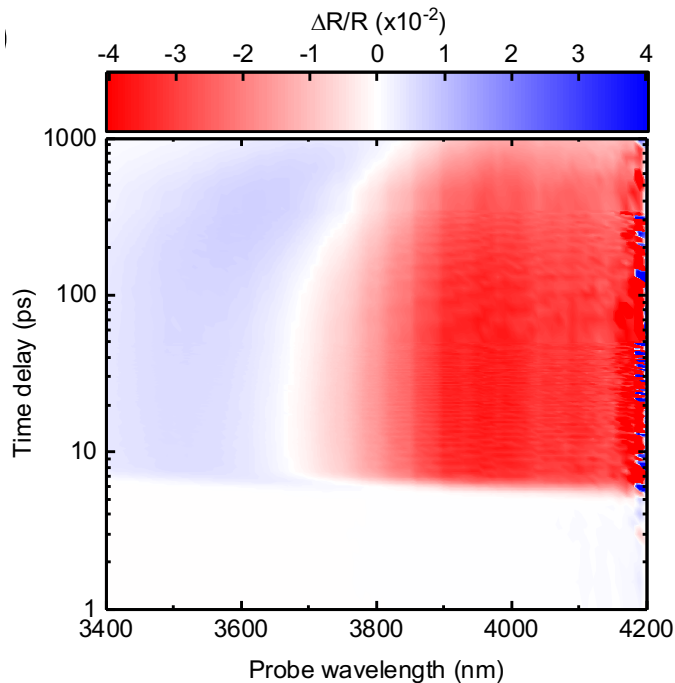
Note we are measuring *change* in reflectivity, dR



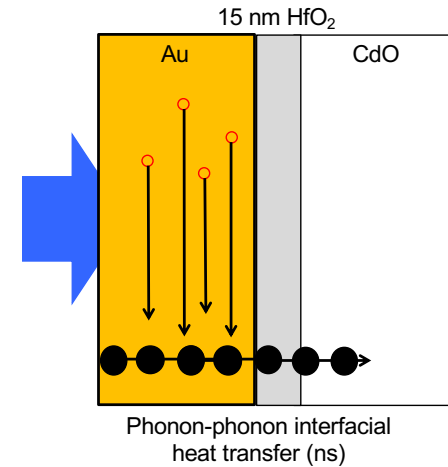
And it's not an optical artifact



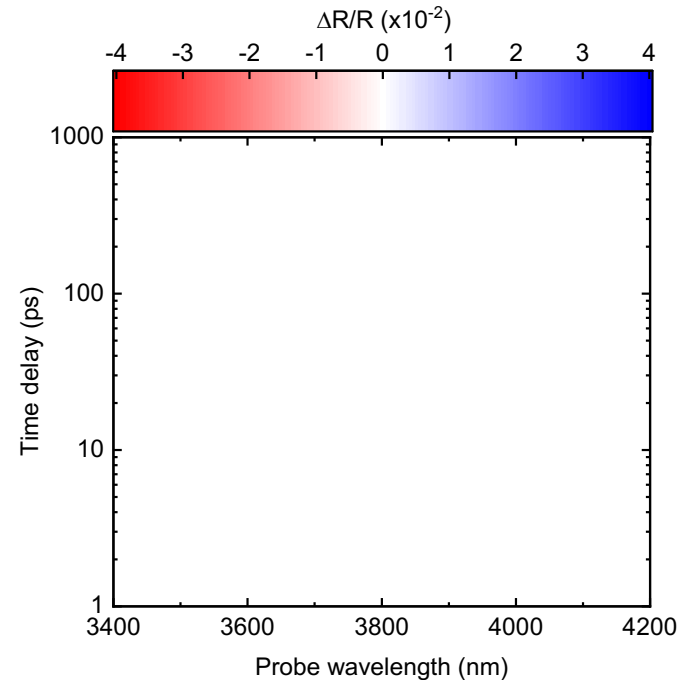
Au/CdO



15 nm HfO₂ layer prevents any electron energy from moving from Au to CdO, resulting in no measurable response



Au/HfO₂/CdO (same scale)



Nonequilibrium electron thermal transport processes control electrons, phonons and plasmons



Ballistic thermal injection (Energy transfer)

