



UNIVERSITY
of VIRGINIA

SCHOOL *of* ENGINEERING
& APPLIED SCIENCE

Thermal conductivity and heat capacity of dielectric and ferroelectric hafnium zirconium oxide thin films



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Thermal resistance and heat capacity in hafnium zirconium oxide ($\text{Hf}_{1-x}\text{Zr}_x\text{O}_2$) dielectrics and ferroelectric thin films

Ethan A. Scott,¹ Sean W. Smith,² M. David Henry,² Christina M. Rost,¹ Ashutosh Giri,¹
John T. Gaskins,¹ Shelby S. Fields,³ Samantha T. Jaszewski,³ Jon F. Ihlefeld,^{3,4}
and Patrick E. Hopkins^{1,3,5,a)}



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Thermal conductivity of materials - nanoscopic

$$\kappa = \frac{1}{3} C v \lambda = \frac{1}{3} C v_g^2 \tau$$

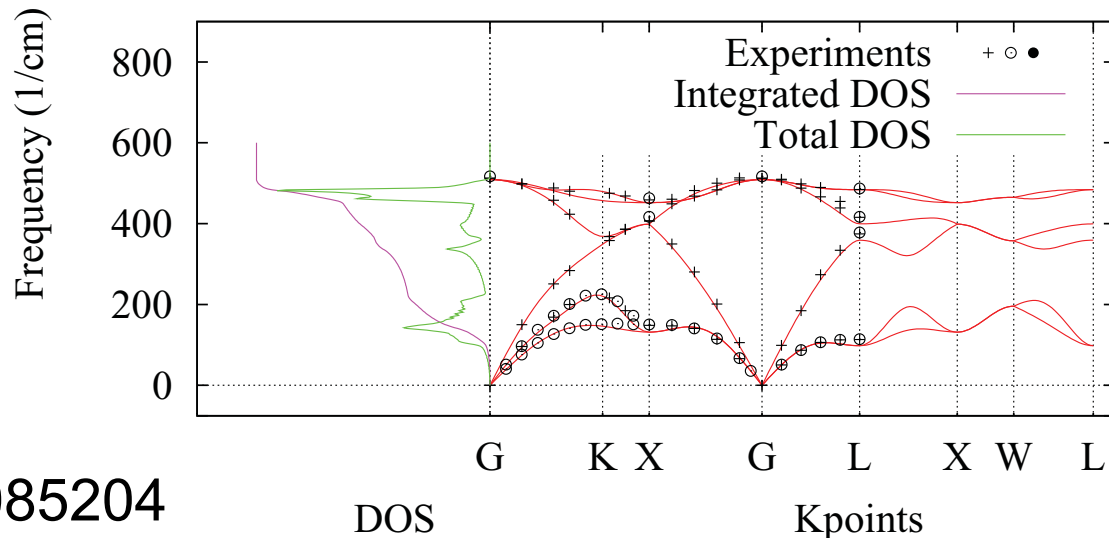
Heat capacity

(energy density of carriers)

Velocity

(how fast they move)

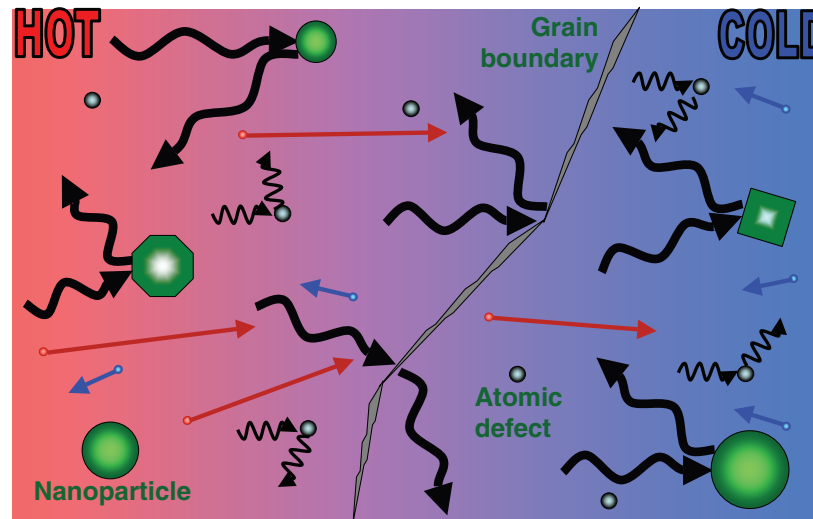
Band Structure and DOS for Si



Thermal conductivity of materials - nanoscopic

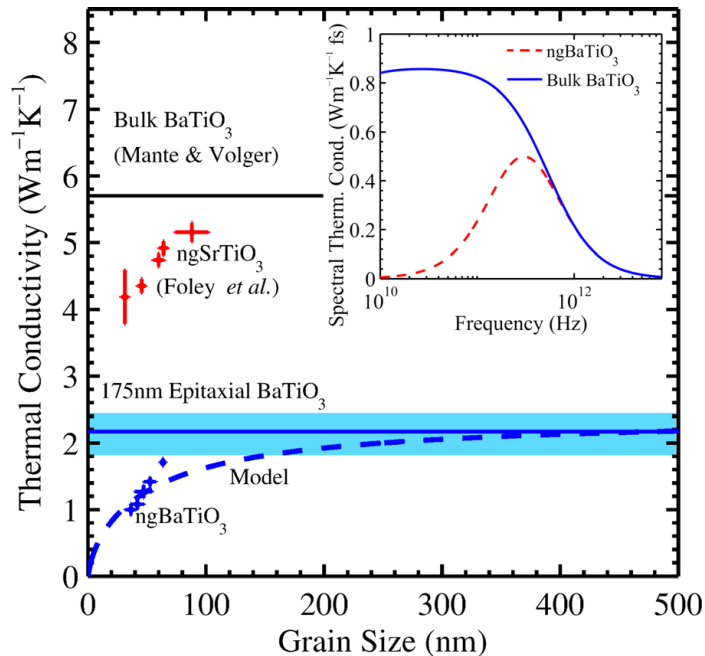
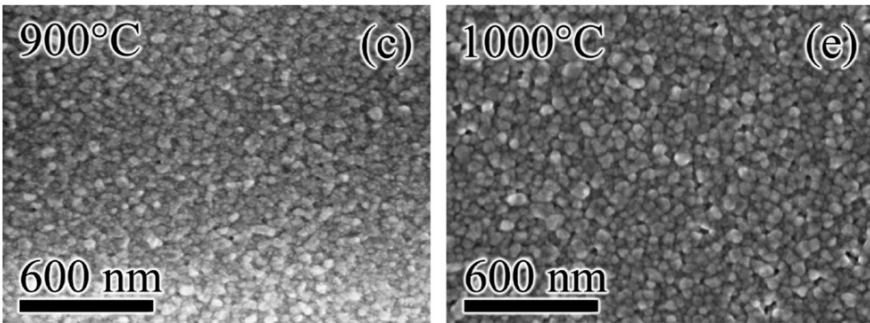
$$\kappa = \frac{1}{3} C v \lambda = \frac{1}{3} C v_g^2 \tau$$

Mean free path/scattering time
(how often energy carriers scatter and lose energy)



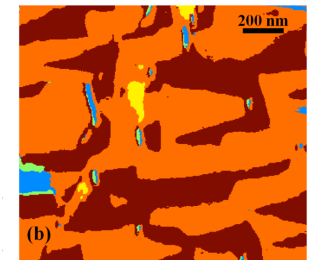
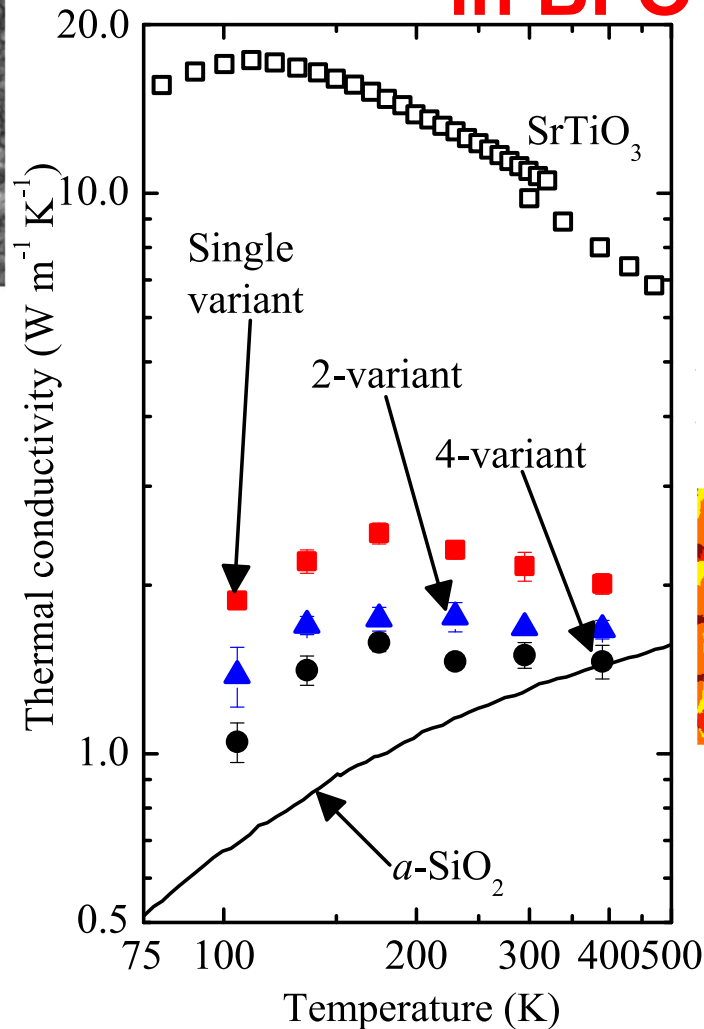
Classic example: Phonon boundary scattering and reduced κ

Grain boundaries in BTO

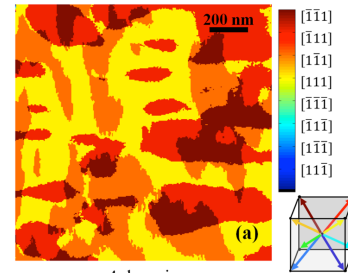


APL 105, 082907

FE Domain boundaries in BFO



2 domain

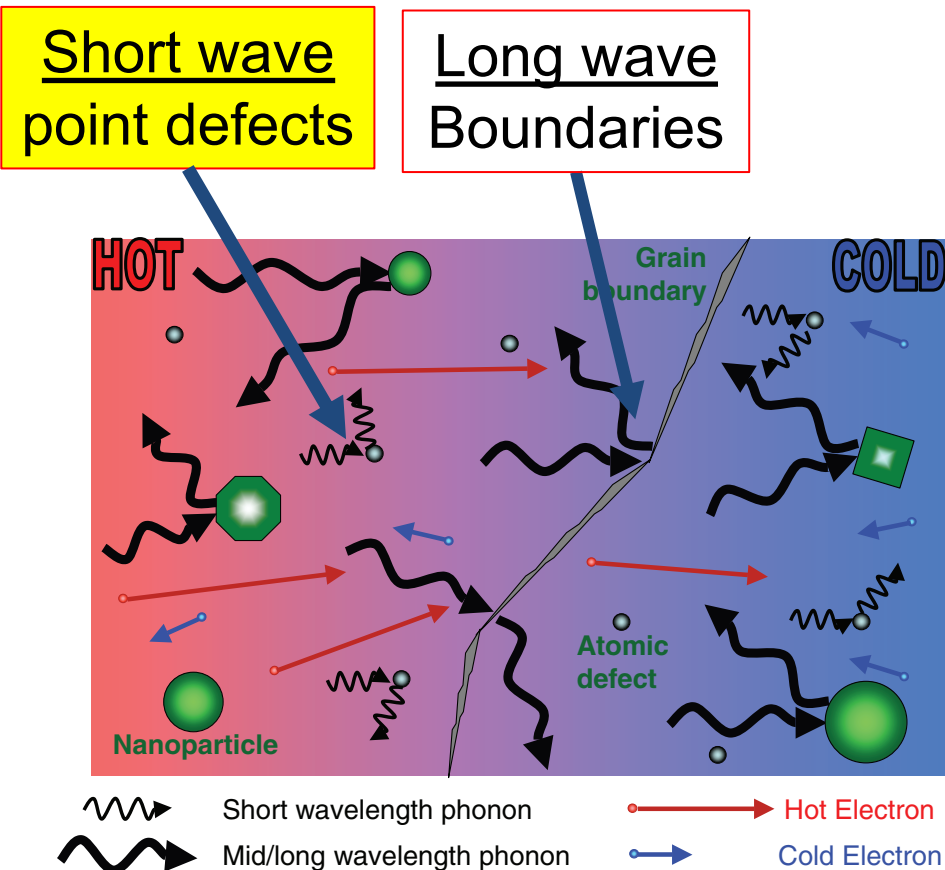


4 domain

APL 102, 121903

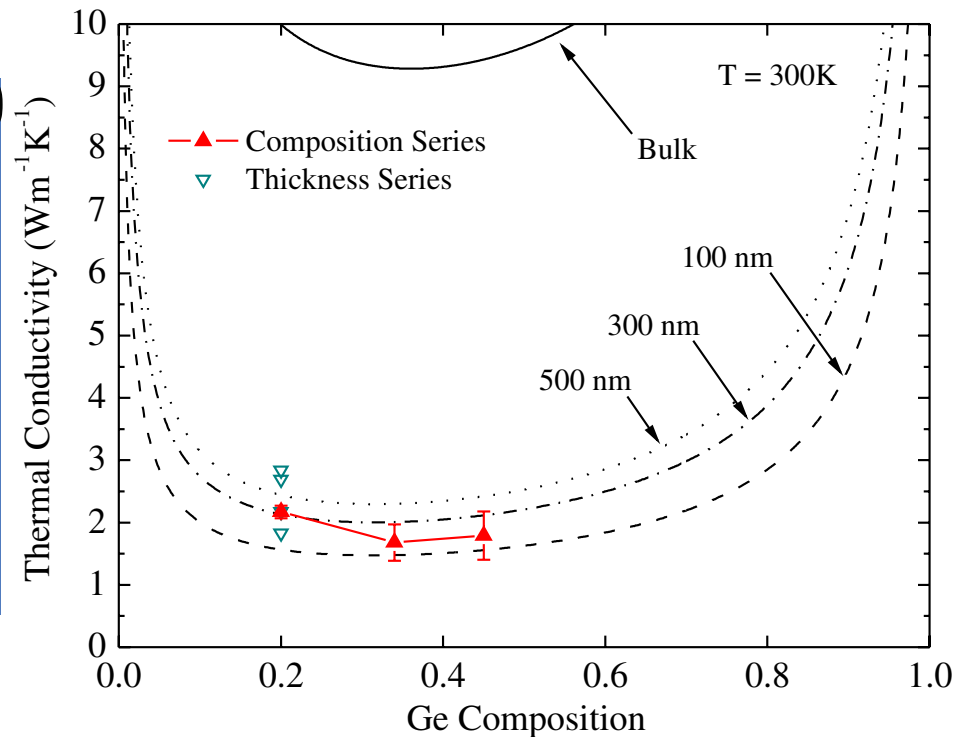
Spectral phonon transport in alloys

How do defects and interfaces play a role?



Adv. Mat. **22**, 3970

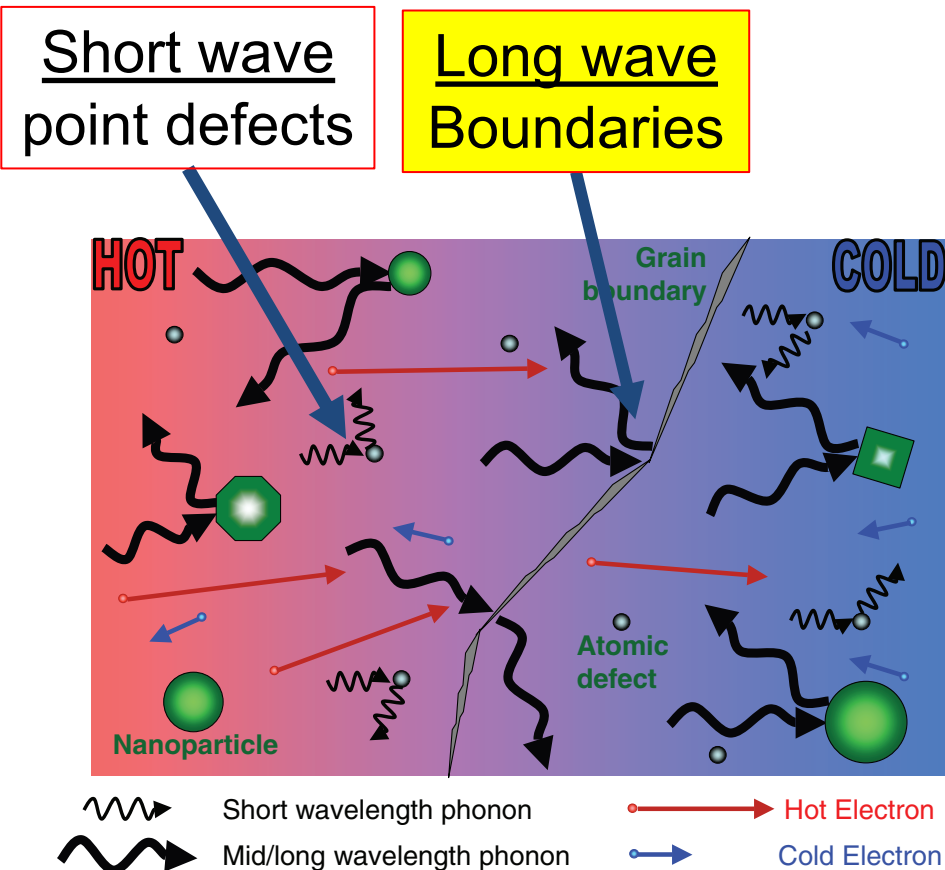
Thermal conductivity $\text{Si}_{1-x}\text{Ge}_x$ alloys



Phys. Rev. Lett. **109**, 195901

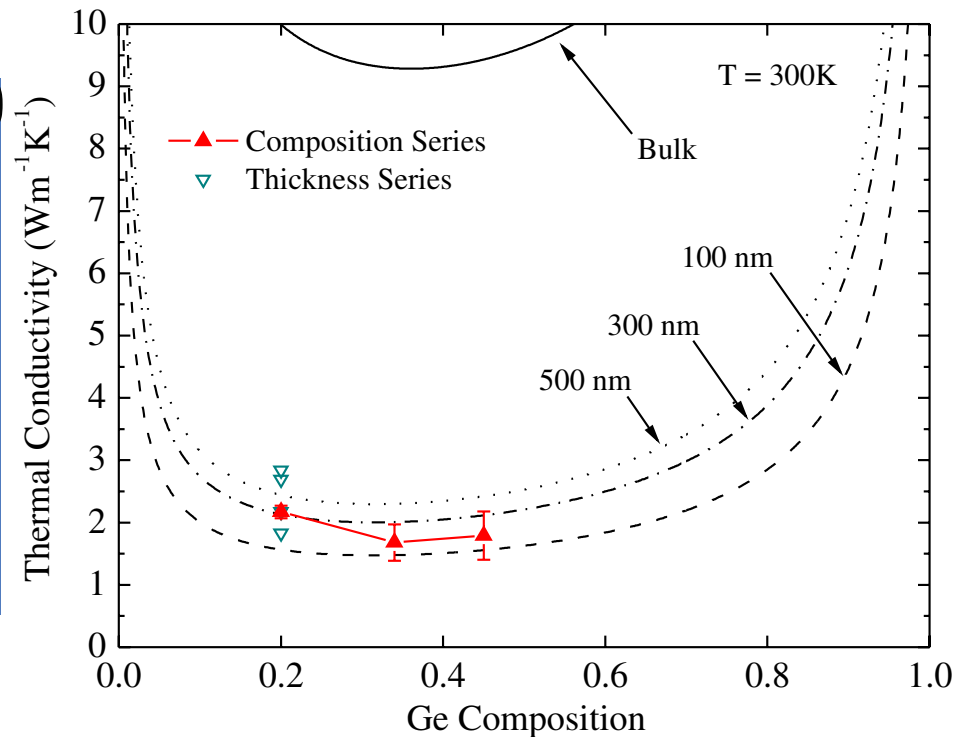
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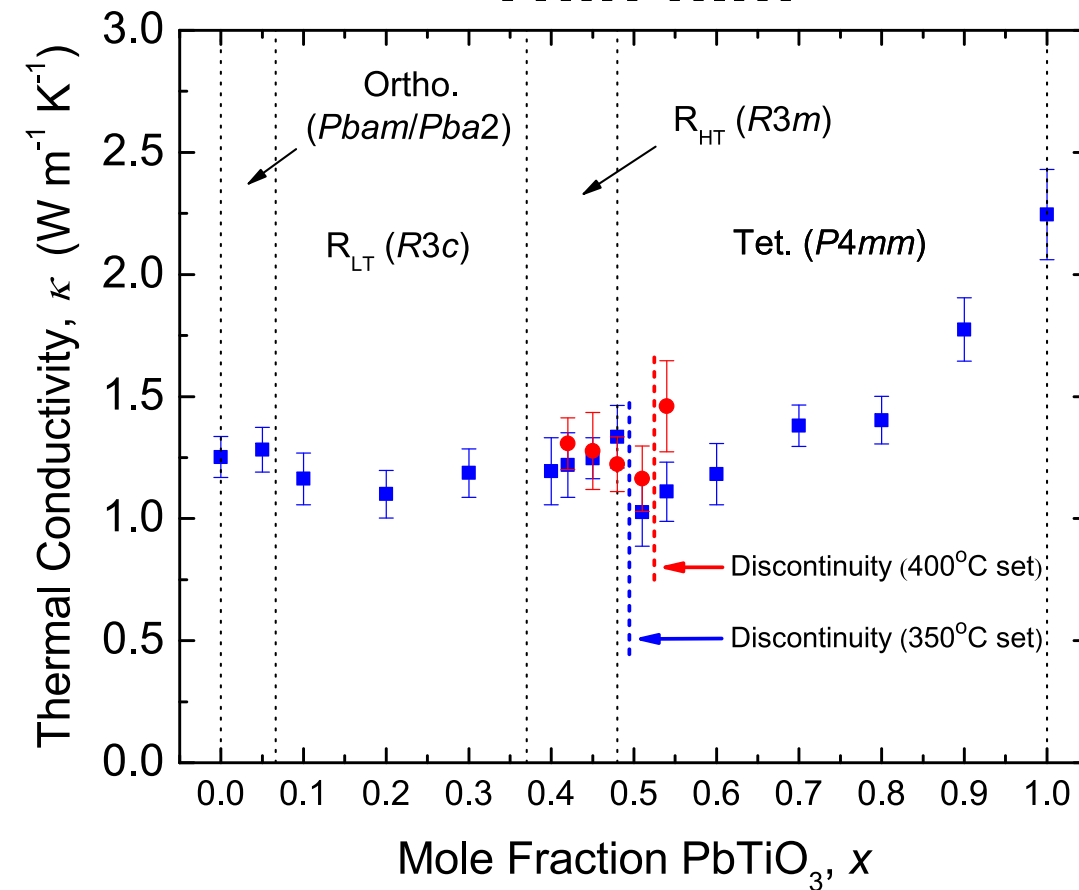
Phys. Rev. Lett. **109**, 195901

Spectral phonon transport in ferroelectric solid solutions

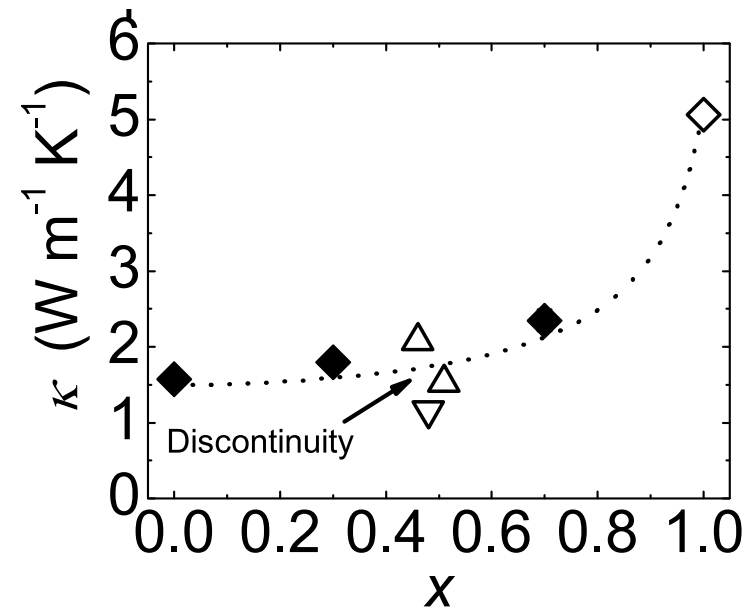
How do defects and interfaces play a role?

Thermal conductivity of PZT thin films

Thin film

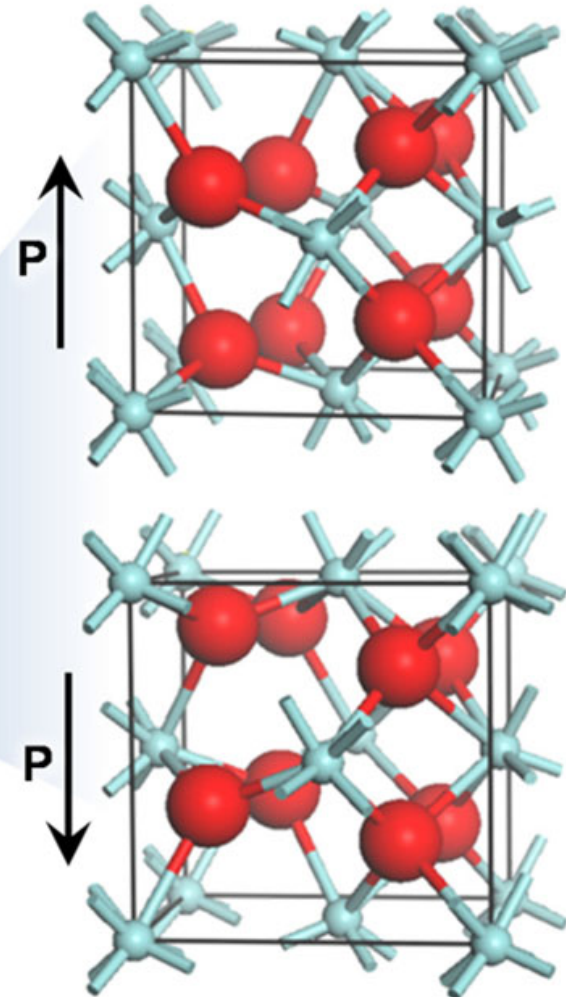
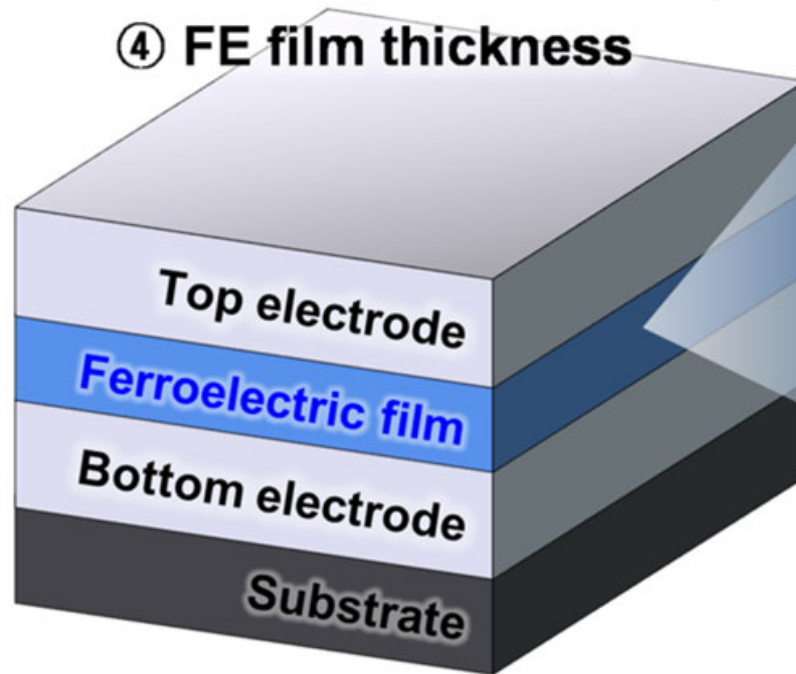


Bulk



Ferroelectricity in HZO thin film

- ① Doping + Annealing
- ② Stress
- ③ Interface
- ④ FE film thickness



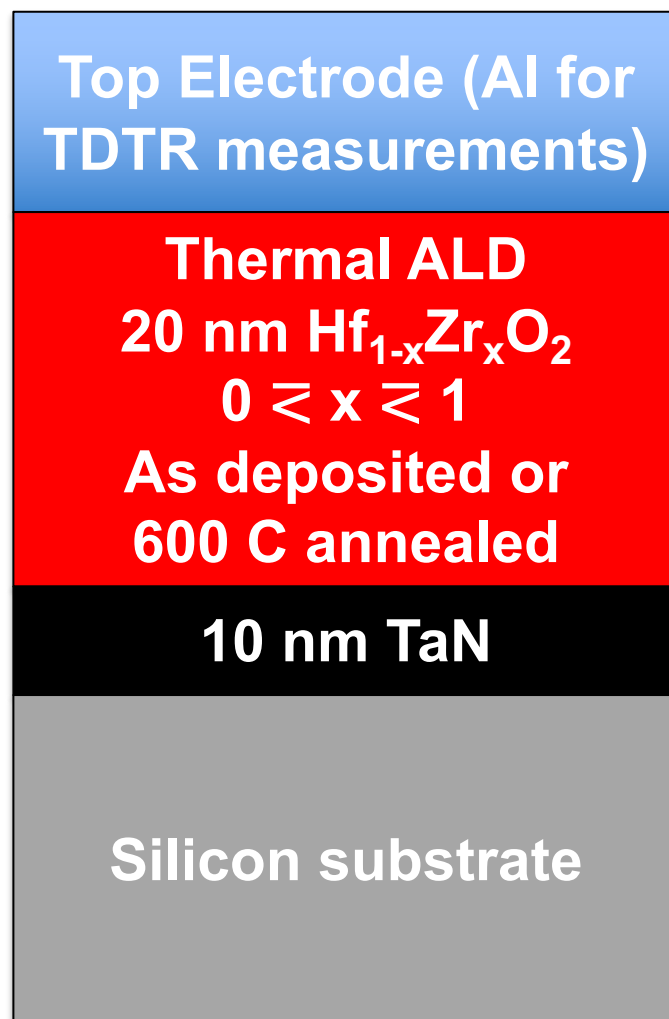
This talk: Hafnium zirconium oxide thin films

What are the phonon thermal transport mechanisms dictating the thermal conductivity of HZO thin films?

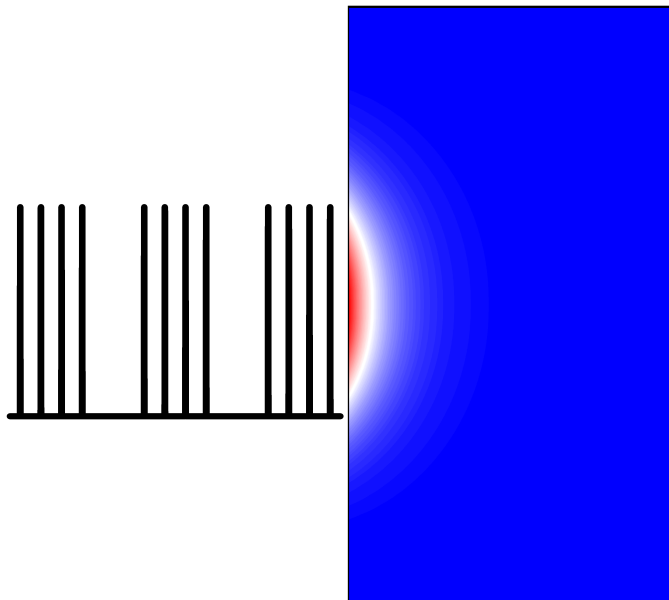
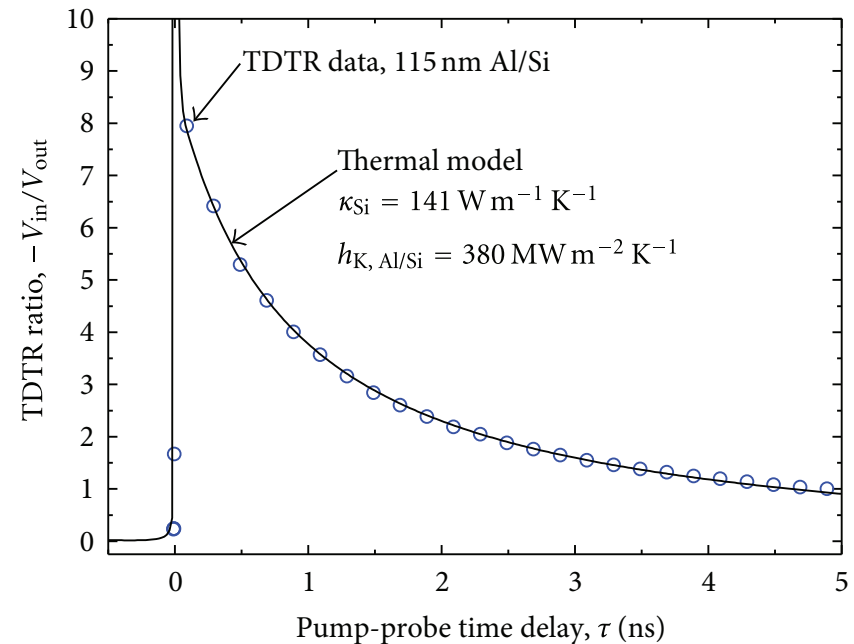
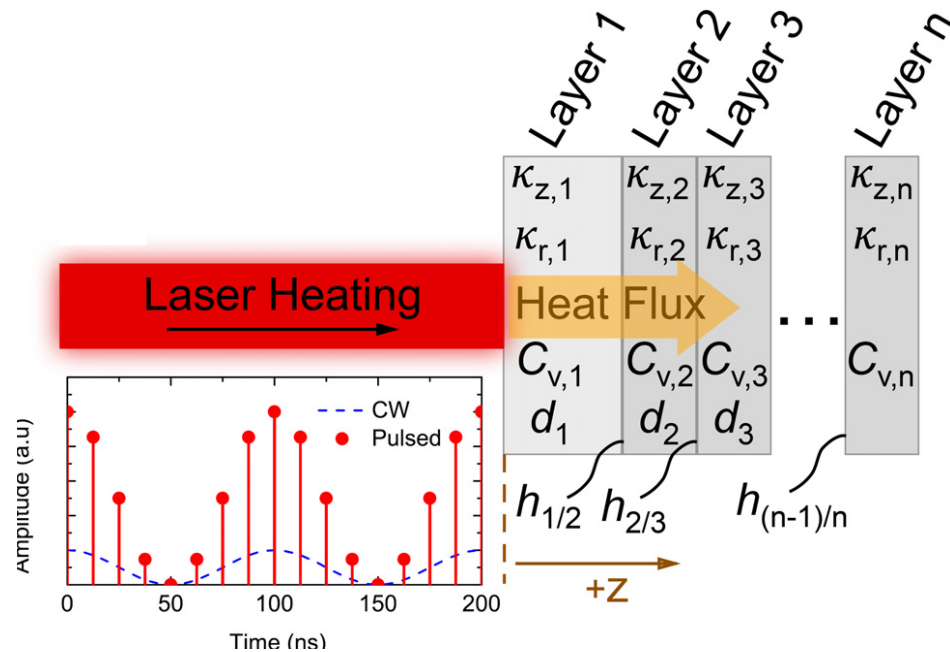
Interplay among:

- Boundary scattering
- “Alloy” scattering (Hf and Zr masses)
- Crystalline (FE phase) vs. amorphous effects

Can we measure heat capacity of 20 nm thick HZO film?



Measuring thermal conductivity in thin films: TDTR



TDTR Reviews and Analyses

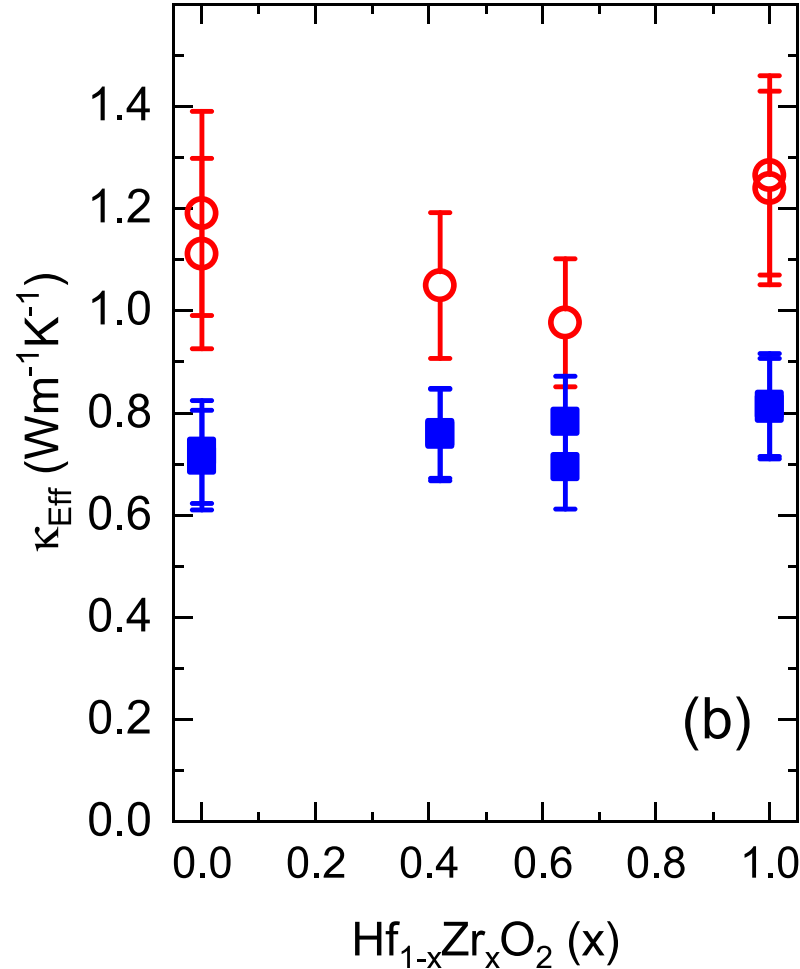
Rev. Sci. Instr. **75**, 5119

Rev. Sci. Instr. **79**, 114902

J. Heat Trans. **132**, 081302

Ann. Rev. Heat Trans. **16**, 159

Thermal conductivity of HZO thin films

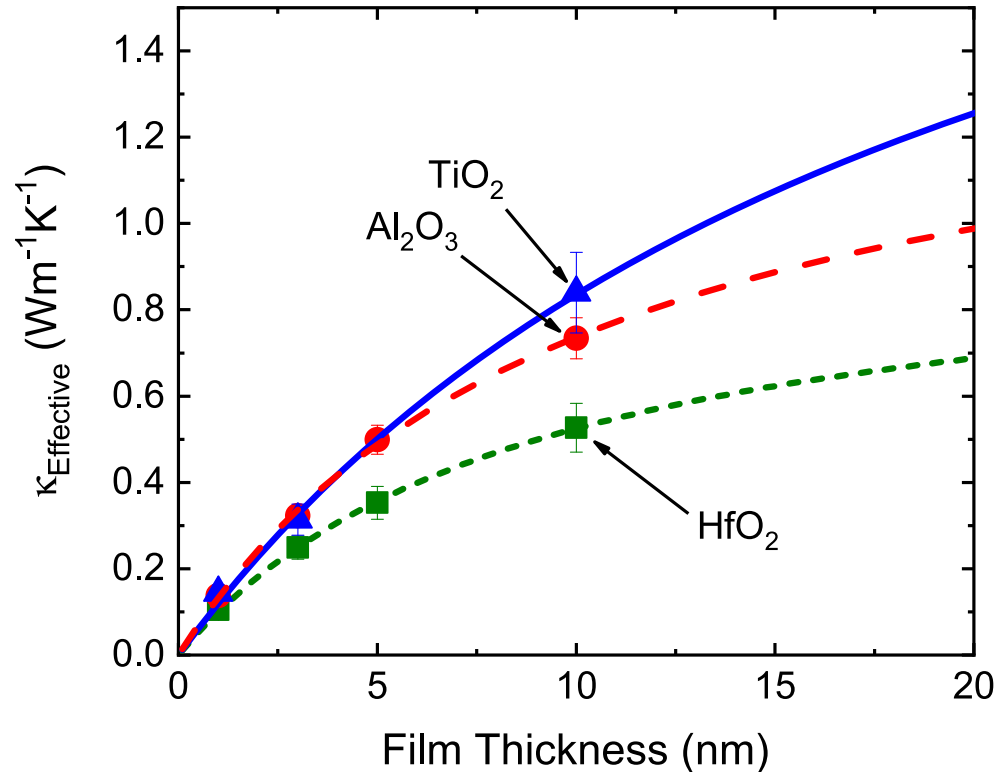


APPLIED PHYSICS LETTERS 113, 192901 (2018)

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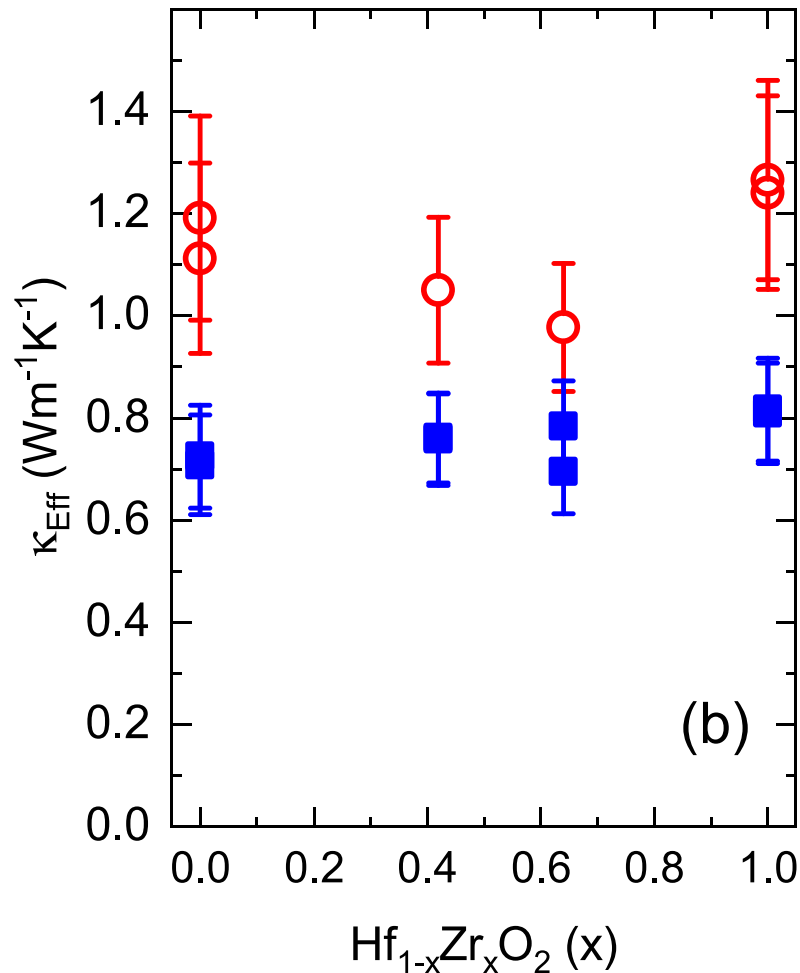
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- Increase in k due to crystallization
- Most likely boundary scattering limited
- Values similar to other ALD grown films



APL Materials 6, 058302

Thermal conductivity of HZO thin films

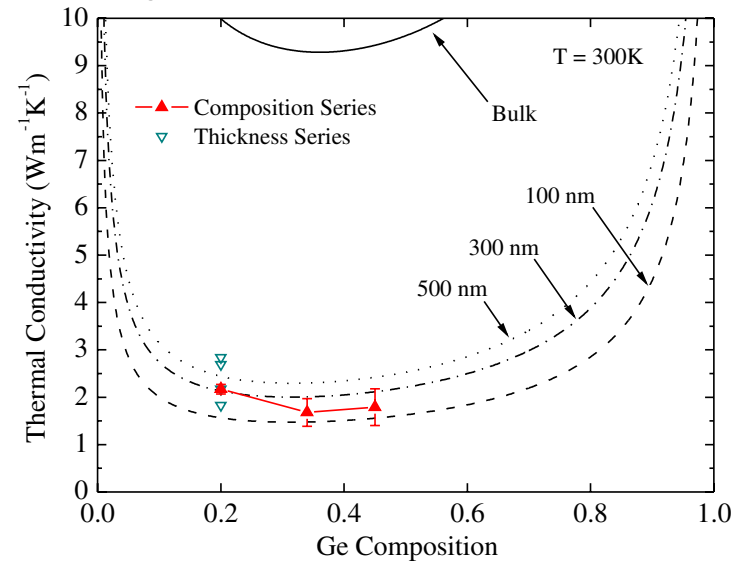


APPLIED PHYSICS LETTERS **113**, 192901 (2018)

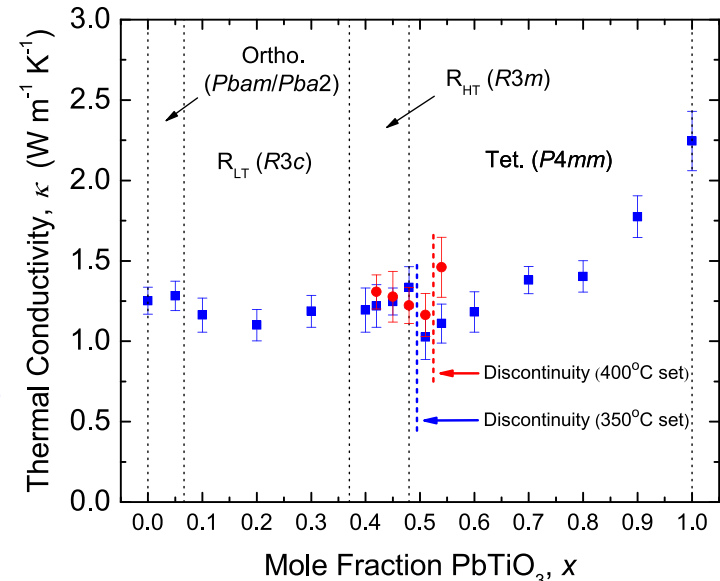
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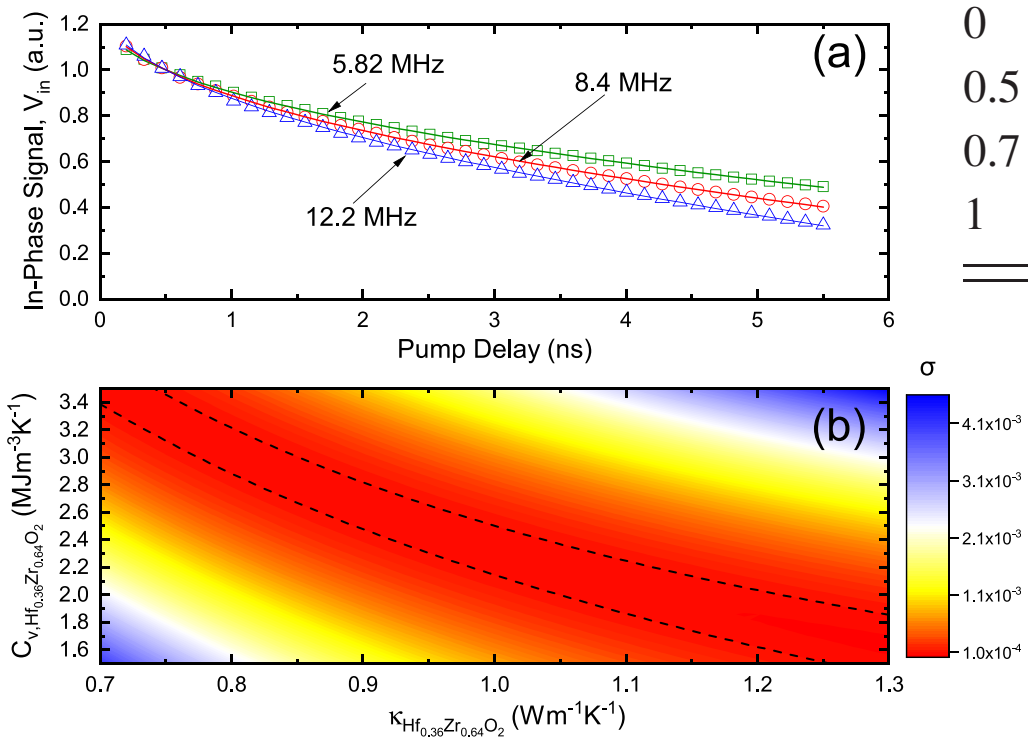
SiGe: *Phys. Rev. Lett.* **109**, 195901



PZT: *J. Appl. Phys.* **121**, 205104

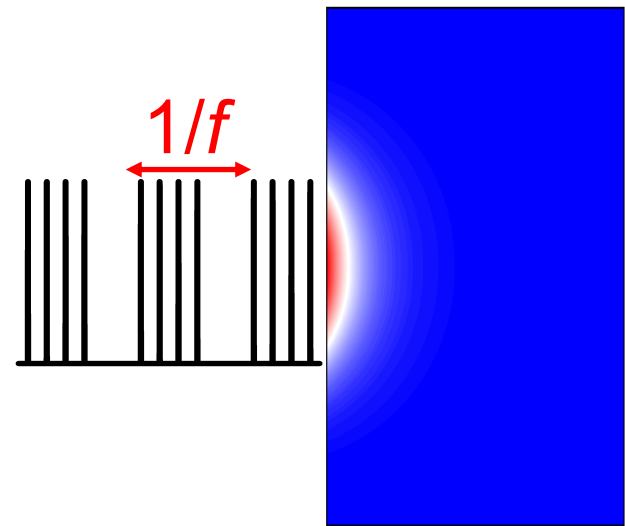


Heat capacity of HZO thin films



$Hf_{1-x}Zr_xO_2$ (x)	C_v (MJ m ⁻³ K ⁻¹)
0	2.63–2.77
0.5	2.18 ± 0.56
0.7	2.64 ± 0.53
1	2.56–2.60

Modulate at different frequencies, **f**, to change sensitivity to C and κ



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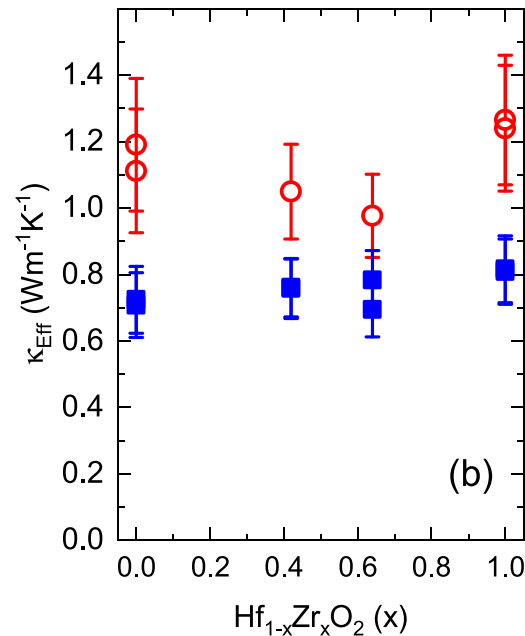
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Thermal conductivity of ferroelectric HZO shows strong influence from boundary scattering for 20 nm films

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$\text{Hf}_{1-x}\text{Zr}_x\text{O}_2$ (x)	C_v (MJ m ⁻³ K ⁻¹)
0	2.63–2.77
0.5	2.18 ± 0.56
0.7	2.64 ± 0.53
1	2.56–2.60

As-deposited – amorphous
Annealed – Monoclinic or mixed tetragonal/orthorhombic
 HfO_2 – Monoclinic, ZrO_2 – tetragonal

