

Thermal conductivity and heat capacity of dielectric and ferroelectric hafnium zirconium oxide thin films



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Thermal resistance and heat capacity in hafnium zirconium oxide ($\text{Hf}_{1-x}\text{Zr}_x\text{O}_2$) dielectrics and ferroelectric thin films

Ethan A. Scott,¹ Sean W. Smith,² M. David Henry,² Christina M. Rost,¹ Ashutosh Giri,¹ John T. Gaskins,¹ Shelby S. Fields,³ Samantha T. Jaszewski,³ Jon F. Ihlefeld,^{3,4} and Patrick E. Hopkins^{1,3,5,a)}



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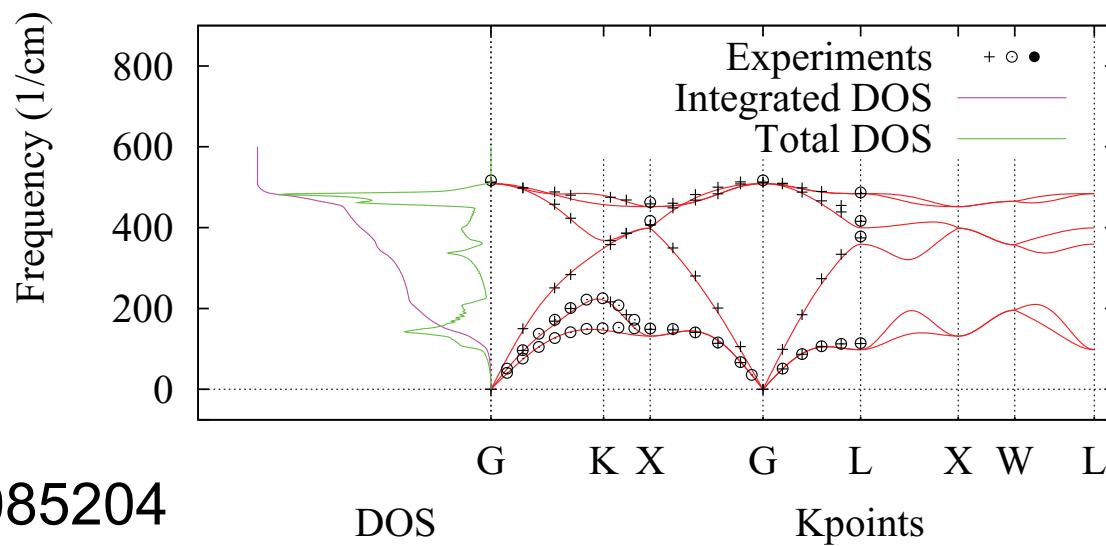
Thermal conductivity of materials - nanoscopic

$$\kappa = \frac{1}{3} Cv \lambda = \frac{1}{3} Cv_g^2 \tau$$

Heat capacity
(energy density of carriers)

Velocity
(how fast they move)

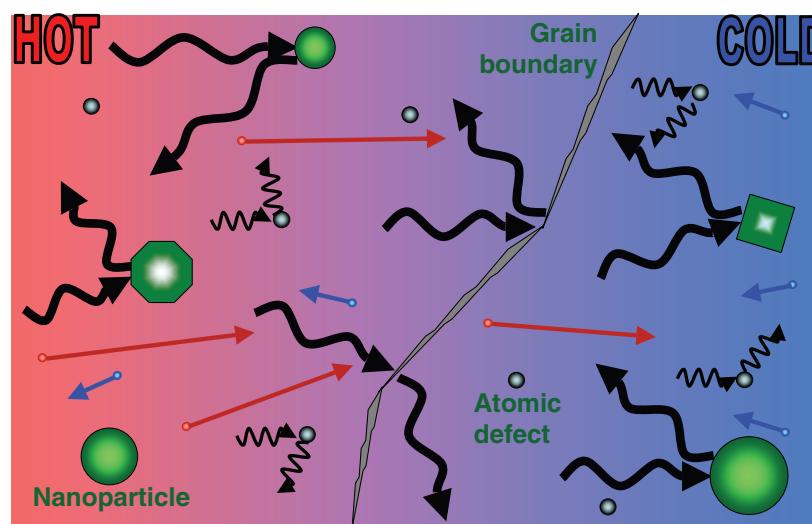
Band Structure and DOS for Si



Thermal conductivity of materials - nanoscopic

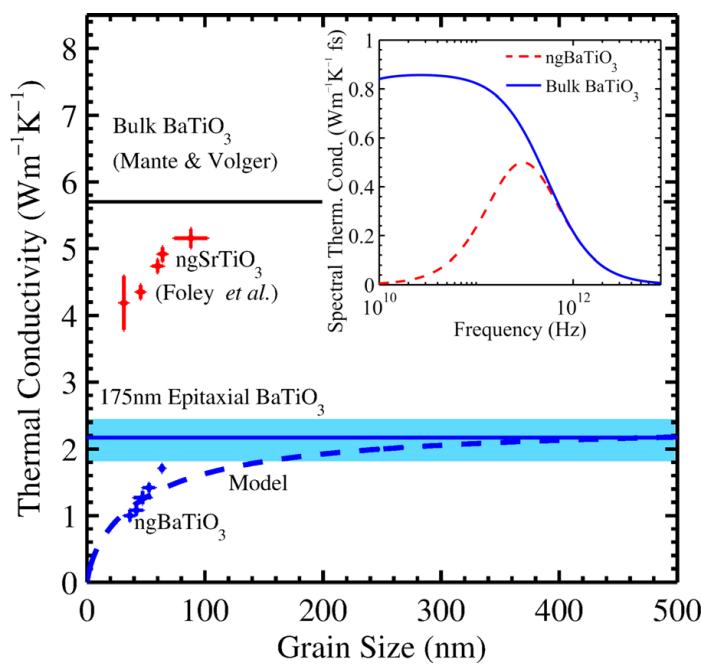
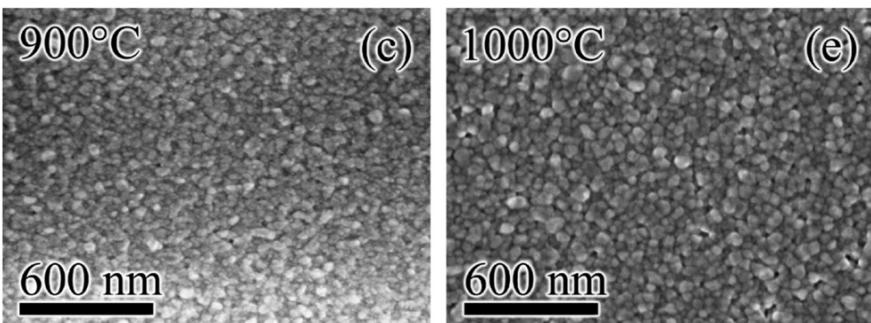
$$\kappa = \frac{1}{3} Cv\lambda = \frac{1}{3} Cv_g^2 \tau$$

Mean free path/scattering time
(how often energy carriers scatter and lose energy)

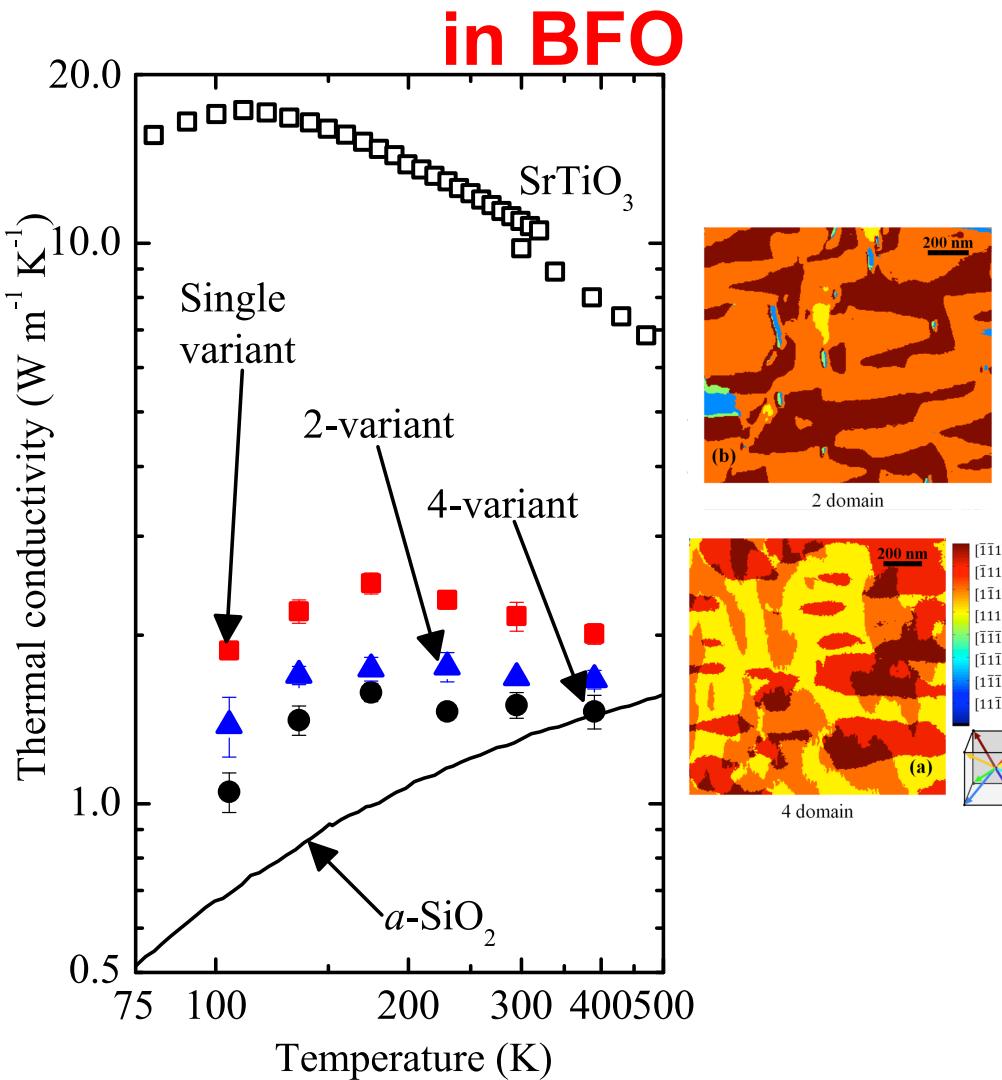


Classic example: Phonon boundary scattering and reduced κ

Grain boundaries in BTO

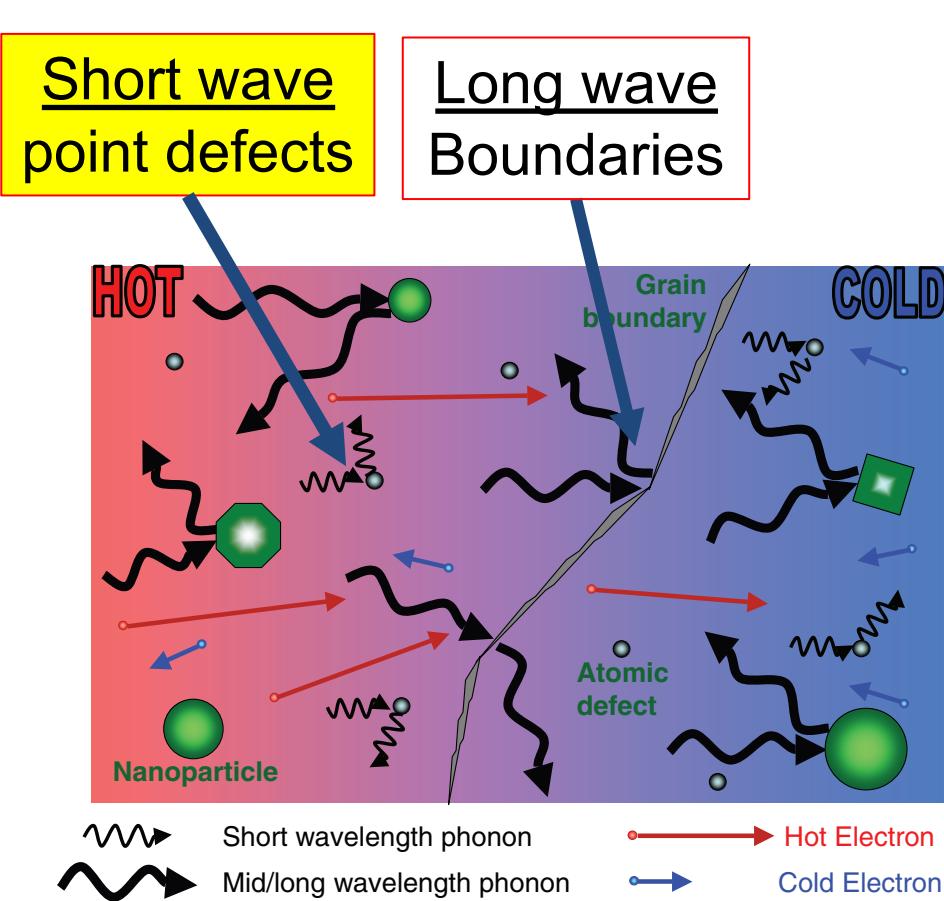


FE Domain boundaries in BFO



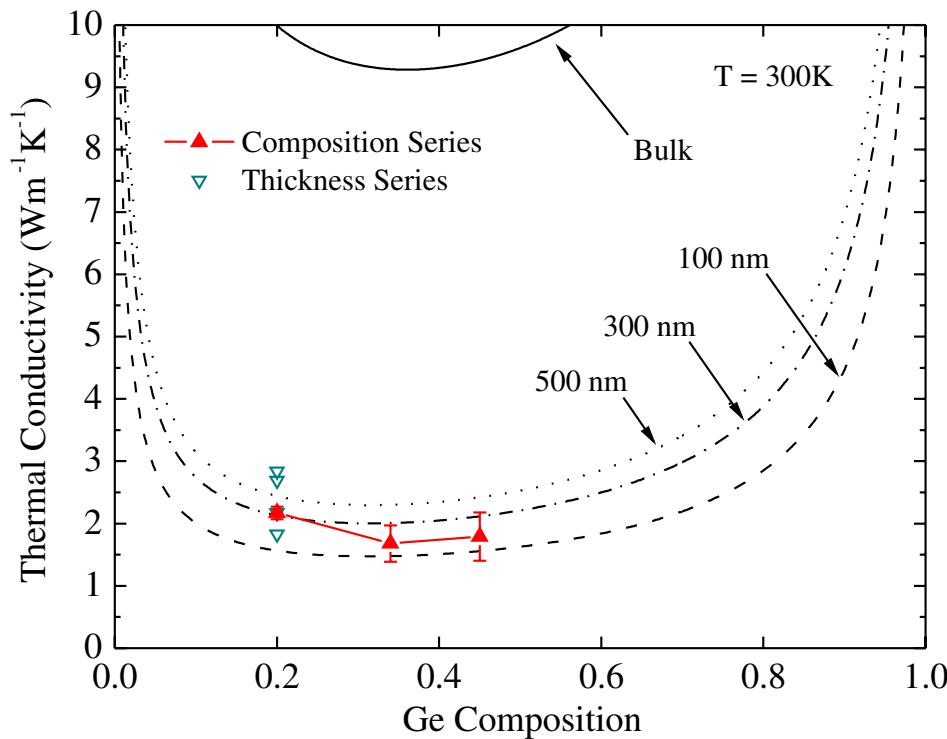
Spectral phonon transport in alloys

How do defects and interfaces play a role?



Adv. Mat. 22, 3970

Thermal conductivity $\text{Si}_{1-x}\text{Ge}_x$ alloys



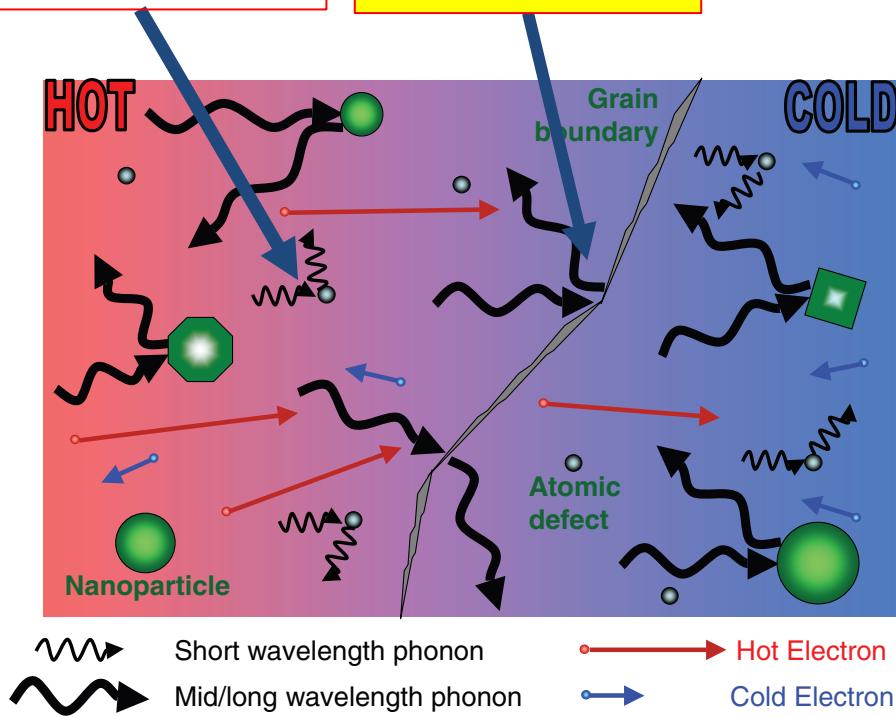
Phys. Rev. Lett. 109, 195901

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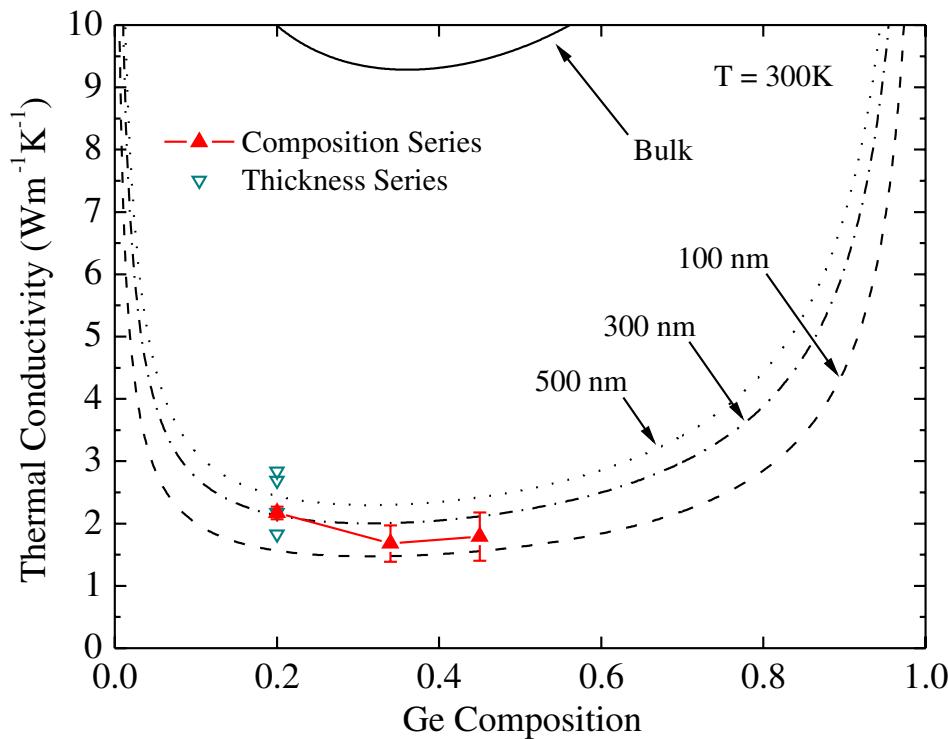
Short wave
point defects

Long wave
Boundaries



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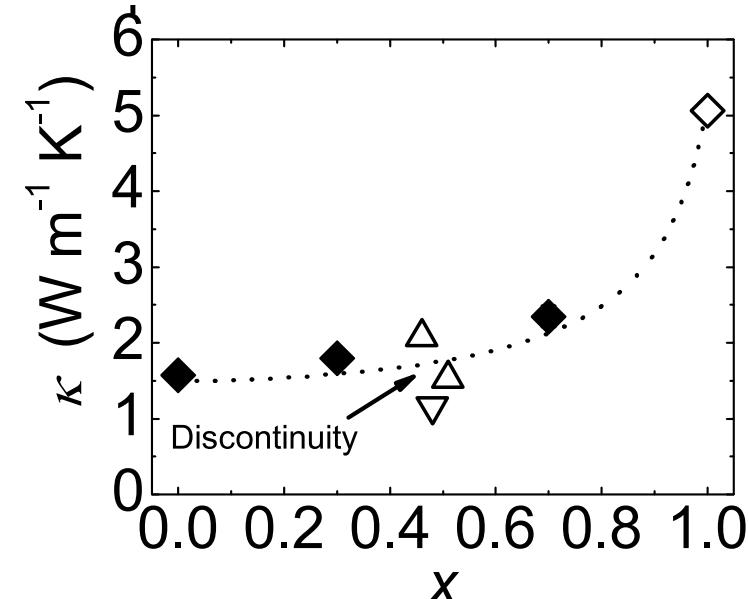
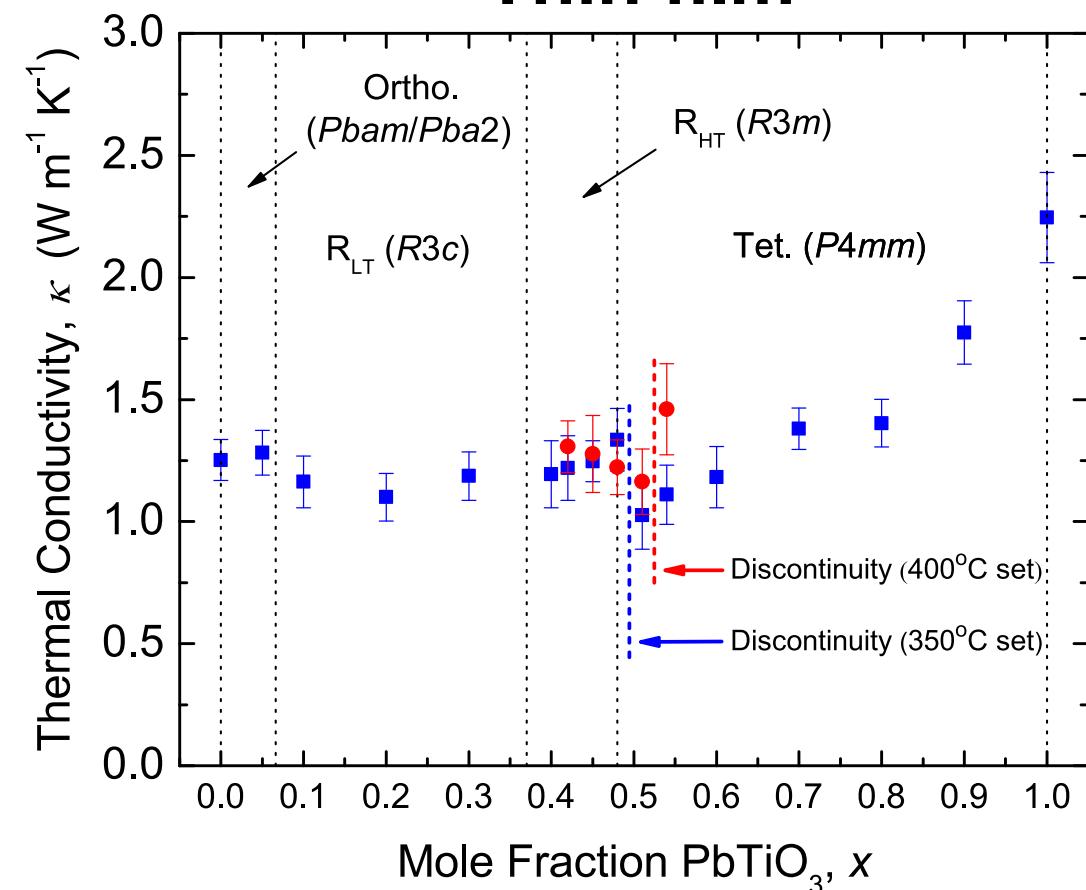
Spectral phonon transport in ferroelectric solid solutions

How do defects and interfaces play a role?

Thermal conductivity of PZT thin films

Thin film

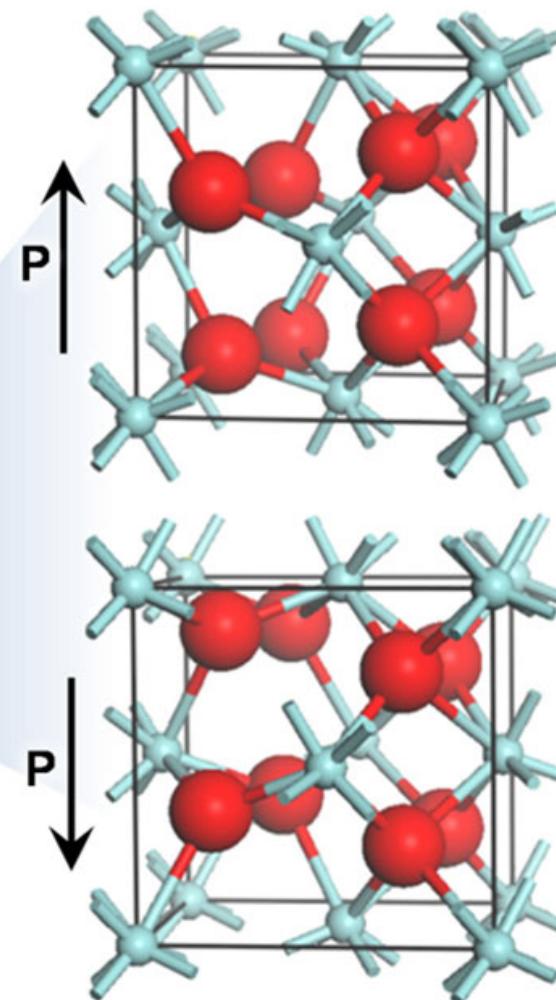
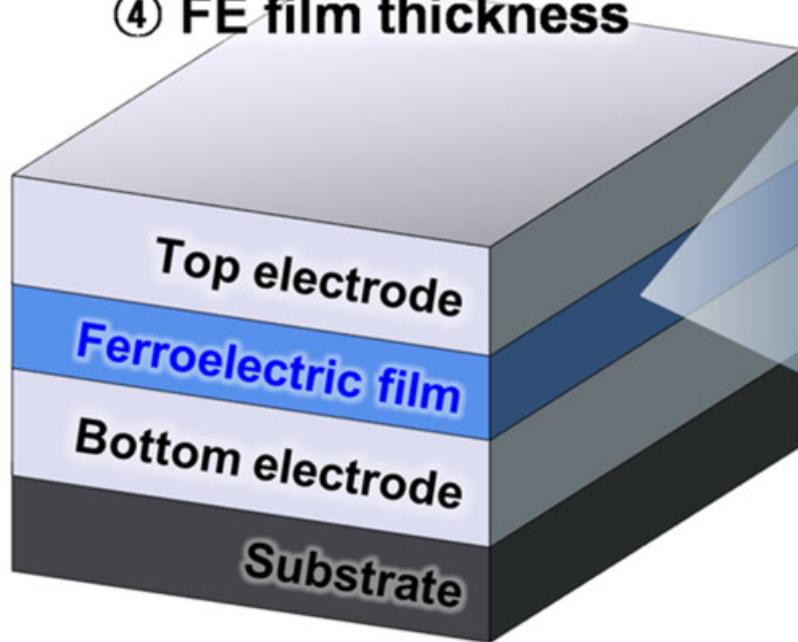
Bulk



This talk: Hafnium zirconium oxide thin films

Ferroelectricity in HZO thin film

- ① Doping + Annealing
- ② Stress
- ③ Interface
- ④ FE film thickness



This talk: Hafnium zirconium oxide thin films

What are the phonon thermal transport mechanisms dictating the thermal conductivity of HZO thin films?

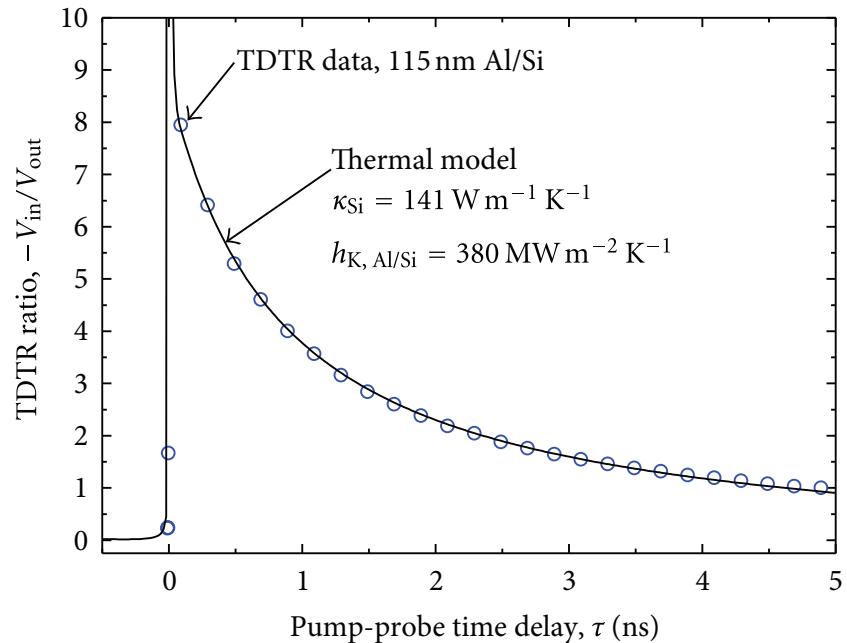
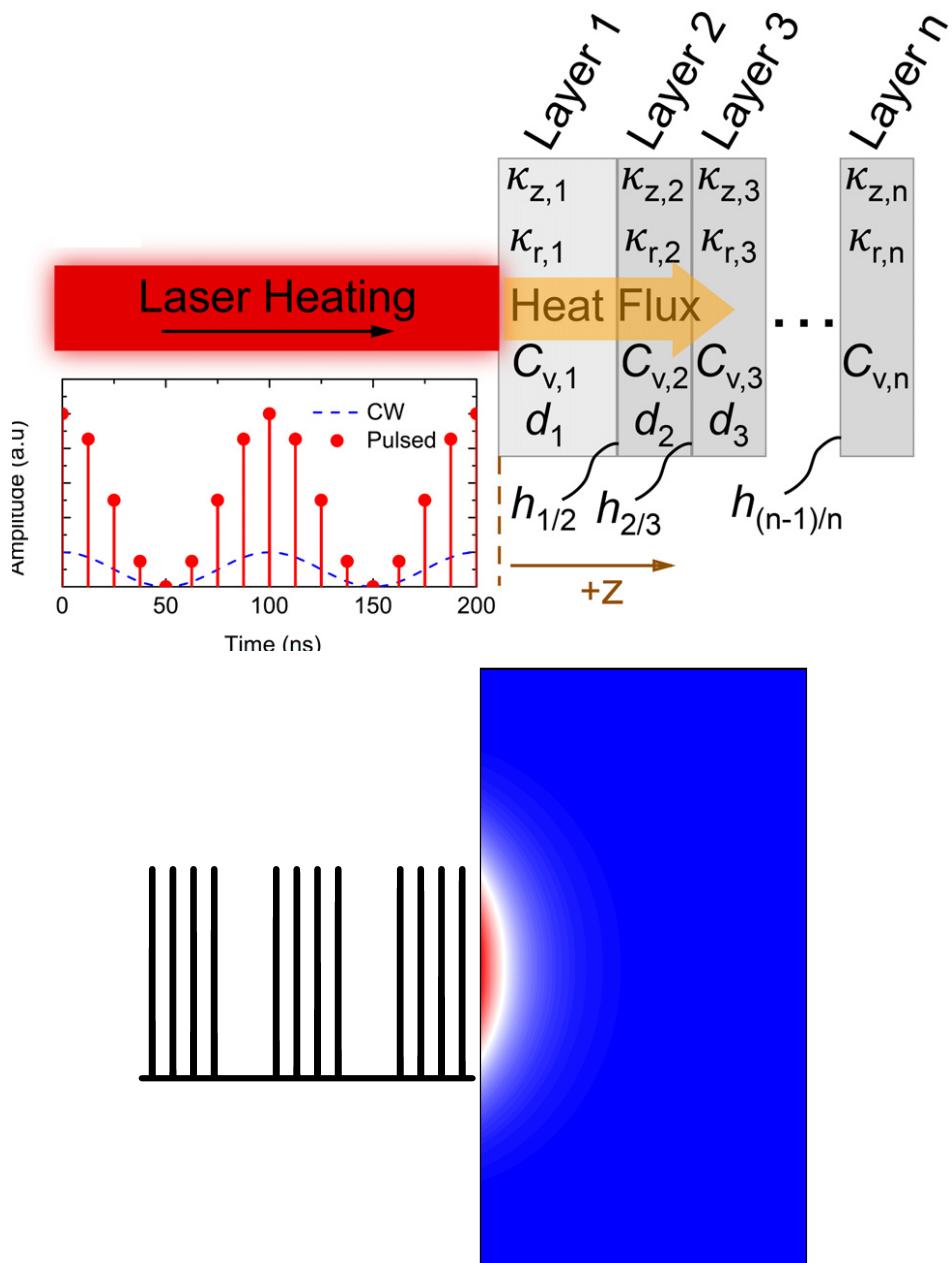
Interplay among:

- Boundary scattering
- “Alloy” scattering (Hf and Zr masses)
- Crystalline (FE phase) vs. amorphous effects

Can we measure heat capacity of 20 nm thick HZO film?



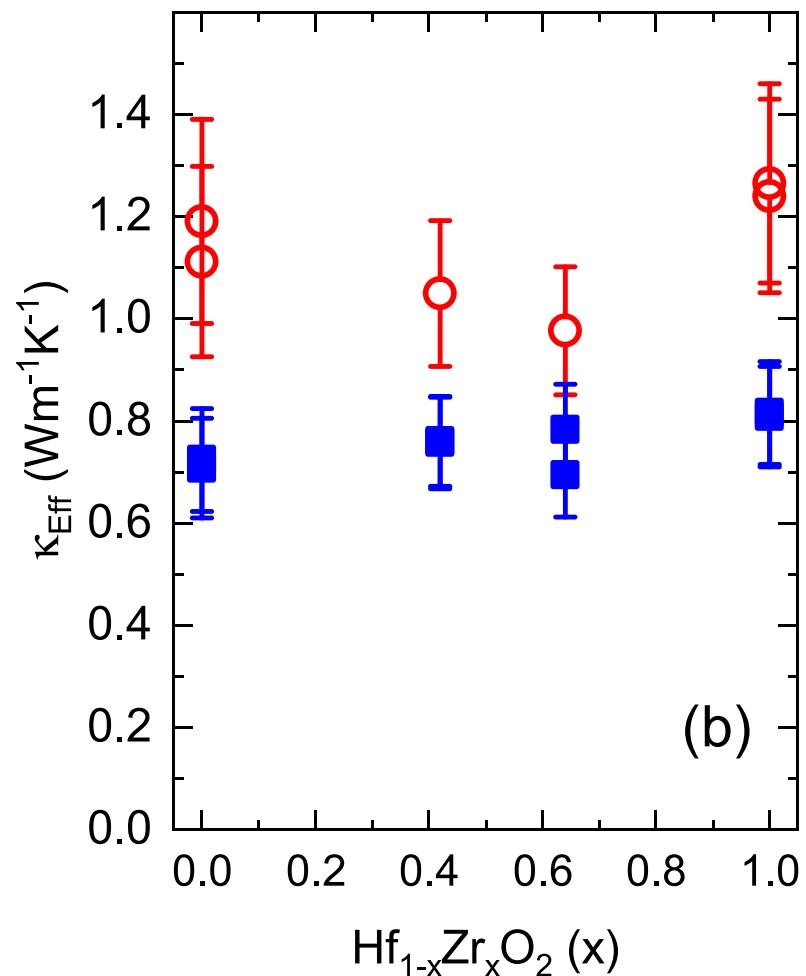
Measuring thermal conductivity in thin films: TDTR



TDTR Reviews and Analyses

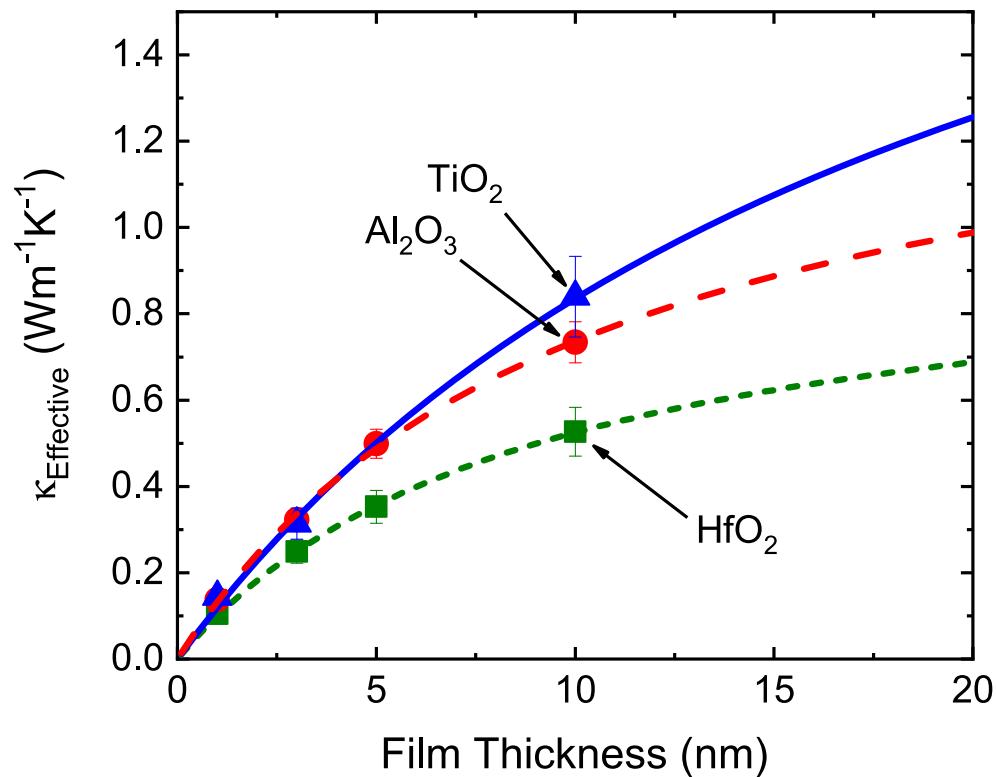
Rev. Sci. Instr. **75**, 5119
Rev. Sci. Instr. **79**, 114902
J. Heat Trans. **132**, 081302
Ann. Rev. Heat Trans. **16**, 159

Thermal conductivity of HZO thin films



APPLIED PHYSICS LETTERS 113, 192901 (2018)

- Increase in k due to crystallization
- Most likely boundary scattering limited
- Values similar to other ALD grown films

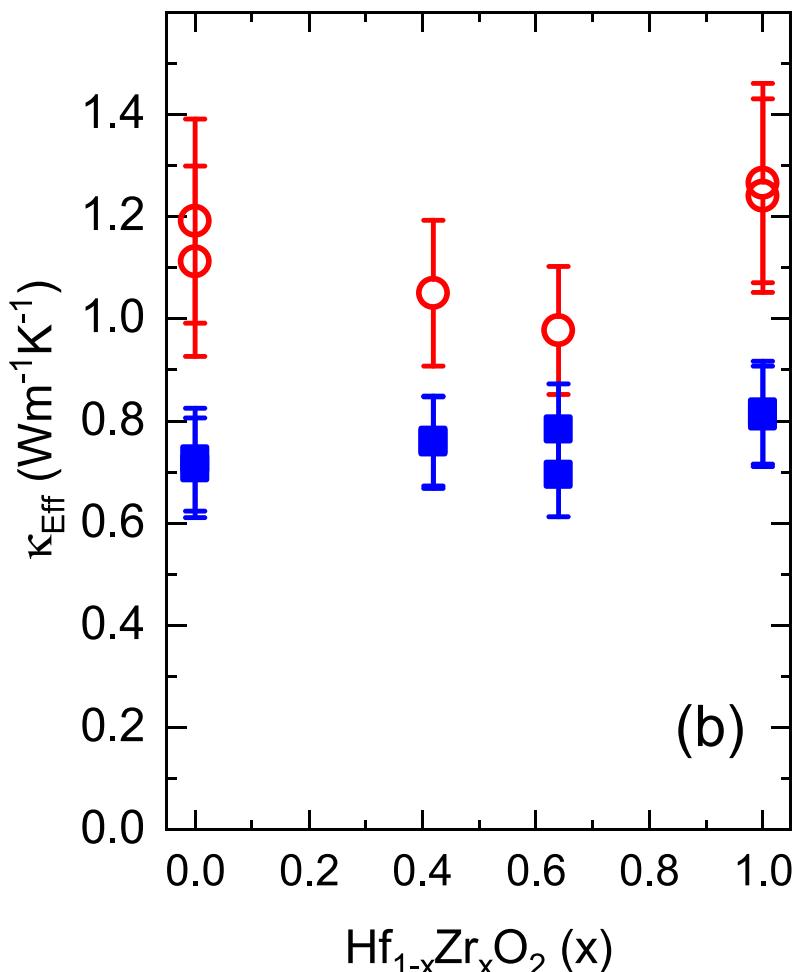


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APL Materials 6, 058302

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Thermal conductivity of HZO thin films

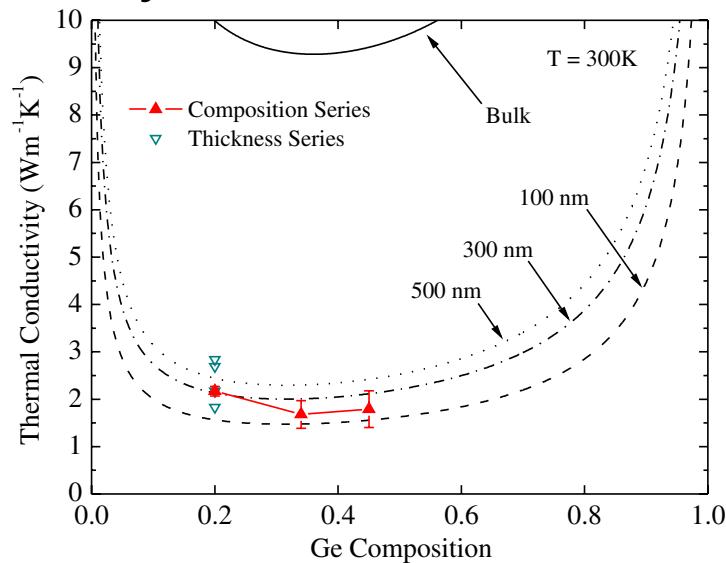


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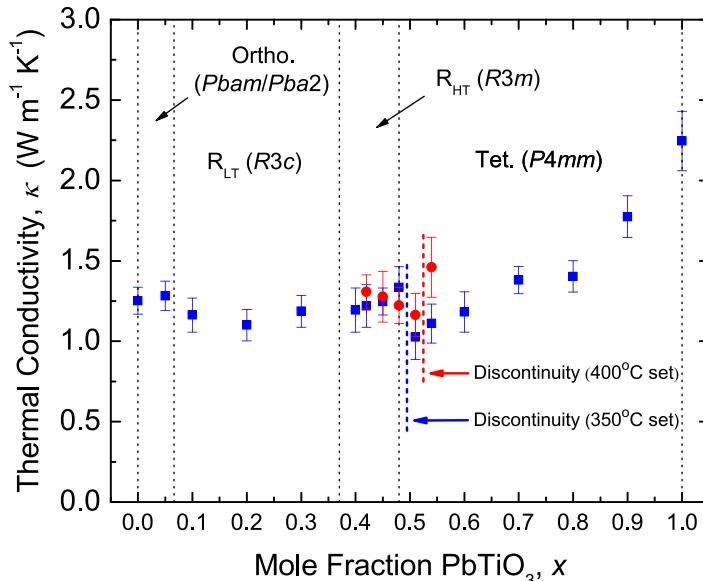
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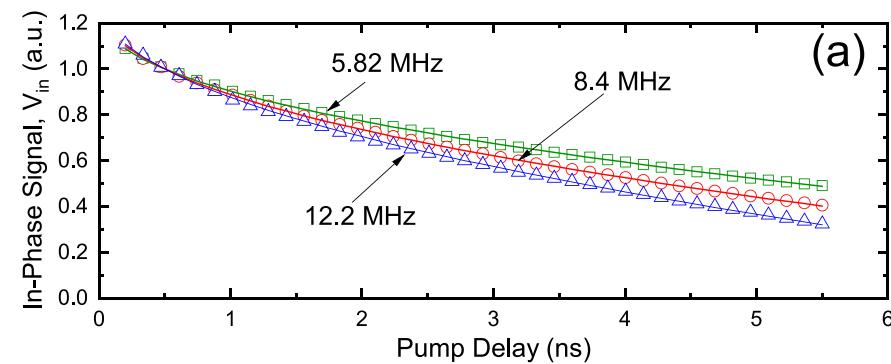
SiGe: *Phys. Rev. Lett.* **109**, 195901



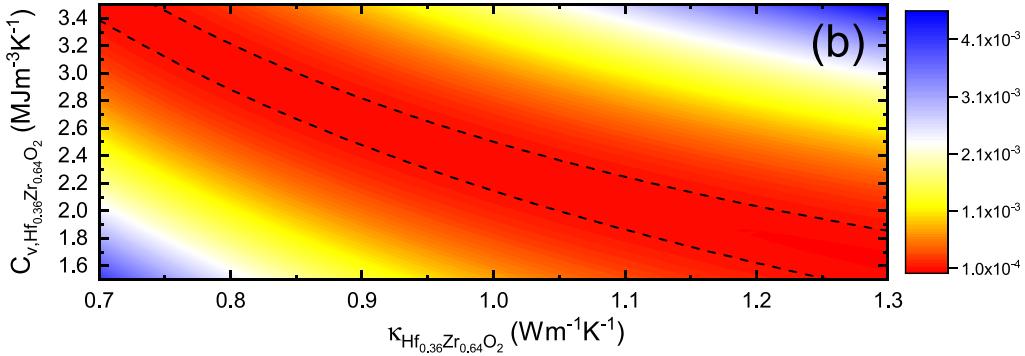
PZT: *J. Appl. Phys.* **121**, 205104



Heat capacity of HZO thin films



(a)



(b)

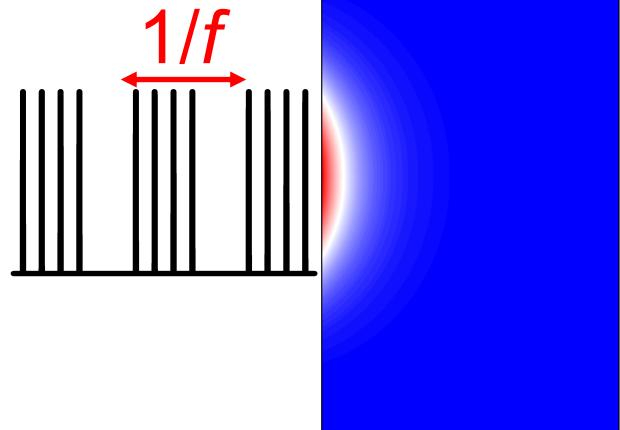
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$\text{Hf}_{1-x}\text{Zr}_x\text{O}_2 (x)$	$C_v (\text{MJ m}^{-3} \text{ K}^{-1})$
0	2.63–2.77
0.5	2.18 ± 0.56
0.7	2.64 ± 0.53
1	2.56–2.60

Modulate at different frequencies, f , to change sensitivity to C and κ

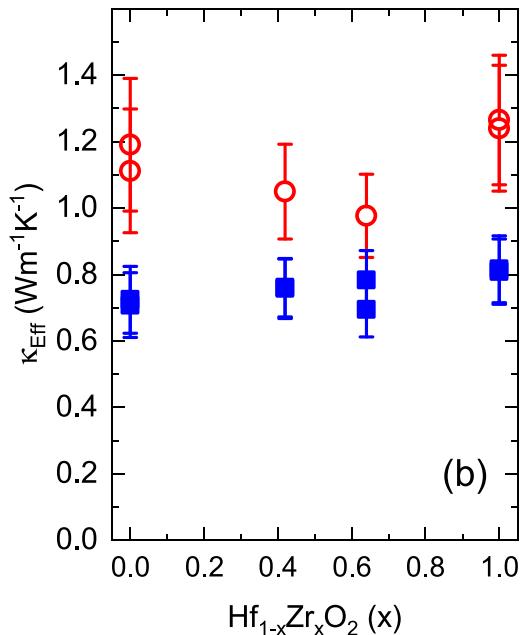


Thermal conductivity of ferroelectric HZO shows strong influence from boundary scattering for 20 nm films

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$\text{Hf}_{1-x}\text{Zr}_x\text{O}_2 (x)$	$C_v (\text{MJ m}^{-3} \text{ K}^{-1})$
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As-deposited – amorphous
Annealed – Monoclinic or mixed tetragonal/orthorhombic
 HfO_2 – Monoclinic, ZrO_2 - tetragonal

