



SCHOOL of ENGINEERING & APPLIED SCIENCE  
UNIVERSITY of VIRGINIA

# Vacancy and interface effects on phonon thermal transport in oxide nanostructures



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Dept. Mech. & Aero. Eng.

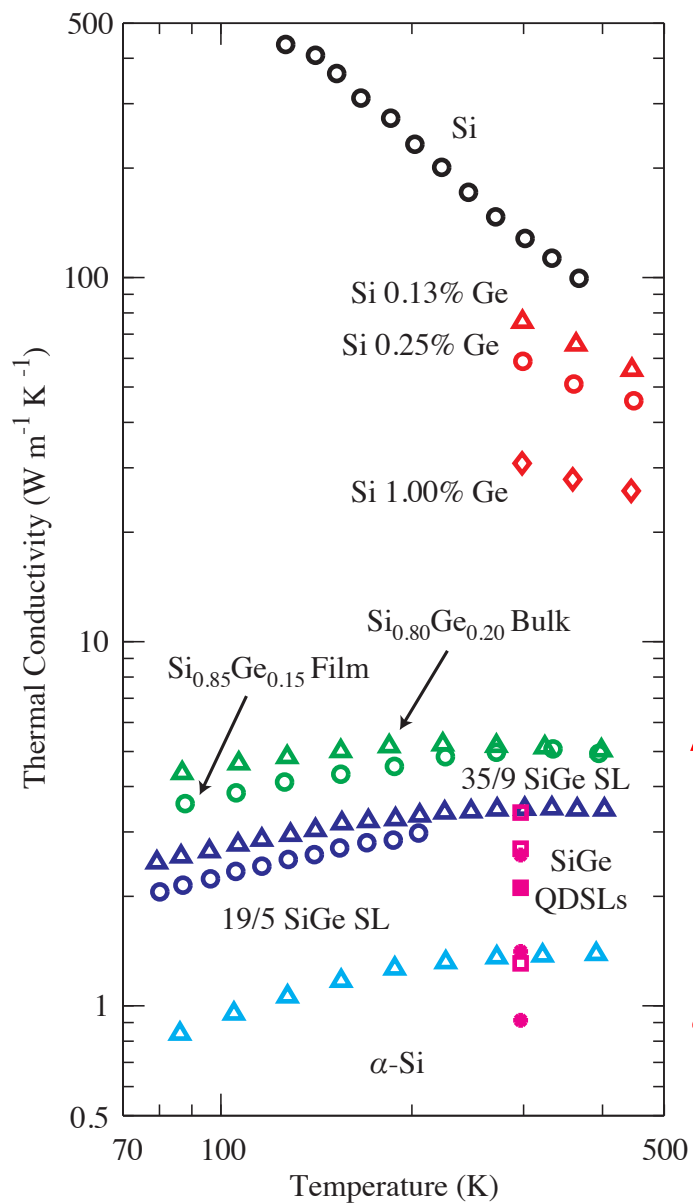
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# Defect and interface effects on thermal conductivity



PRL 109, 195901

$$\kappa = \frac{1}{3} C v \lambda = \frac{1}{3} C v_g^2 \tau$$
$$\tau = f(\tau_{\text{intrinsic}}, \tau_{\text{impurity}}, \tau_{\text{boundary}})$$

Dilute alloy

Alloy

Interface

Disorder/  
amorphous

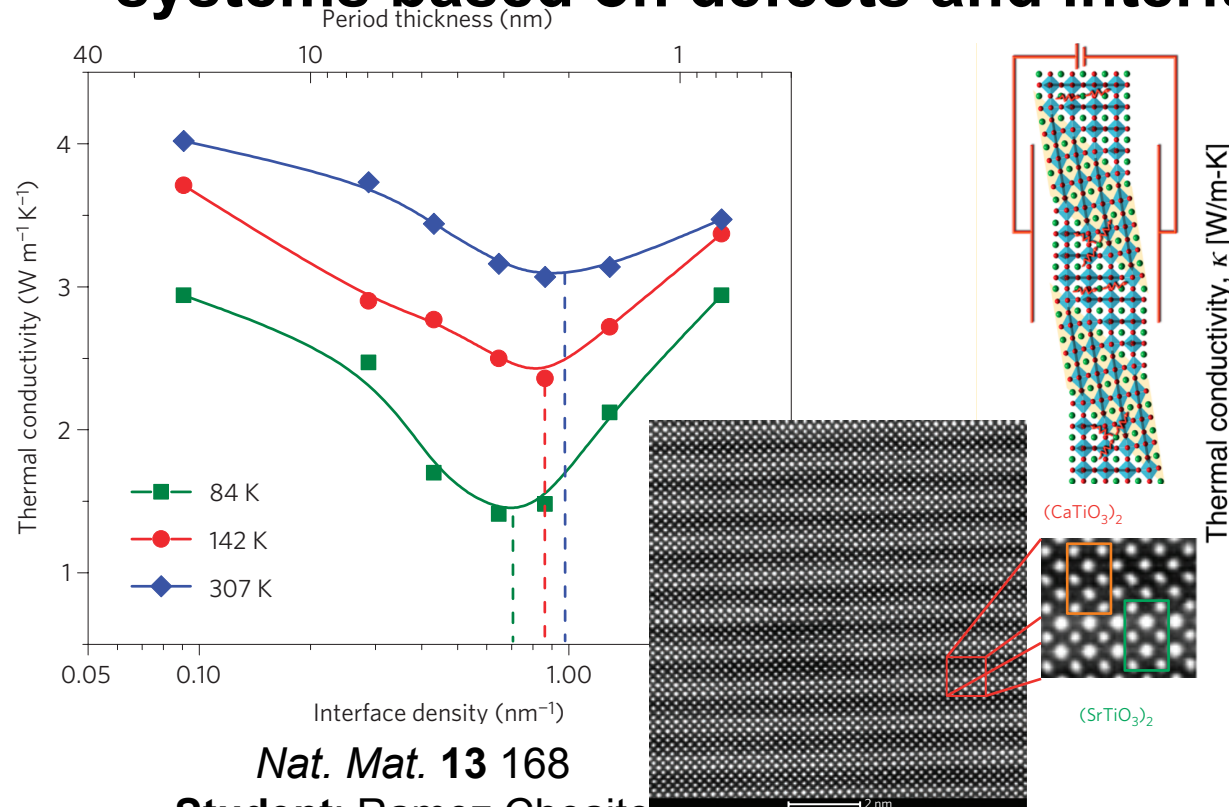


Increasing disorder  
decreases phonon  
thermal conductivity  
in Si

Typically lower limit in  
disordered/amorphous  
systems

# Question

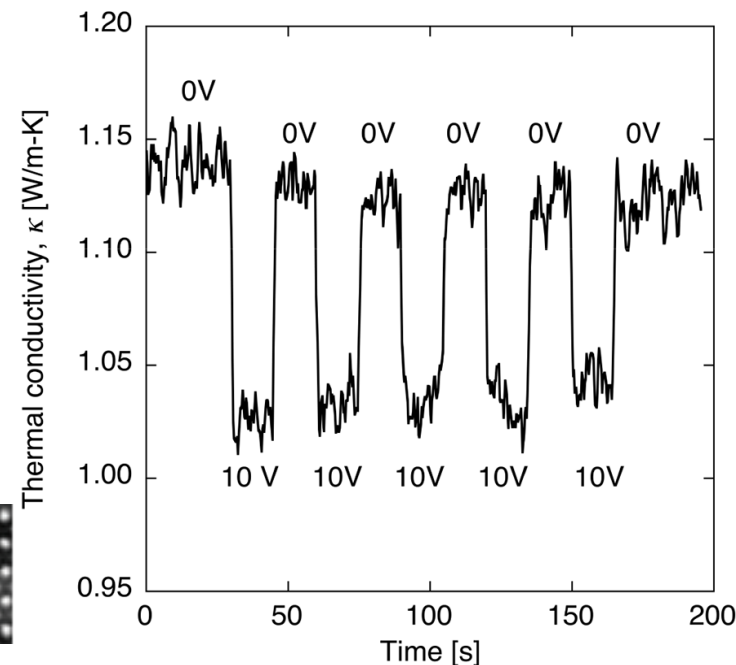
- At room temperature, over 2 orders of magnitude in intricate tunability in  $\kappa$  in silicon based on disorder/interfaces/nanostructuring
- **What is the range and precision in tuning  $\kappa$  in oxide systems based on defects and interfaces?**



*Nat. Mat.* **13** 168

**Student:** Ramez Cheaito

**Collaboration:** J. Ravichandran (USC),  
R. Ramesh (Berkeley), D. Schlom (Cornell)



*Nano Lett.* **15** 1791

**Student:** Brian Foley

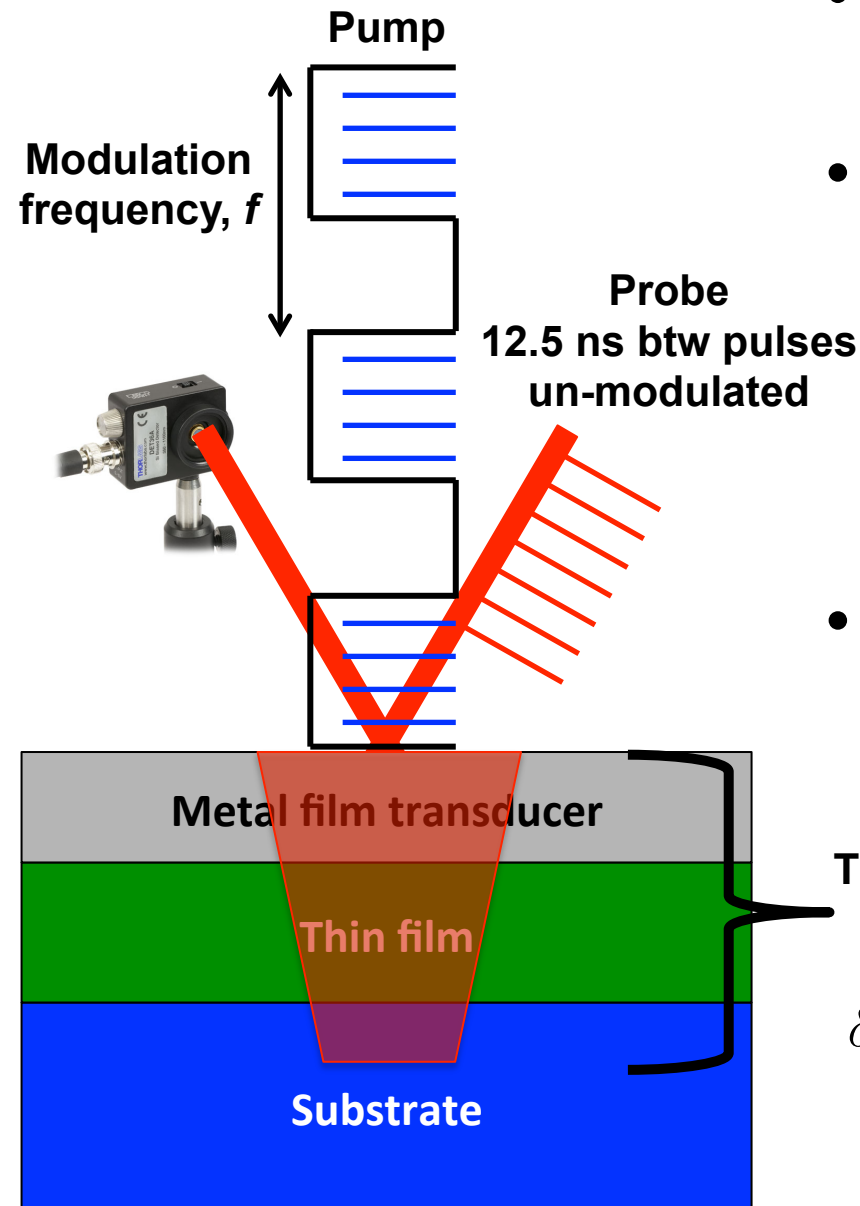
**Collaboration:** J. Ihlefeld (SNL),  
S. Trolor-McKinstry (PSU)

# Outline – Can we achieve this level of control in oxides?

- Thermal property measurements in thin films and nanosystems:  
Time domain thermoreflectance (TDTR)
- Impurity effects on thermal conductivity of oxides
  - Vacancy scattering vs. electron transport in doped CdO
  - Beating the amorphous limit to  $\kappa$  in  $\text{TiO}_2$  with molecular layers
  - What modes scatter and carry the heat at interfaces?
- Advances in thermoreflectance techniques via frequency modulation
  - Depth profiling and measuring buried interfaces
  - Alloy effects on thermal boundary conductance across  $\text{CaTiO}_3/\text{Ca}_{0.5}\text{Sr}_{0.5}\text{TiO}_3/\text{SrTiO}_3$  interfaces



# Time domain thermoreflectance (TDTR)



- Pump-probe technique
- Sub-picosecond transient resolution via pulse (rule of thumb: 100 ps for heat to diffuse across 100 nm Al film)
- Frequency-dependent heating event via the modulation of multiple pulses

Thermal penetration depth  
"Measurement volume"

$$\delta_{\text{thermal}} = \sqrt{\frac{\kappa}{\pi C f}}$$

**TDTR Reviews and Analyses**

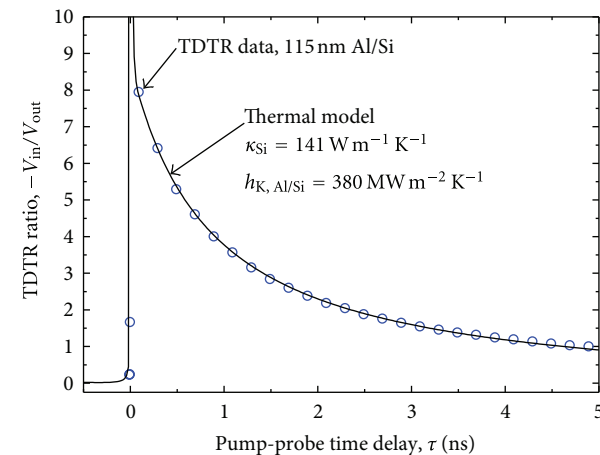
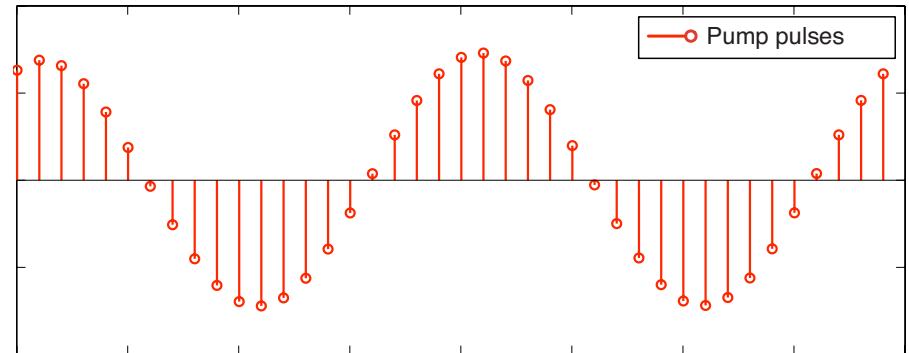
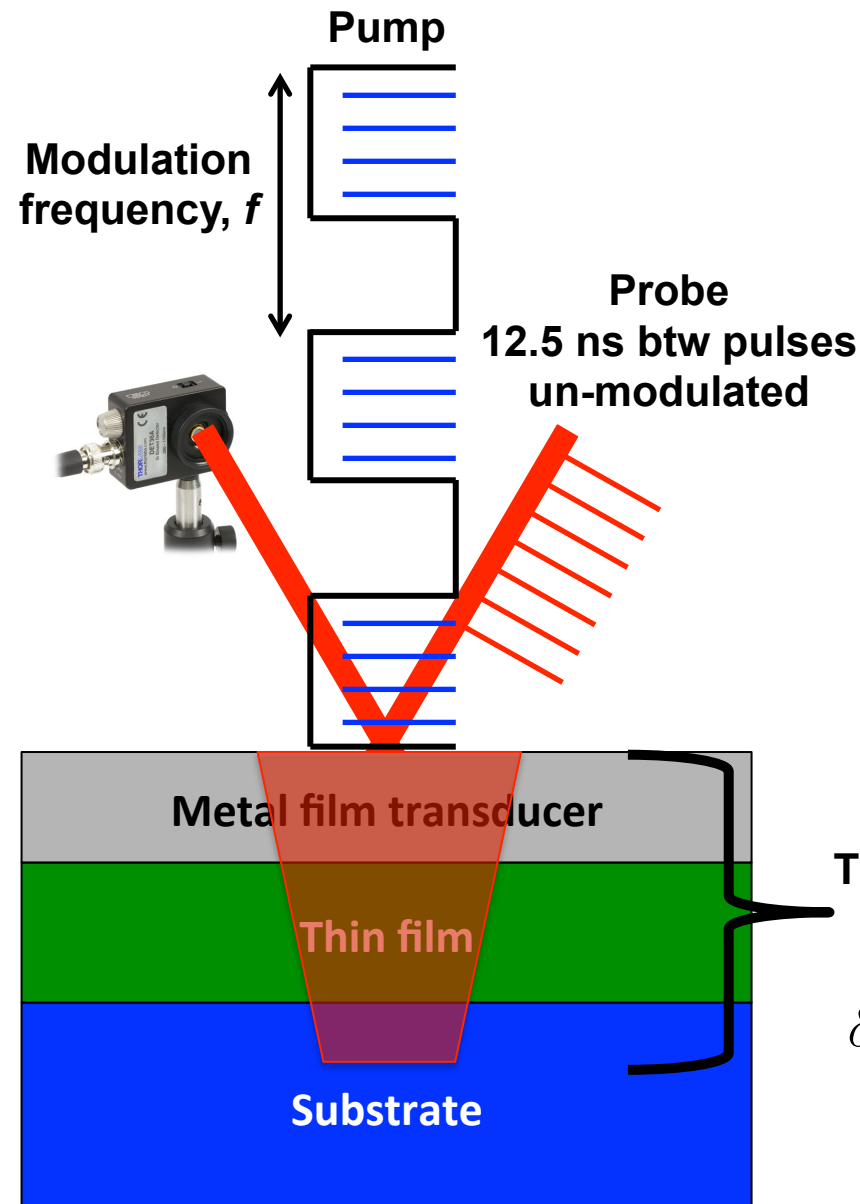
*Rev. Sci. Instr.* **75**, 5119

*Rev. Sci. Instr.* **79**, 114902

*J. Heat Trans.* **132**, 081302

*Ann. Rev. Heat Trans.* **16**, 159

# Time domain thermoreflectance (TDTR)



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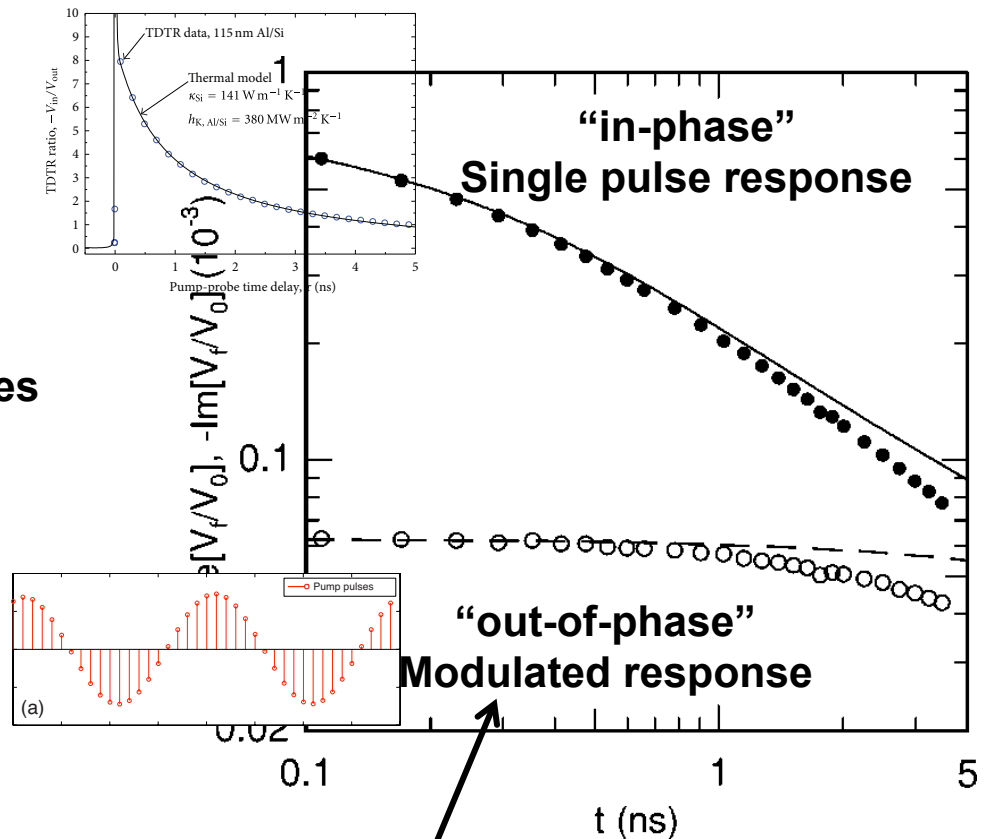
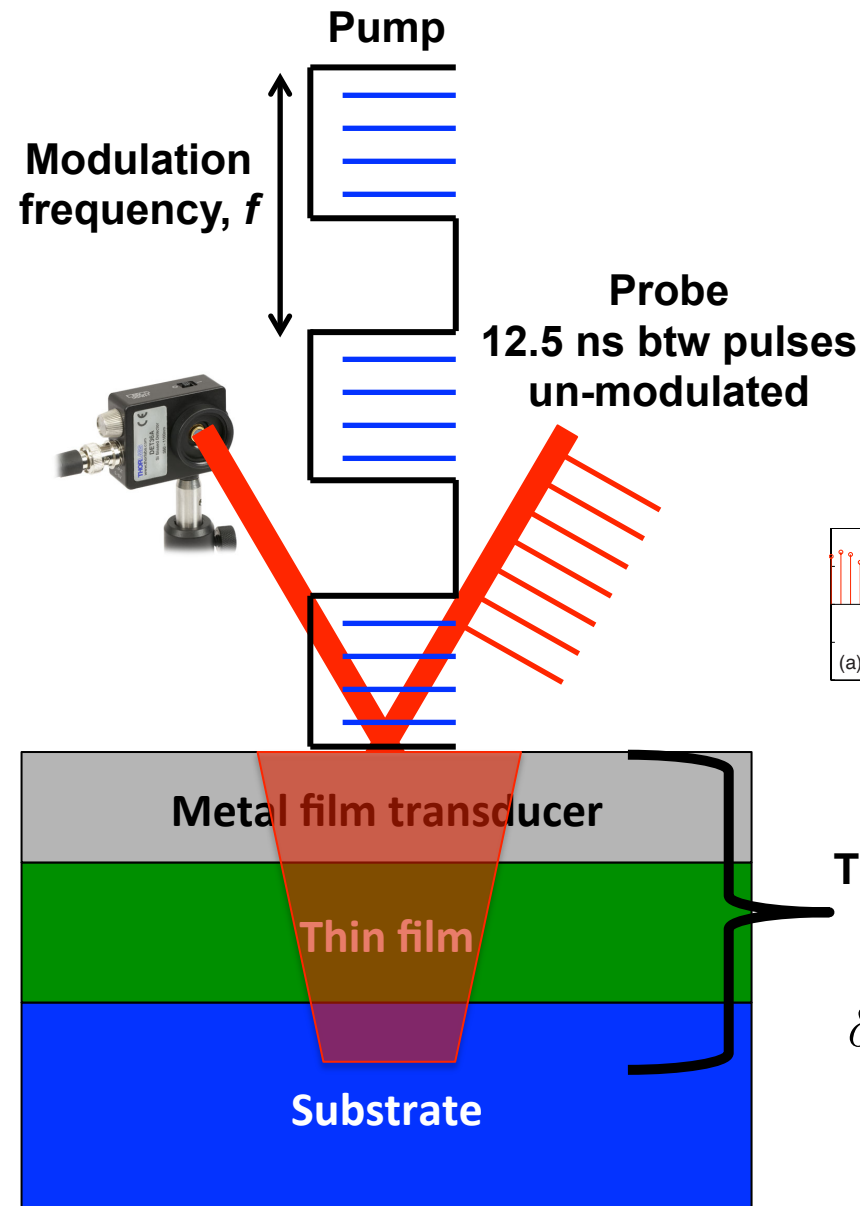
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# Time domain thermoreflectance (TDTR)



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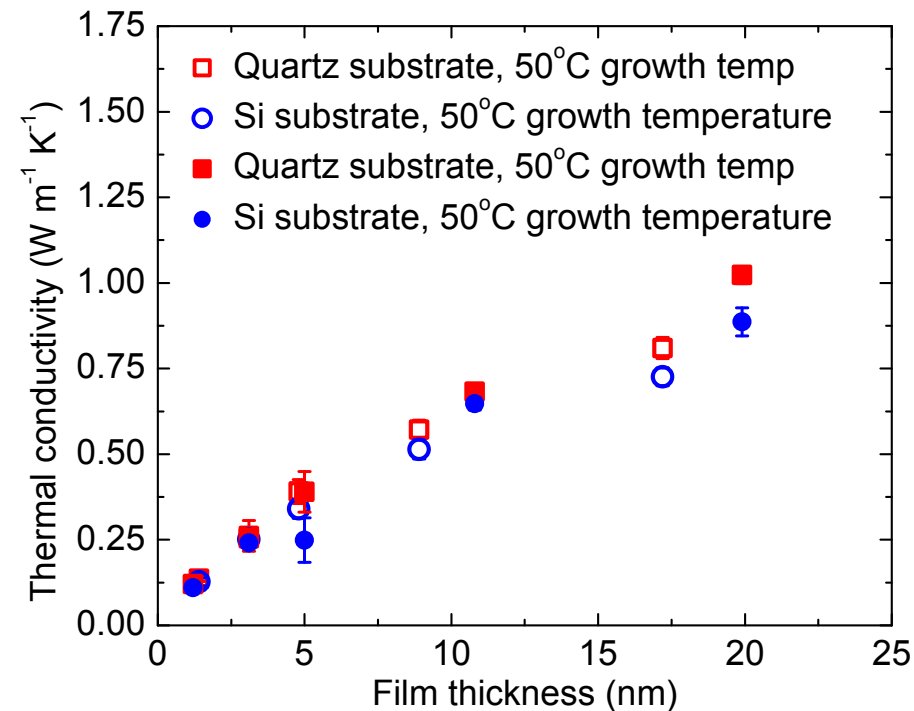
*J. Heat Trans.* **132**, 081302

*Ann. Rev. Heat Trans.* **16**, 159

# Time domain thermoreflectance (TDTR)

Can measure thermal resistance of thin films

Thermal conductivity of amorphous  $\text{Al}_2\text{O}_3$  films down to <5nm

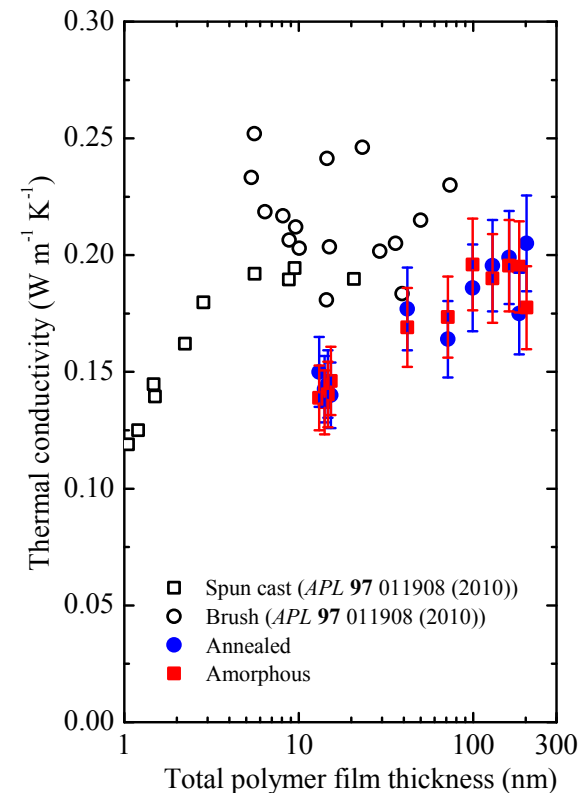


*APL* **104** 253107

Student: Kelsey Meyer

Collaboration: M. Losego (Ga. Tech)

Single to multi-layers of block-copolymer thin films



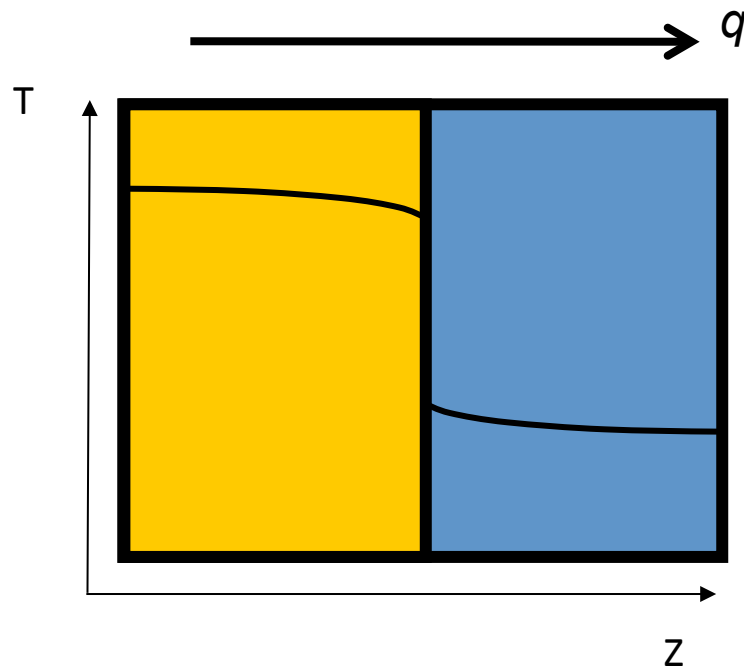
*J. Heat Trans.* **138** 024505

Collaboration: G. Brennecka (Mines)

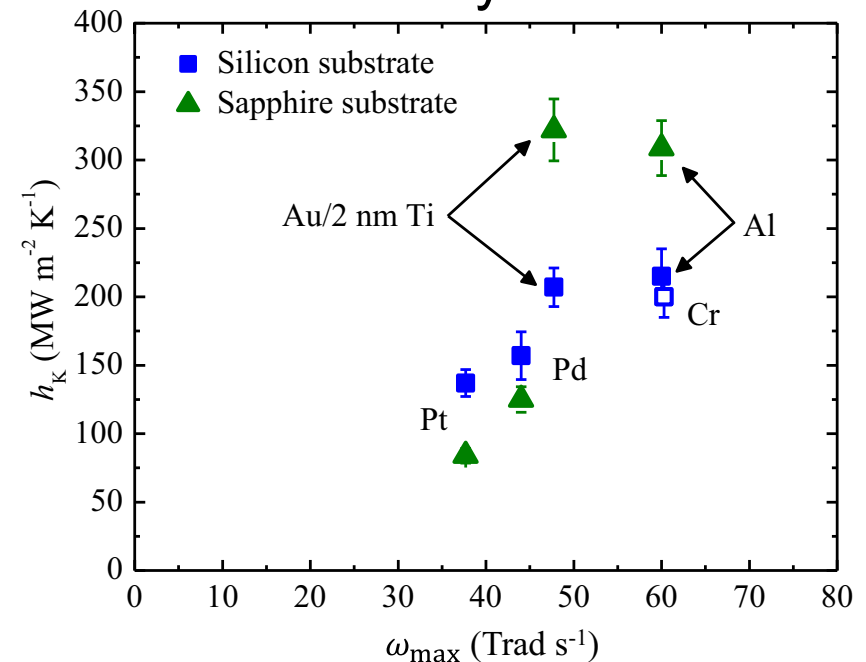


# Time domain thermoreflectance (TDTR)

Can measure thermal resistance of thin films and thermal boundary conductance across interfaces



Spectral phonon transmission across interfaces and role on thermal boundary conductance



PRB 91 035432

Student: Ramez Cheaito

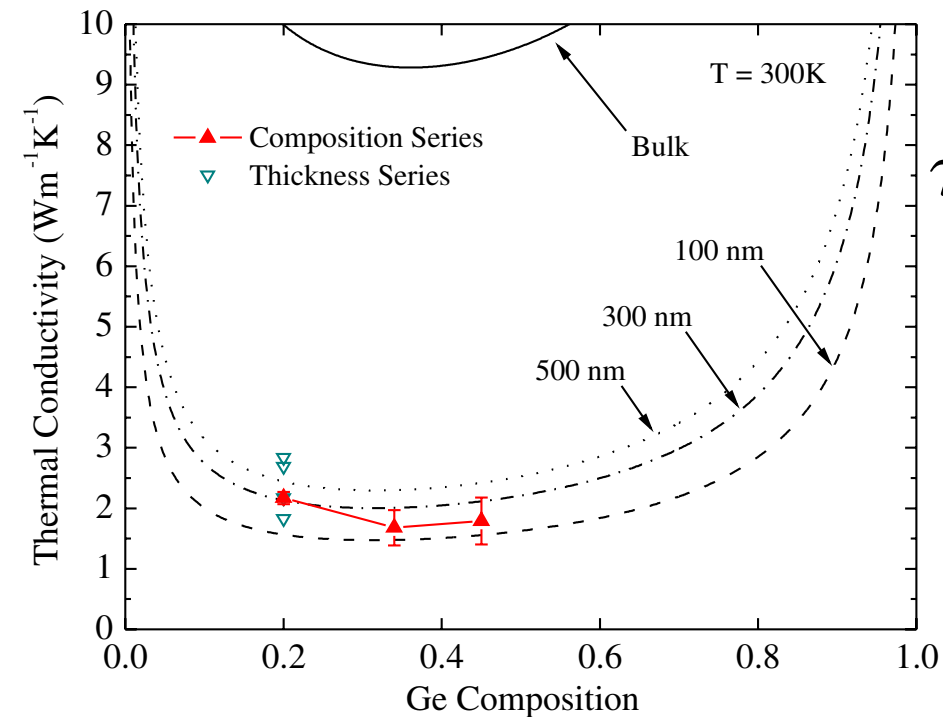
Collaboration: J. Ihlefeld

- Thermal property measurements in thin films and nanosystems:  
Time domain thermoreflectance (TDTR)
- **Impurity effects on thermal conductivity of oxides**
  - Vacancy scattering vs. electron transport in doped CdO
  - Beating the amorphous limit to  $\kappa$  in  $\text{TiO}_2$  with molecular layers
  - What modes scatter and carry the heat at interfaces?
- Advances in thermoreflectance techniques via frequency modulation
  - Depth profiling and measuring buried interfaces
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# Mass-impurity scattering...what about vacancies??

- Phonon scattering with mass impurities (such as dopants) causes a reduction in phonon thermal conductivity

Example: Thermal conductivity of  $\text{Si}_{1-x}\text{Ge}_x$  films



$$\kappa = \frac{1}{3} C v \lambda = \frac{1}{3} C v_g^2 \tau$$

$$\tau^{-1} \propto \tau_{\text{mass impurity}}^{-1} \propto \Delta M$$

Very rigorously  
vetted over time

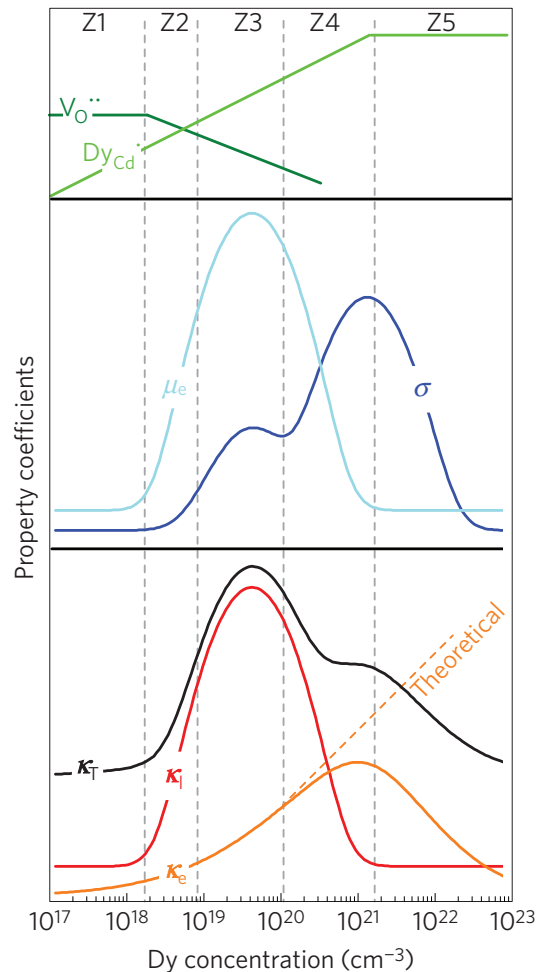
What about phonon-vacancy  
scattering? Not nearly as vetted

*PRL* **109** 195901  
**Student:** Ramez Cheaito  
**Collaboration:** J. Ihlefeld (SNL)

$$\tau_{\text{vacancy}}^{-1} \propto -\frac{M_{\text{absent atom}}}{M} - 2$$

# Vacancy effects on the thermal conductivity of CdO

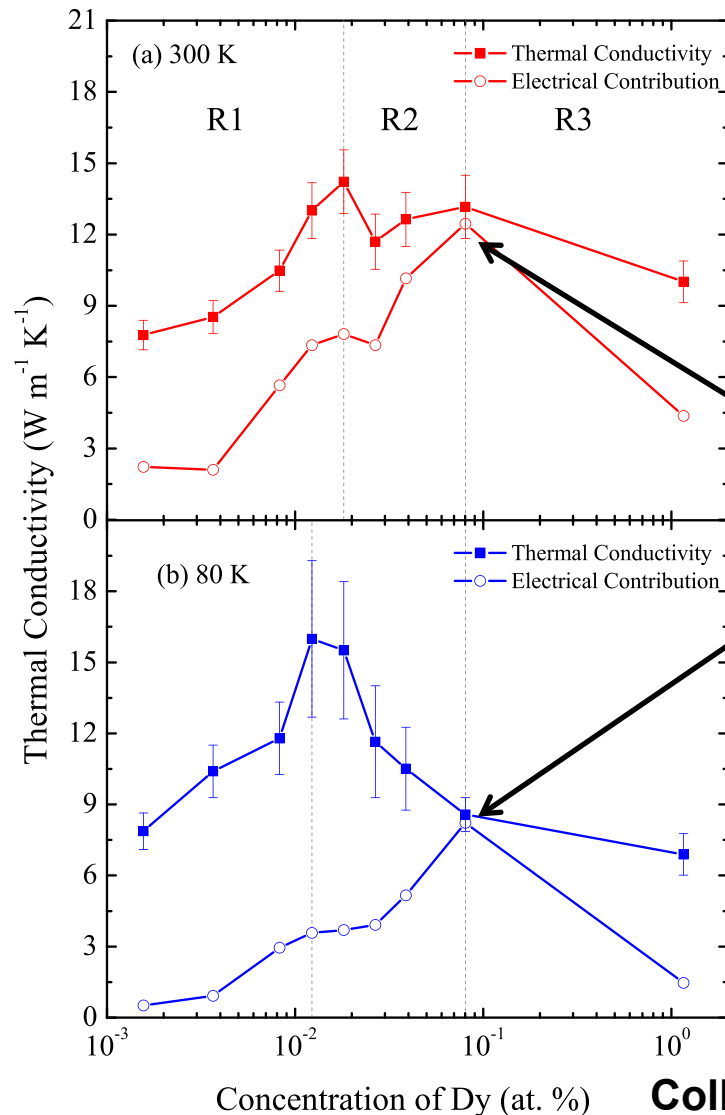
- Lower temperature changes relative contribution of electron thermal conductivity and phonon-impurity scattering



*Nat. Mat.* **14** 414

Student: Brian Donovan

Collaboration: JP Maria (NCSU)



Peaks in  
 $\kappa_{\text{electron}}$

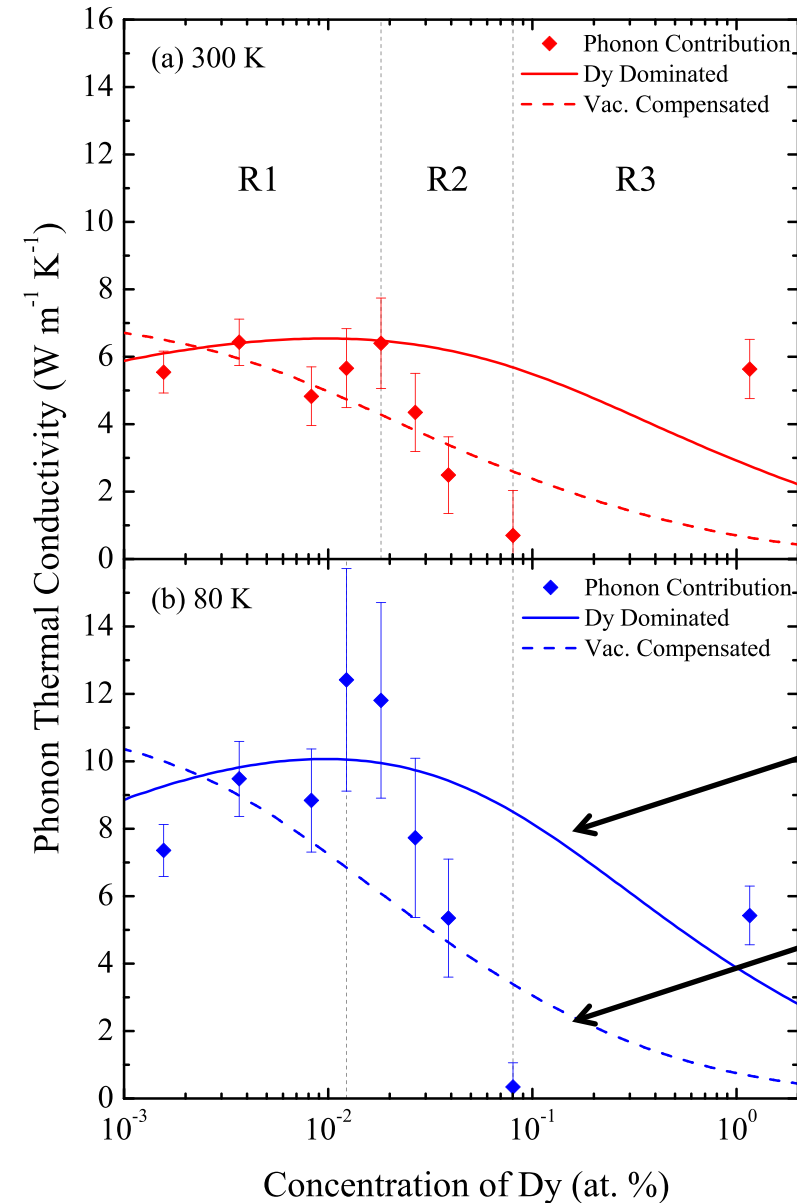
*APL* **108** 021901

Student: Brian Donovan

Collaboration: JP Maria (NCSU)



# Vacancy effects on the thermal conductivity of CdO



• Cation vacancies responsible for majority of phonon scattering and reduction in thermal conductivity in “Region 2”

$$\kappa = \frac{1}{3} C v \lambda = \frac{1}{3} C v_g^2 \tau$$

$$\tau^{-1} \propto \tau_{\text{mass impurity}}^{-1} \propto \Delta M$$

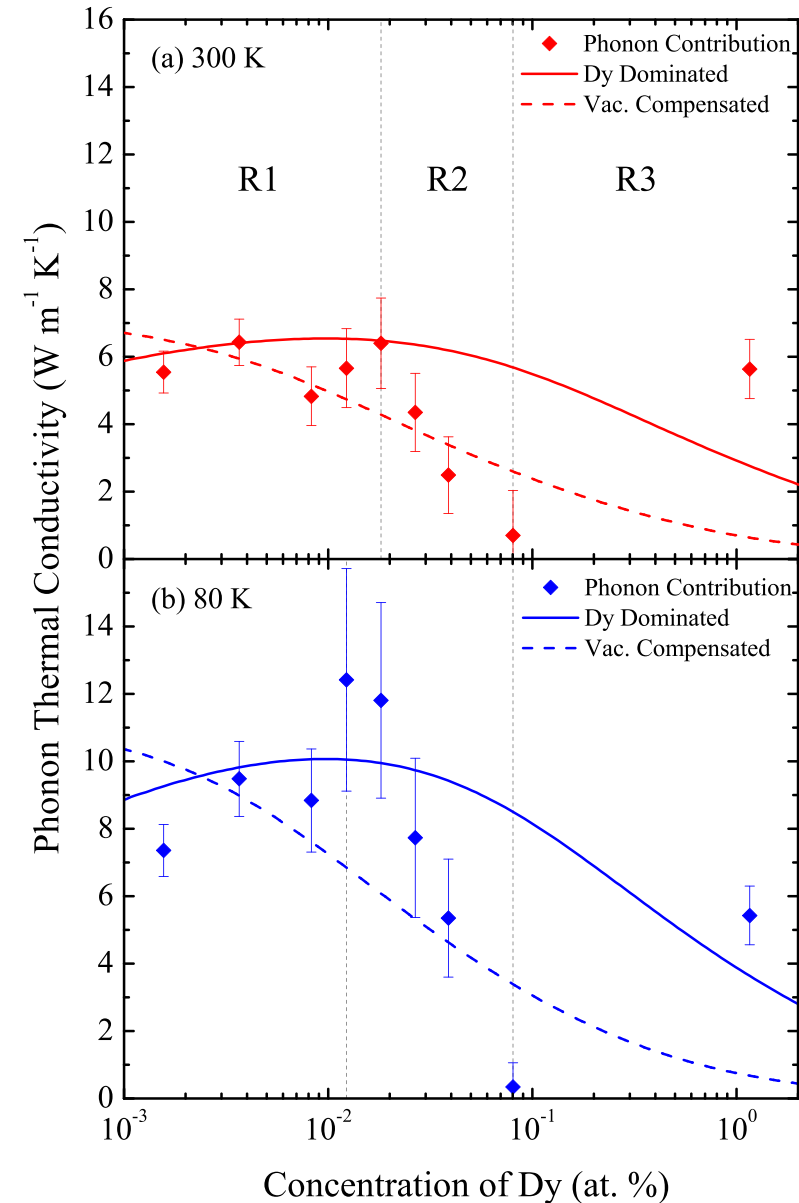
$$\tau_{\text{vacancy}}^{-1} \propto -\frac{M_{\text{absent atom}}}{M} - 2$$

APL 108 021901

Student: Brian Donovan

Collaboration: JP Maria (NCSU)

# Vacancy effects on the thermal conductivity of CdO



- Cation vacancies responsible for majority of phonon scattering and reduction in thermal conductivity in “Region 2”
- Can achieve very low phonon thermal conductivity with disorder
- How much more can we push it?.....turn to interfaces!

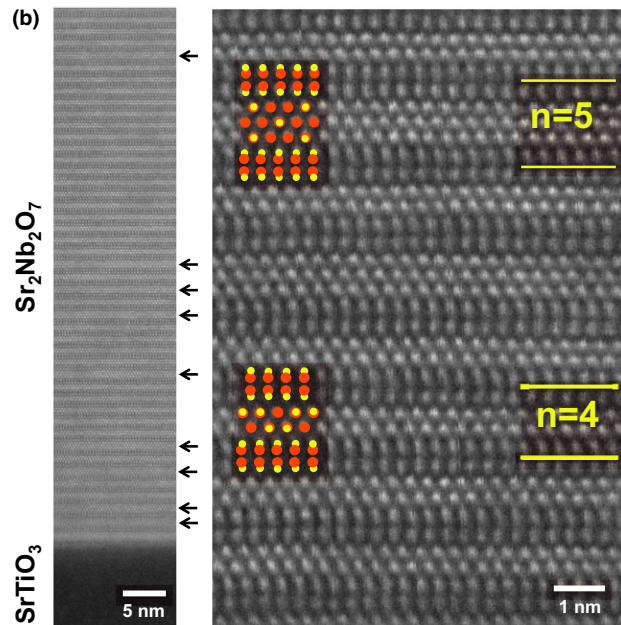
APL 108 021901

Student: Brian Donovan

Collaboration: JP Maria (NCSU)

# Low phonon thermal conductivities with interfaces

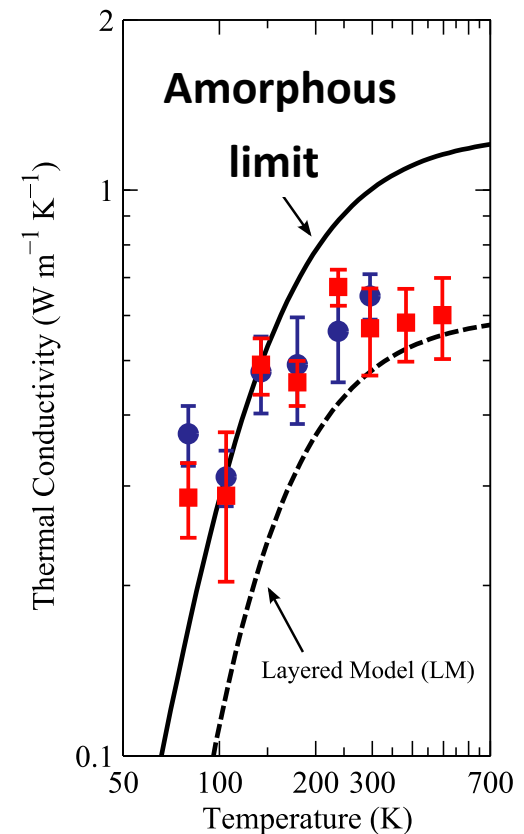
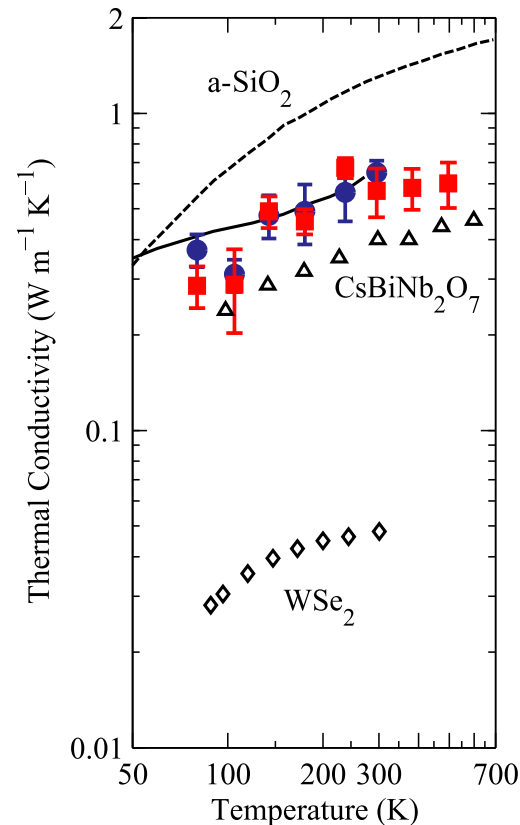
- Don't necessarily need crystalline disorder/dopants/impurities to reduce the thermal conductivity
- Interfaces will reduce phonon transport via phonon-boundary scattering and thermal boundary resistances
- Previously reported in superlattices and layered crystals



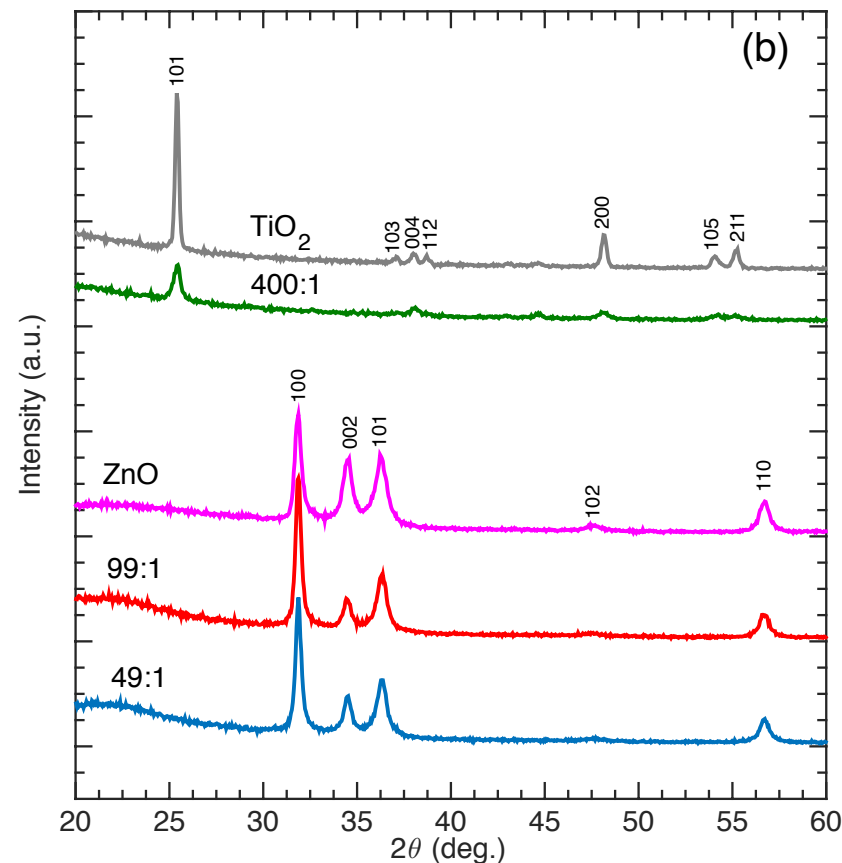
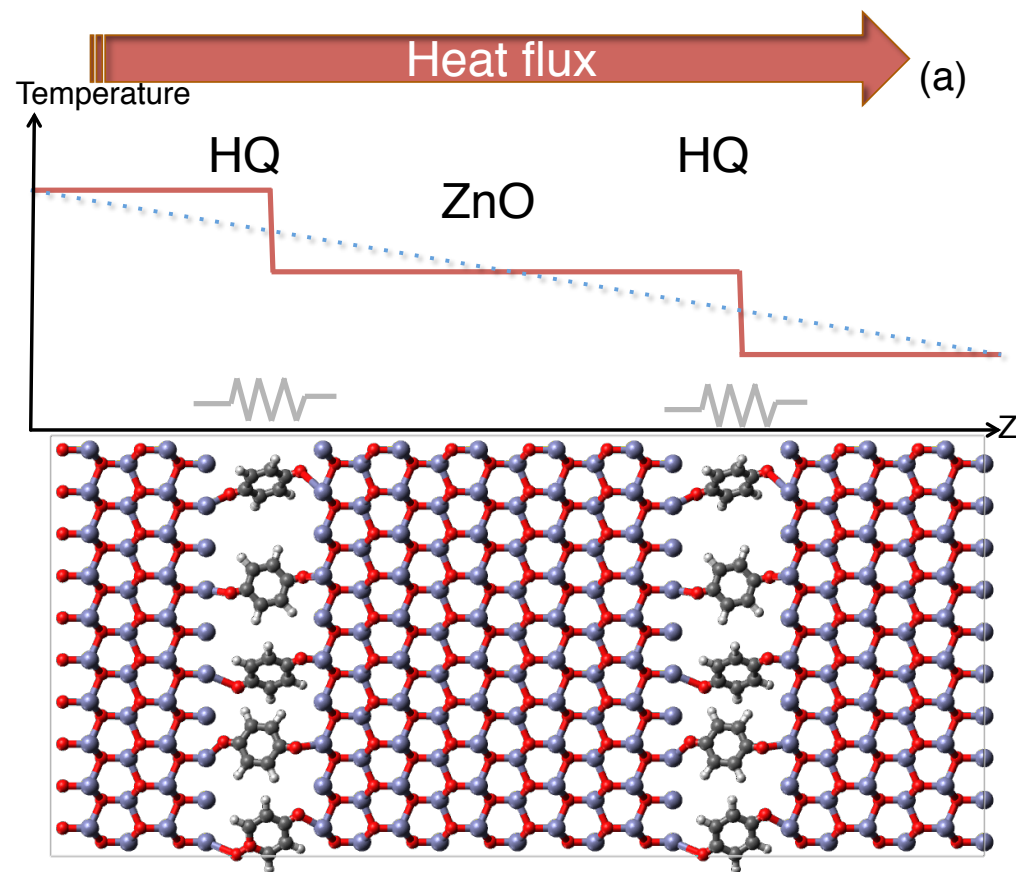
JACerS 98 624

Student: Brian Foley

Collaboration: J. Ihlefeld (SNL)



# Combined ALD/MLD to reduce $\kappa$ in oxides



**PRB 93 024201**

*J. Mat. Chem. A* **3**, 11527

*J. Mat. Chem. A* **2**, 12150

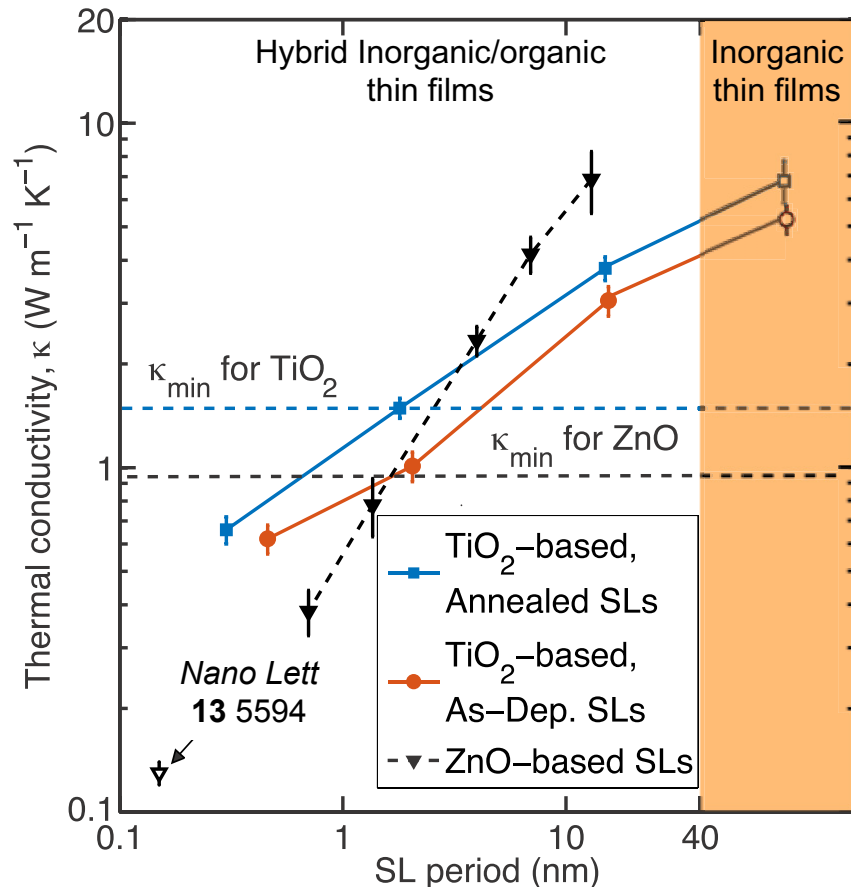
**Student:** Ashutosh Giri

**Collaboration:** M. Karppinen (Aalto)

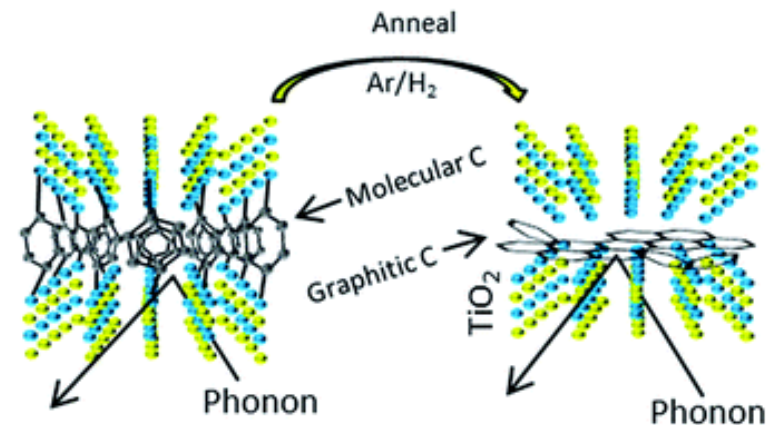


# Combined ALD/MLD to reduce $\kappa$ in oxides

- Phonon scattering at organic/inorganic interface can lead crystalline composites achieving  $\kappa$  less than amorphous phase



- Reductions due to the thermal boundary resistance across organic/inorganic interfaces



*PRB* **93** 024201

*J. Mat. Chem. A* **3**, 11527

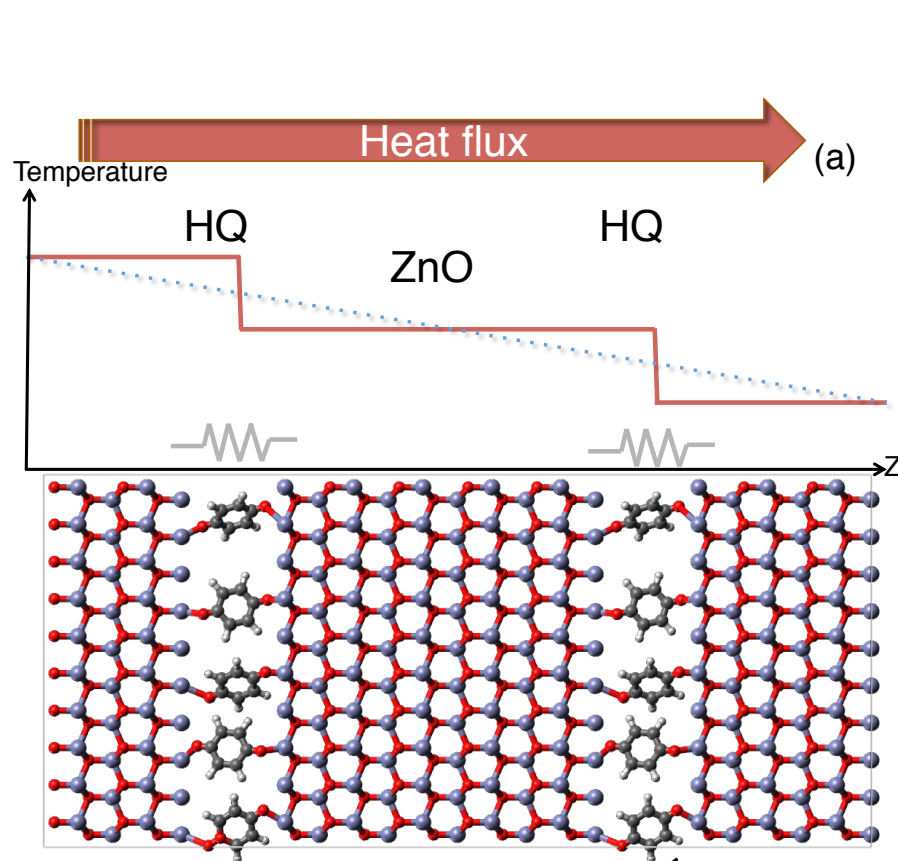
*J. Mat. Chem. A* **2**, 12150

**Student:** Ashutosh Giri

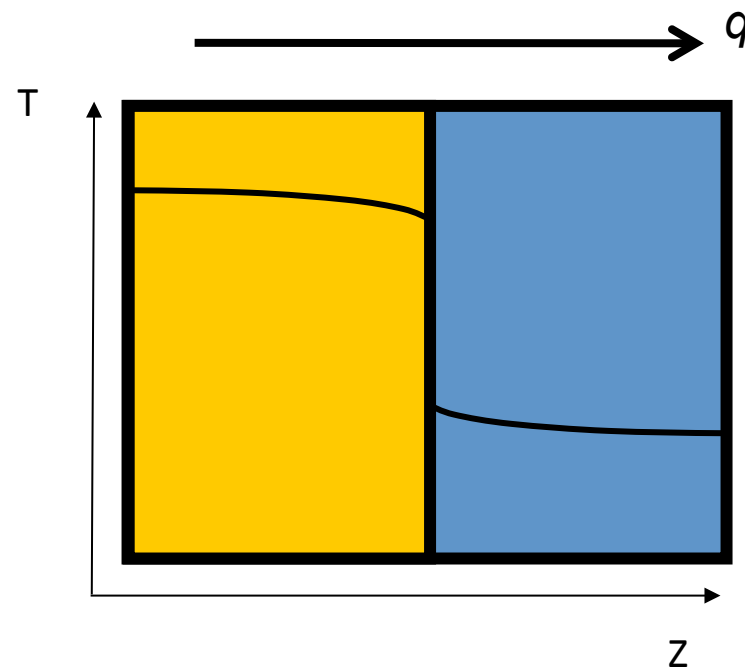
**Collaboration:** M. Karppinen (Aalto)

# Combined ALD/MLD to reduce $\kappa$ in oxides

- Phonon scattering at organic/inorganic interface can lead crystalline composites to achieve  $\kappa$  less than amorphous phase



$$q = h_K \Delta T = \frac{1}{R_K} \Delta T$$



PRB 93 024201

*J. Mat. Chem. A* **3**, 11527

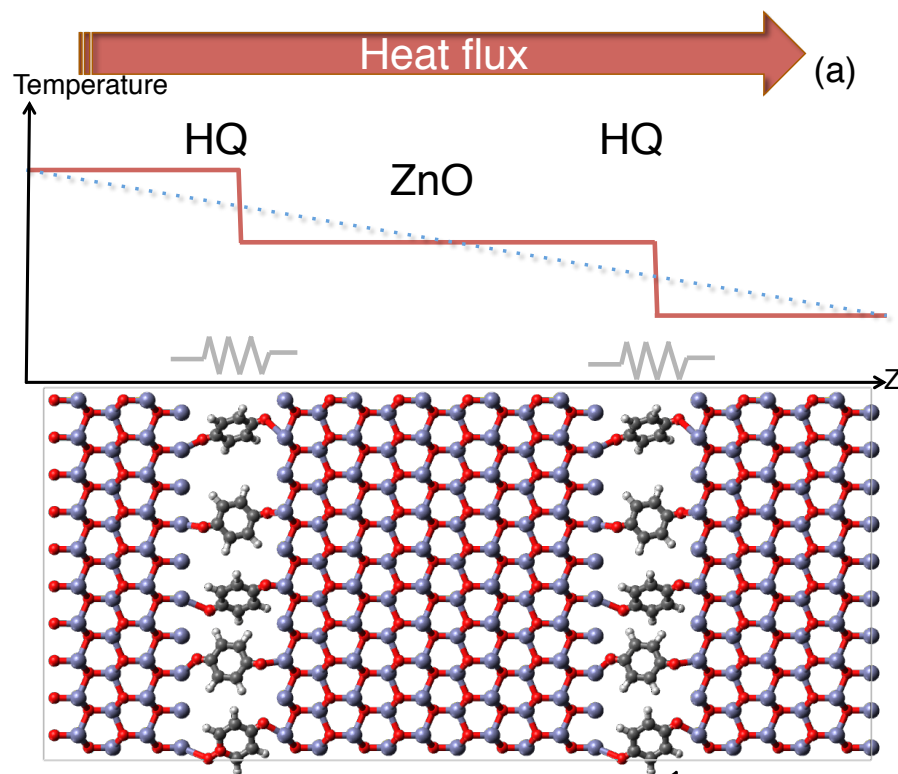
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**Student:** Ashutosh Giri

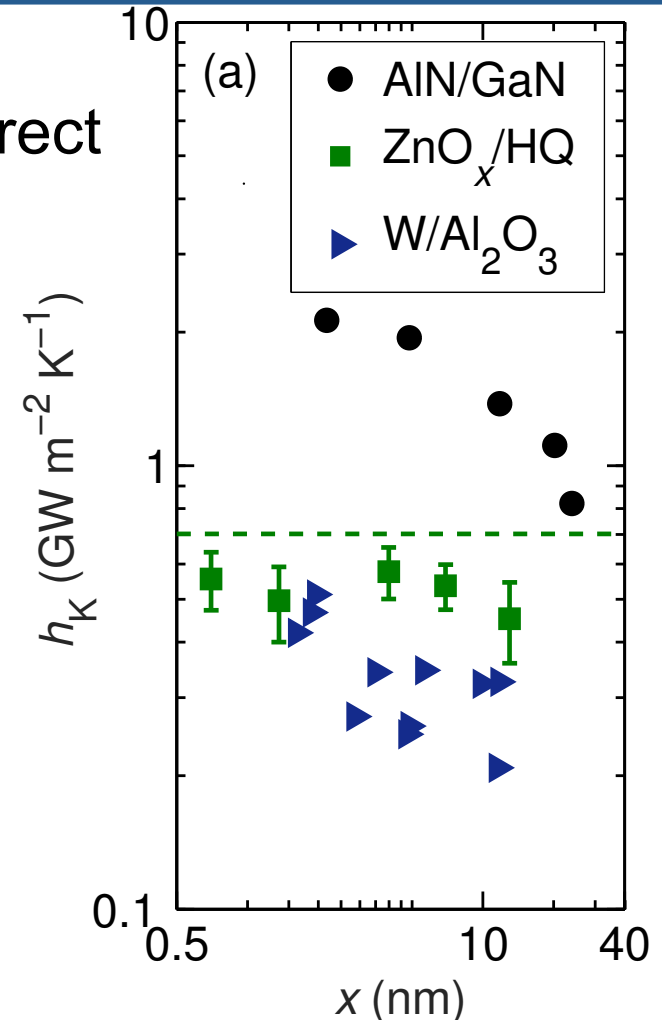
**Collaboration:** M. Karppinen (Aalto)

# Combined ALD/MLD to reduce $\kappa$ in oxides

- Molecular interface cases all phonon modes to scatter at boundary (aka: no direct transmission of oxide modes across HQ)



$$q = h_K \Delta T = \frac{1}{R_K} \Delta T$$



PRB 93 024201

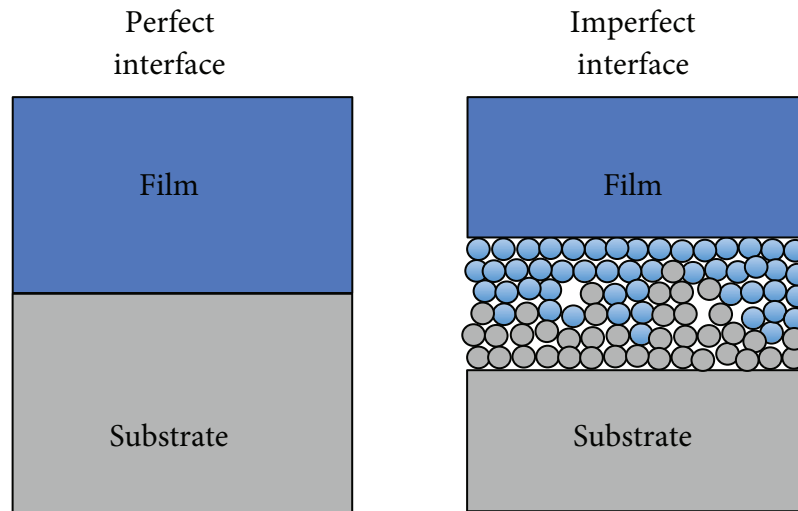
*J. Mat. Chem. A* 3, 11527

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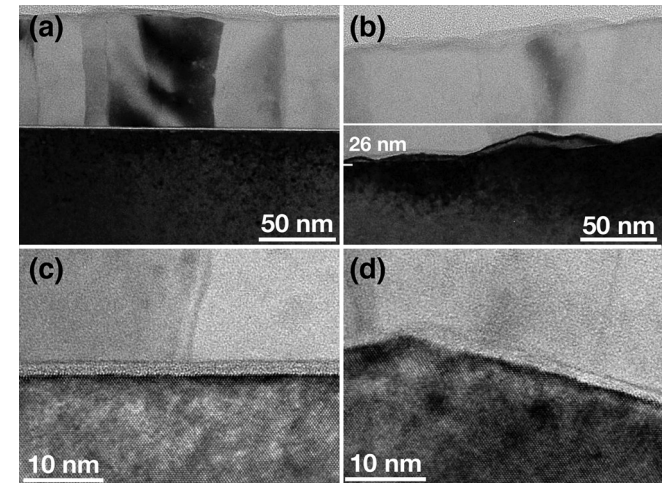
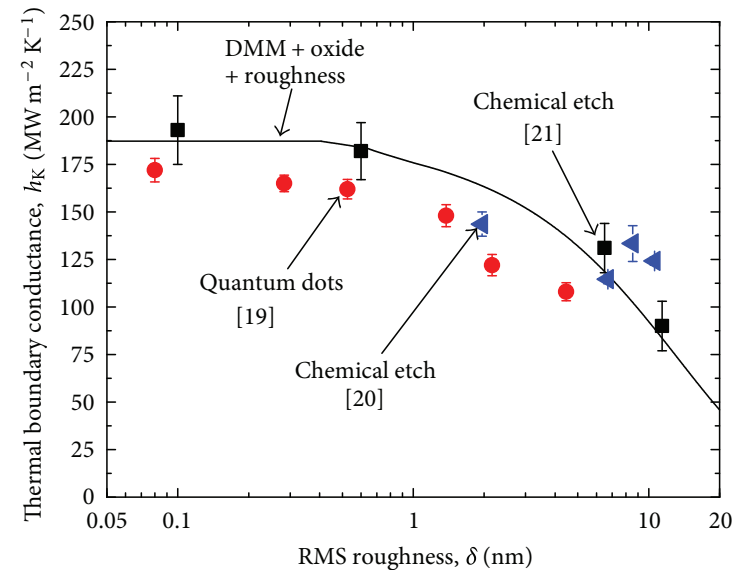
**Student:** Ashutosh Giri

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# How do we manipulate the thermal boundary conductance?

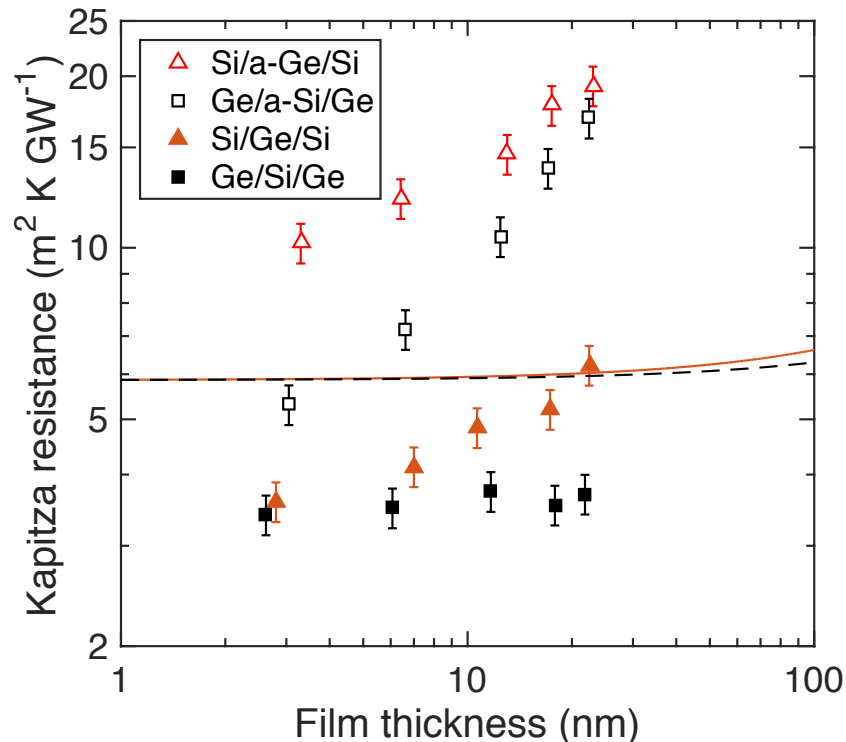
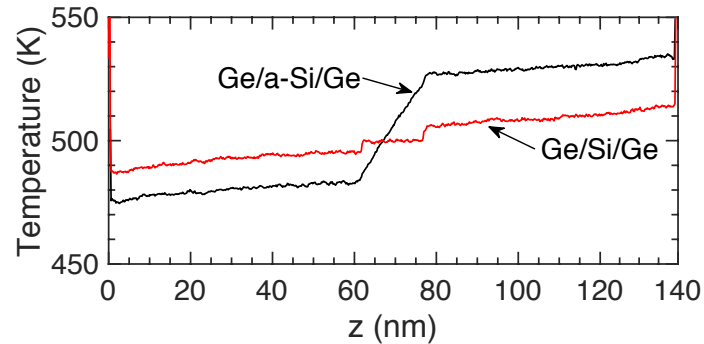
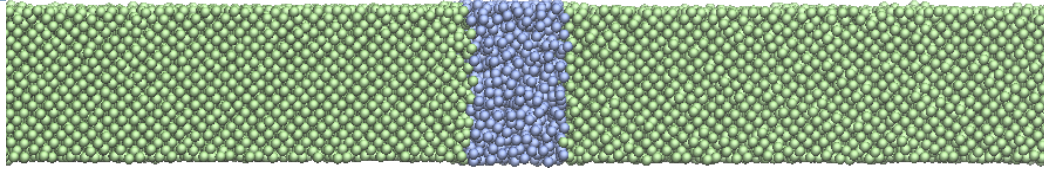


- Defects/imperfections generally thought to decrease  $h_K$ .
- How is phonon transmission affected by disordered interfacial films/layers?





# How do we manipulate the thermal boundary conductance?



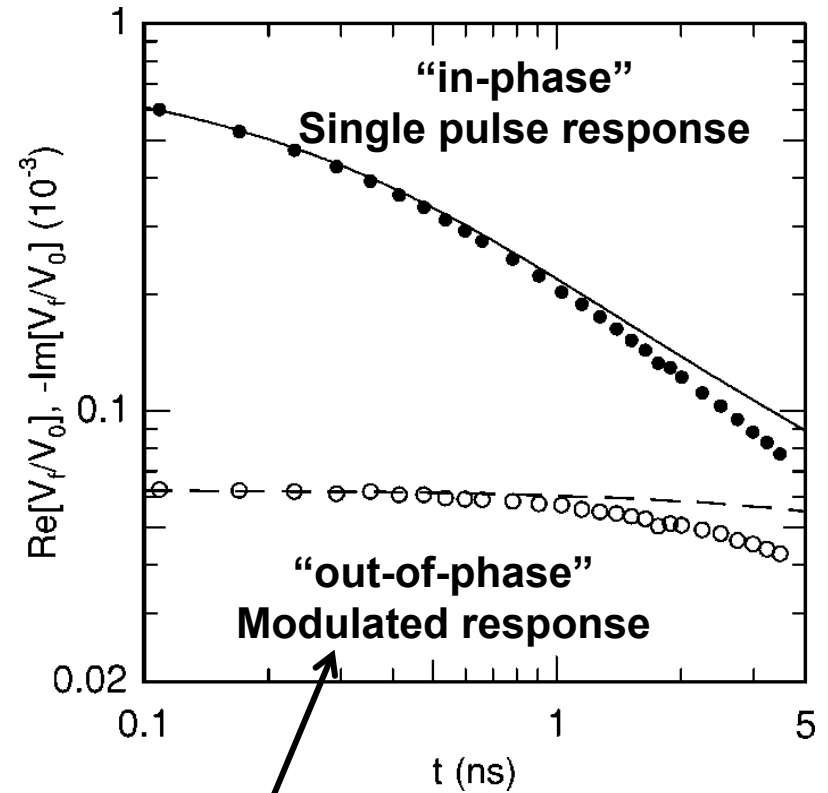
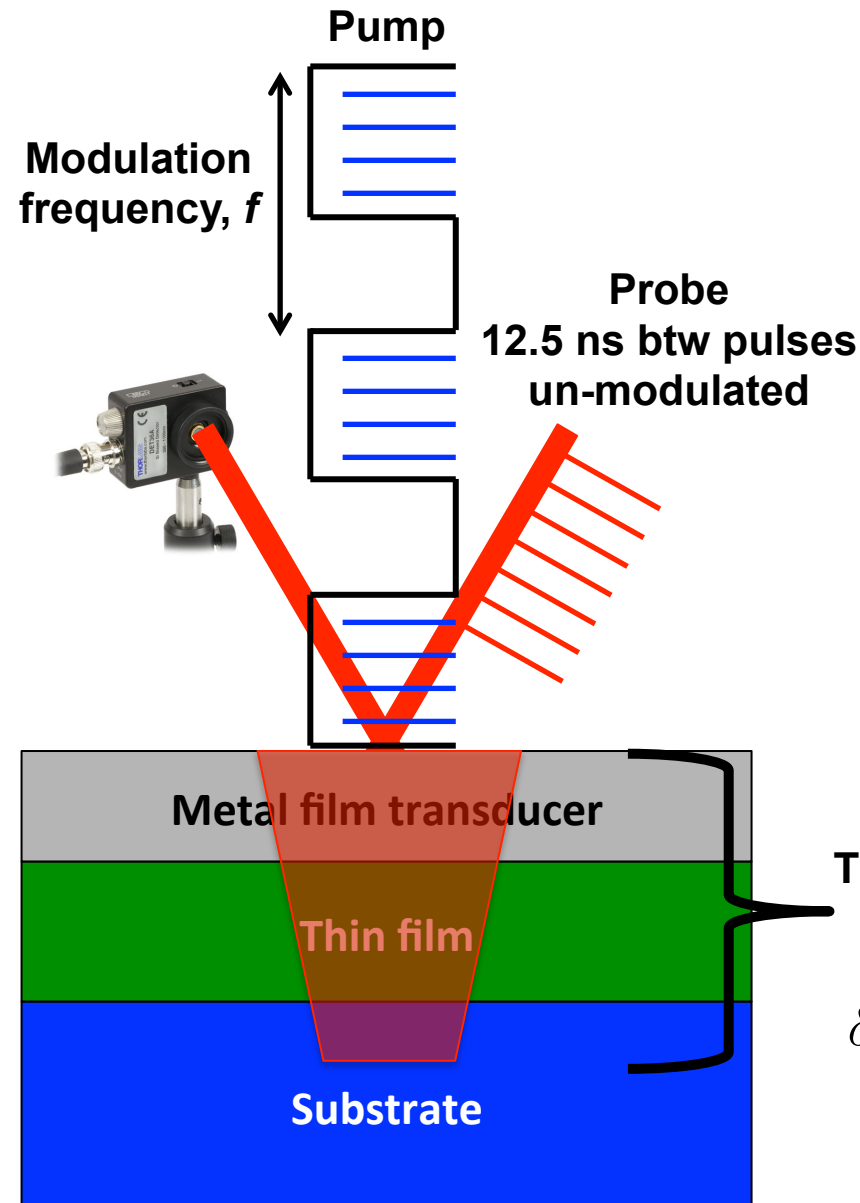
- Heat transfer across interfacial regions related to two things:

- 1) Thermal boundary conductance across boundaries
- 2) Thermal conductivity of interfacial regions (*ballistic modes can help us here*)

# Outline

- Thermal property measurements in thin films and nanosystems:  
Time domain thermoreflectance (TDTR)
- Impurity effects on thermal conductivity of oxides
  - Vacancy scattering vs. electron transport in doped CdO
  - Beating the amorphous limit to  $\kappa$  in  $\text{TiO}_2$  with molecular layers
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  - Depth profiling and measuring buried interfaces
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# Frequency domain thermoreflectance (FDTR)



Thermal penetration depth  
“Measurement volume”

$$\delta_{\text{thermal}} = \sqrt{\frac{\kappa}{\pi C f}}$$

**TDTR Reviews and Analyses**

*Rev. Sci. Instr.* **75**, 5119

*Rev. Sci. Instr.* **79**, 114902

*J. Heat Trans.* **132**, 081302

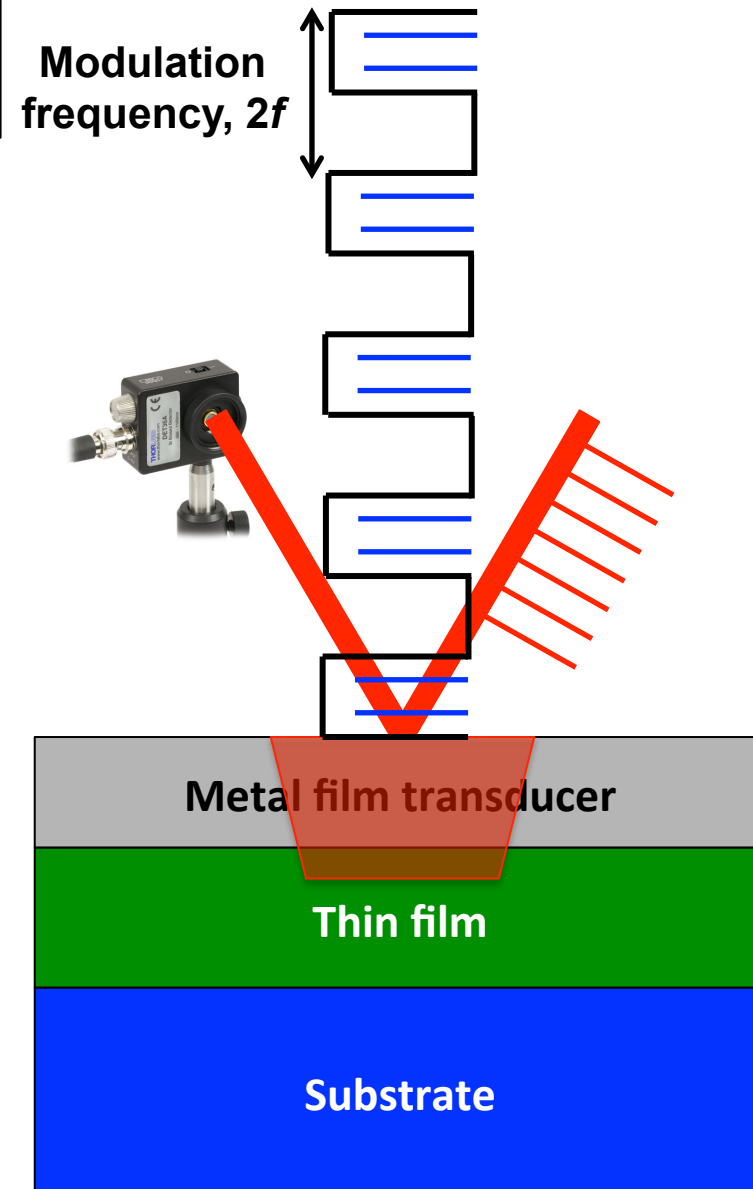
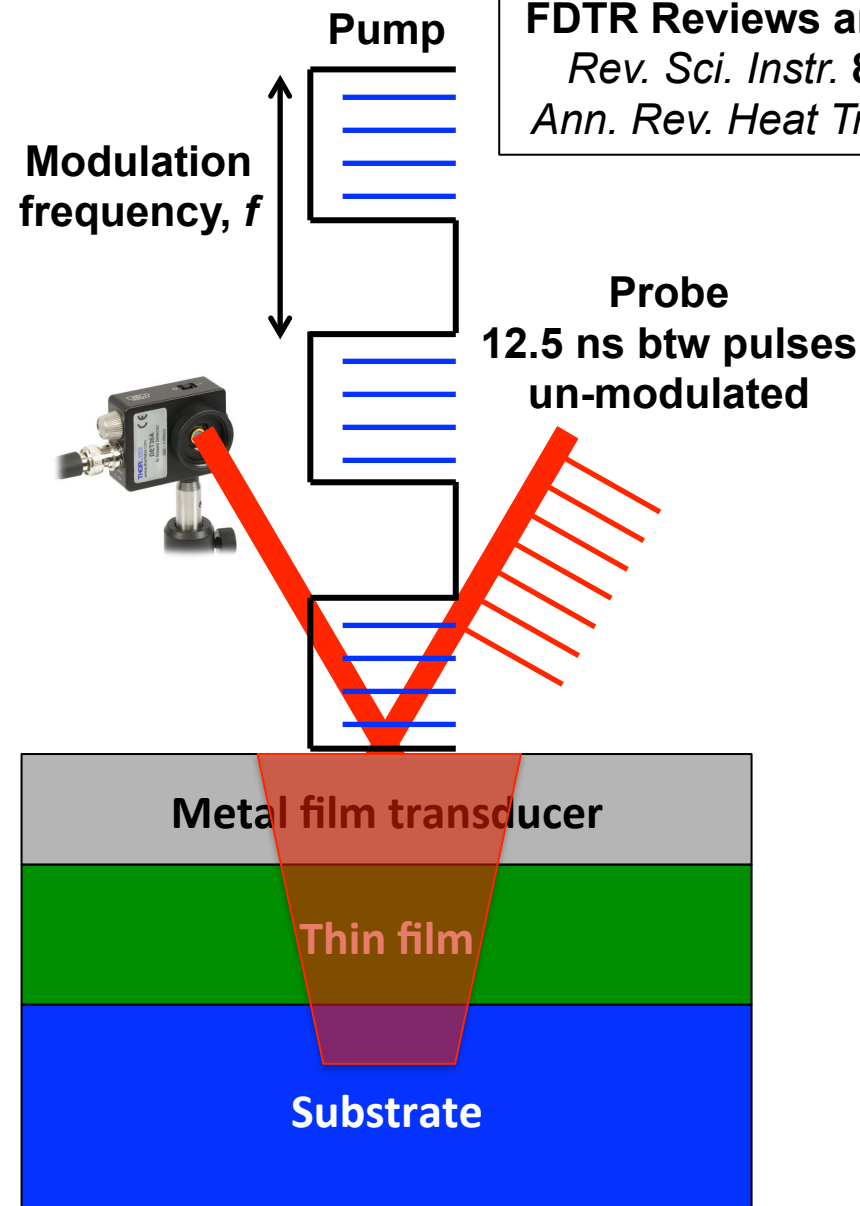
*Ann. Rev. Heat Trans.* **16**, 159

# Frequency domain thermoreflectance (FDTR)

## FDTR Reviews and Analyses

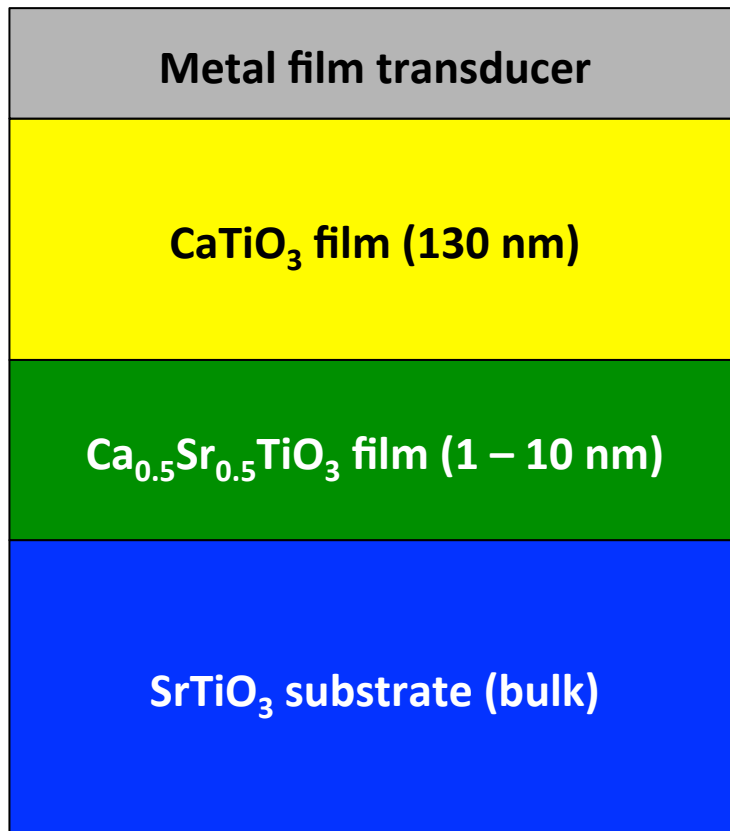
*Rev. Sci. Instr.* **80**, 094901

*Ann. Rev. Heat Trans.* **16**, 159



# Frequency domain thermoreflectance (FDTR)

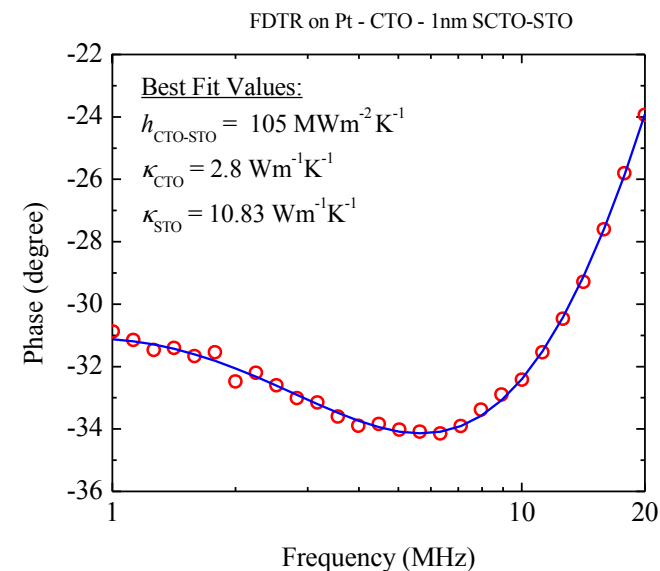
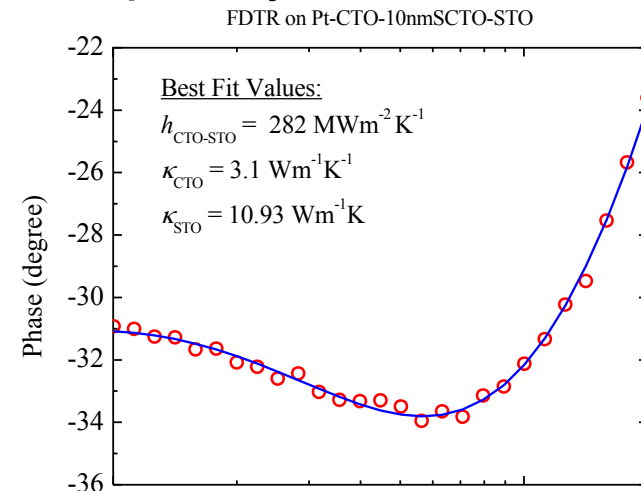
With FDTR scans, we can now measure multiple parameters, including thermal boundary conductance across buried interfaces (depth profiling) and thermal conductivity of multiple layers at once



*PRL* **109** 195901

**Student:** Ramez Cheaito, Brian Foley

**Collaboration:** J. Ihlefeld (SNL)

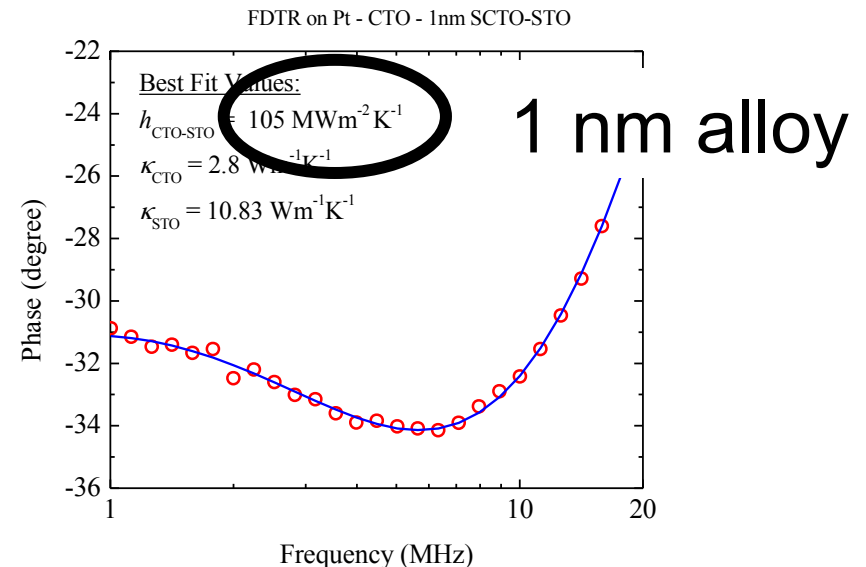
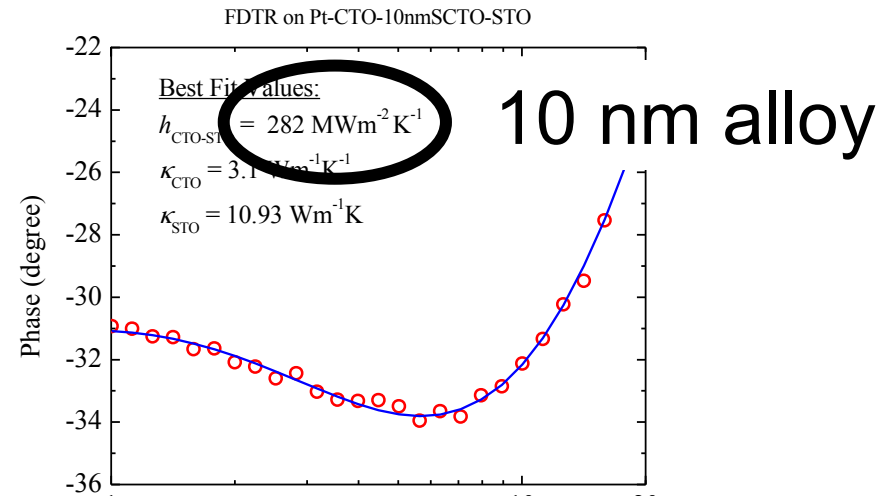


# Frequency domain thermoreflectance (FDTR)

- Thicker alloys **increase** the thermal boundary conductance across  $\text{CaTiO}_3/\text{alloy}/\text{SrTiO}_3$  interfacial region
- In other words: **ADDING MATERIAL DECREASES RESISTANCE?**

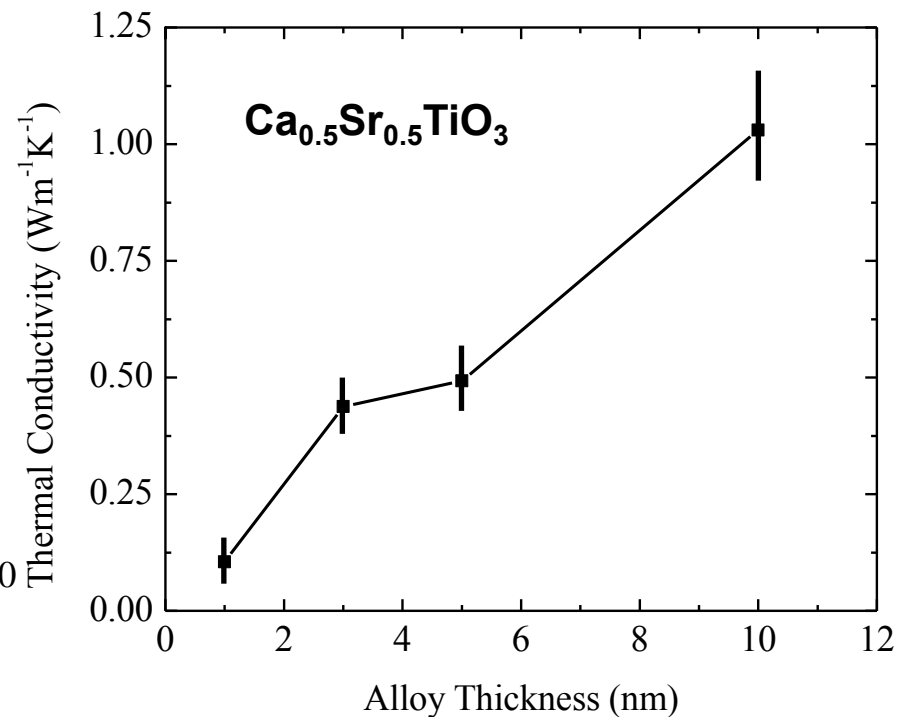
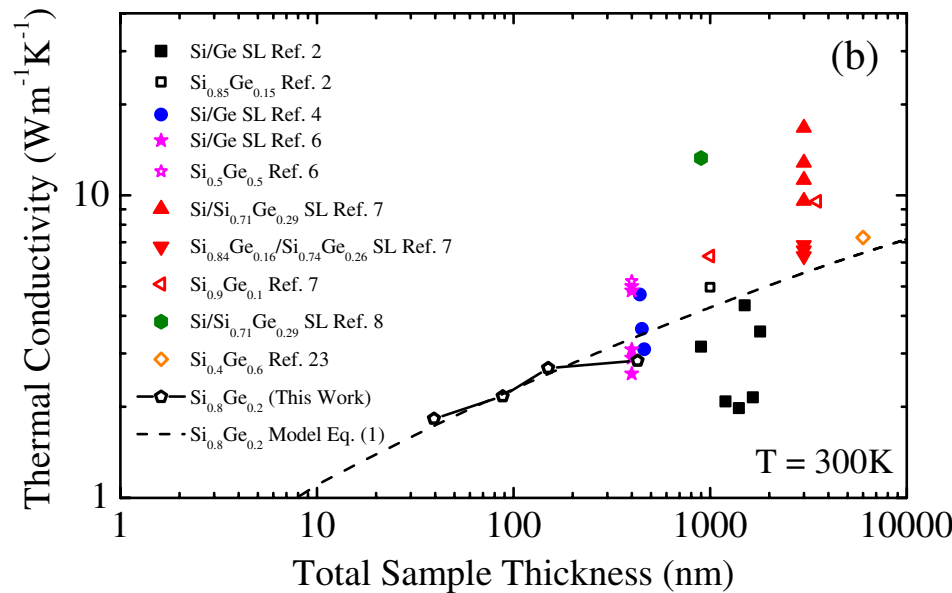
## Possibility

- Alloy thermal conductivity increasing with thickness?



# Size effects in the thermal conductivity of alloys

- Long wavelength modes carry most of the heat in disordered alloys due to mass impurity scattering
- Thicker alloy: more ballistically traveling “phonons” (propagons) to carry the heat
- Means we can decrease thermal resistances at interfaces by **adding disordered alloys** to interfaces



PRL 109 195901

Student: Ramez Cheaito

Collaboration: J. Ihlefeld (SNL)

# Conclusions

Through intimate control during growth and fabrication, oxide materials offer new directions and regimes for phonon thermal transport

