



Thermal transport across solid interfaces: Effects of structure, bonding and other imperfections

Patrick E. Hopkins

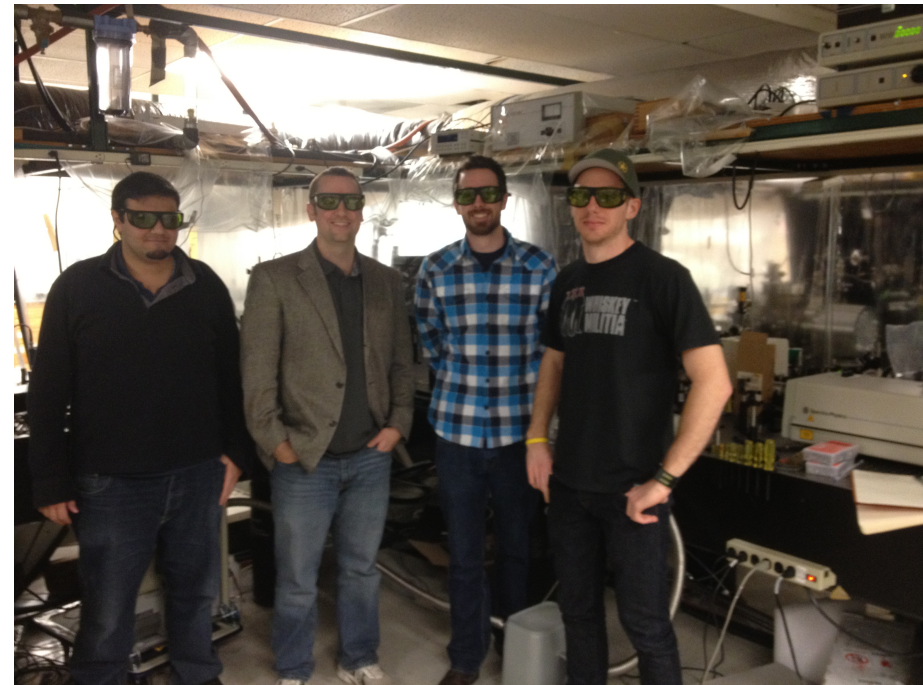
Assistant Professor

Dept. Mech. & Aero. Eng.

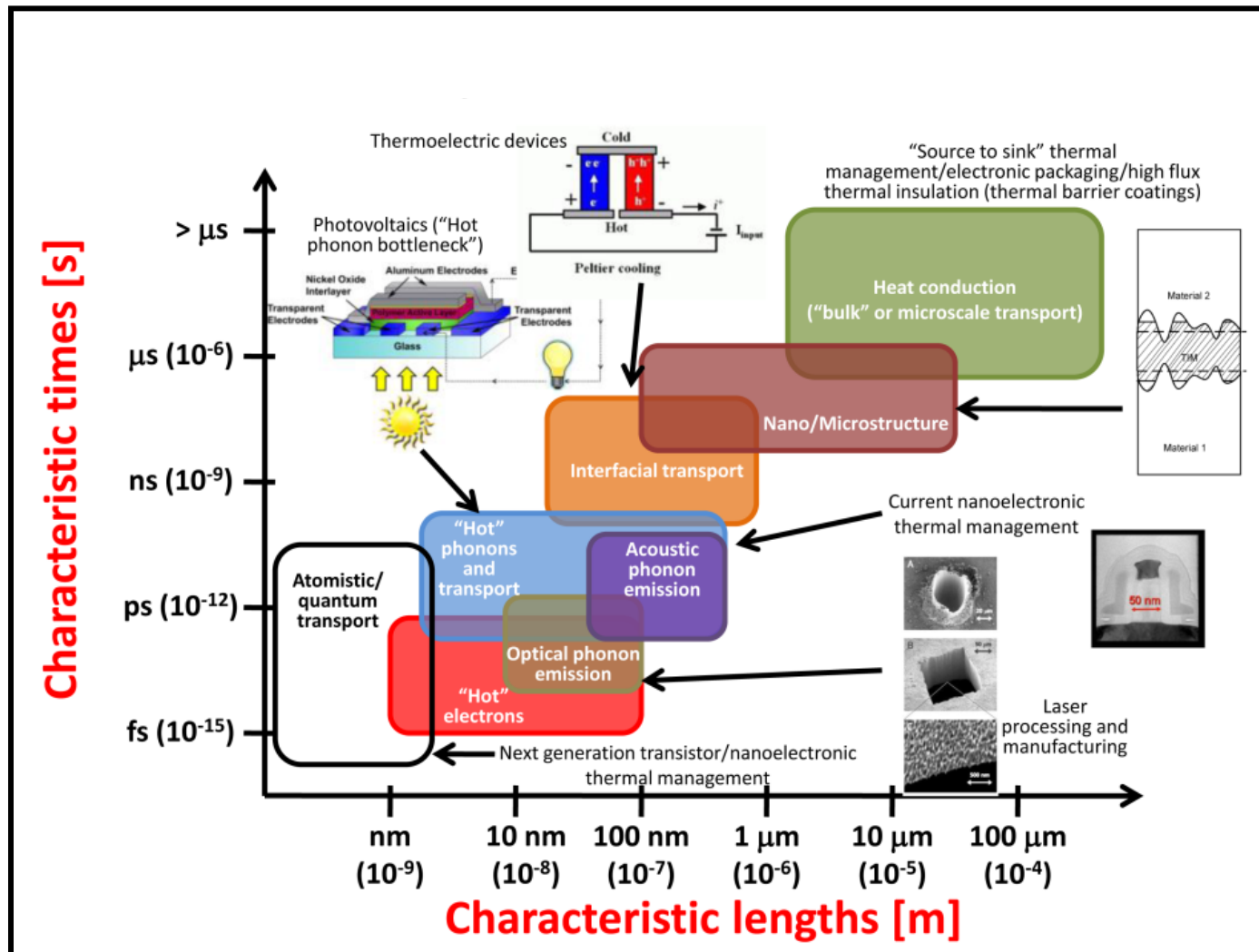
University of Virginia

phopkins@virginia.edu

patrickehopkins.com



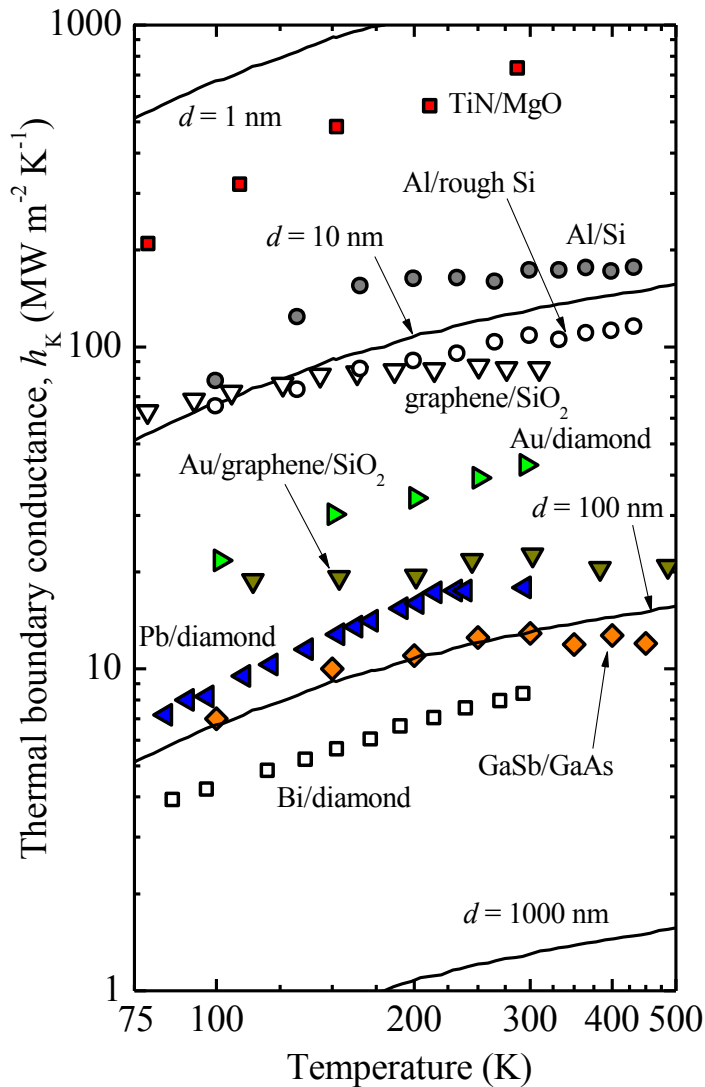
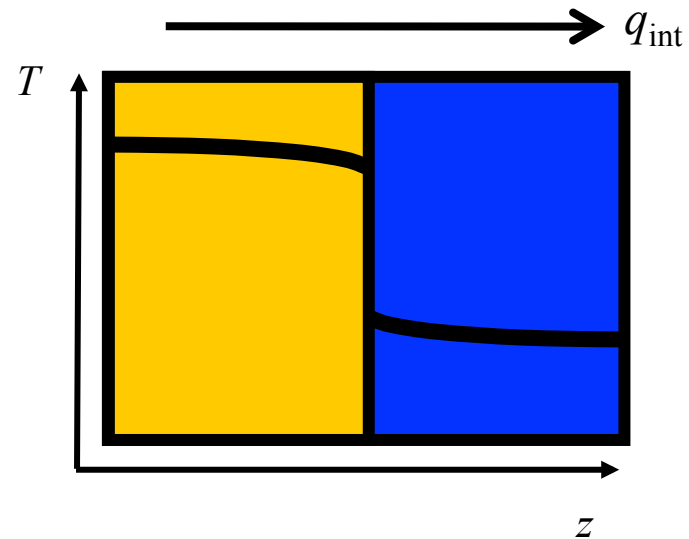
Thermal transport regimes



Thermal boundary conductance

Thermal boundary conductance
or
Kapitza conductance

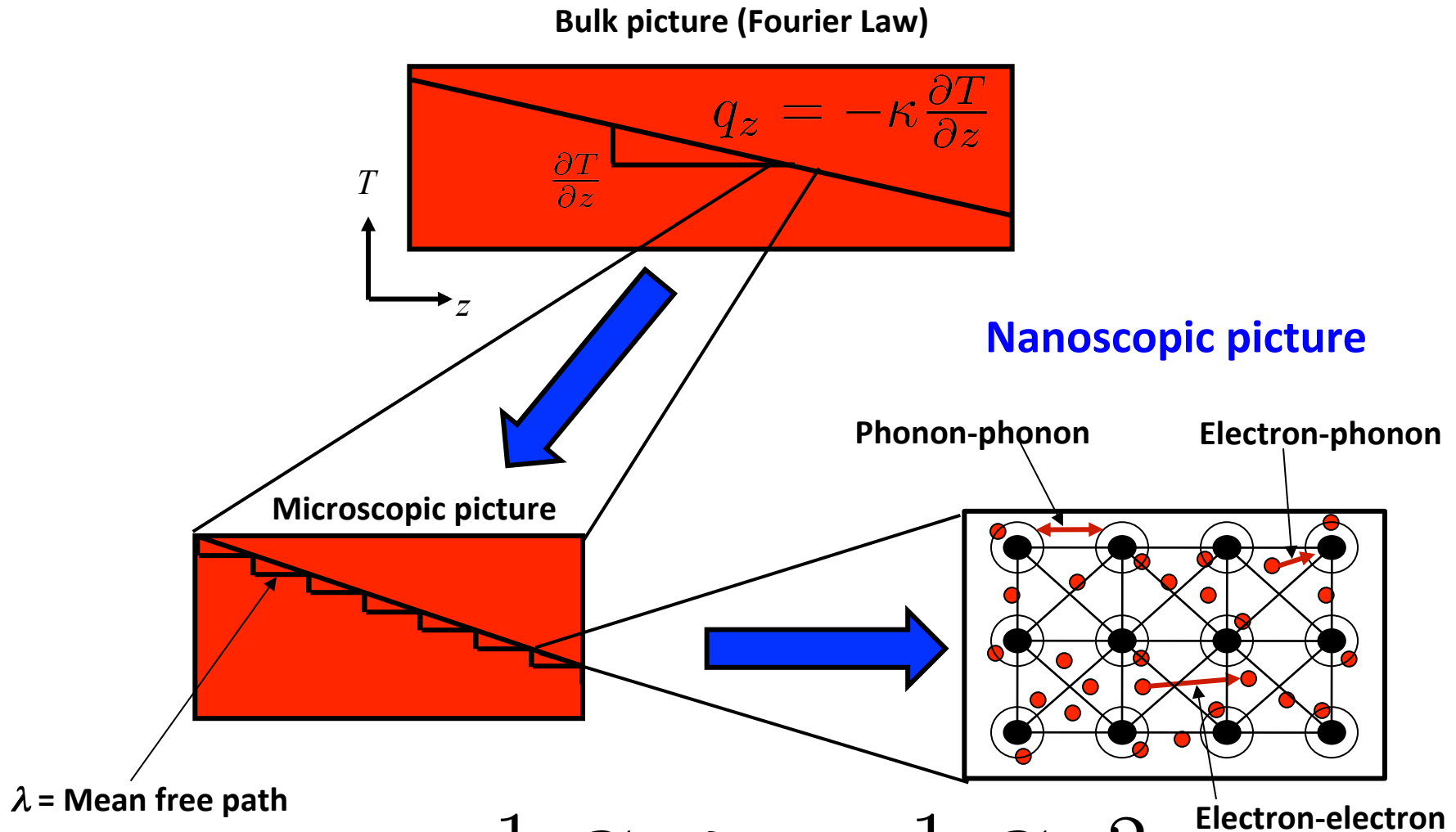
$$q_{\text{int}} = h_K \Delta T$$



$$h_{K, \text{SiO}_2} = \frac{\kappa_{\text{SiO}_2}}{d}$$

- **Measurement of thermal properties on the nanoscale with time domain thermoreflectance**
- **Effects of geometric roughness on thermal conductance across Al/Si interfaces**
- **Effects of dislocations on thermal conductance across Al/GaSb and GaSb/GaAs interfaces**
- **Effects of bonding on thermal conductance across graphene interfaces**

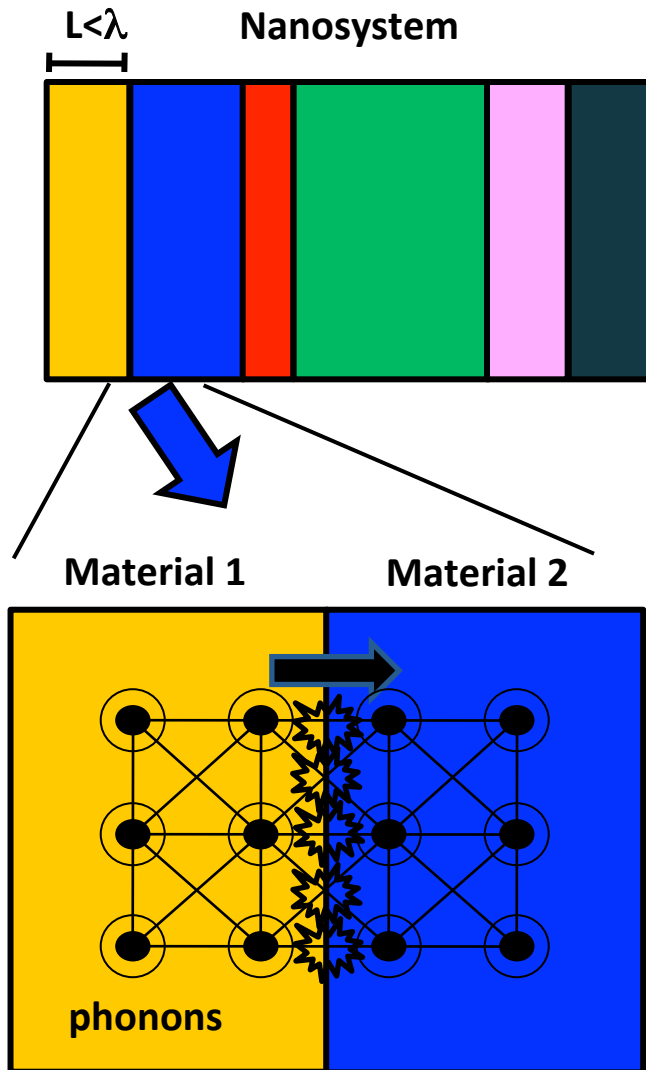
Thermophysics on the nanoscale



$$\kappa = \frac{1}{3} C v \lambda = \frac{1}{3} C v_g^2 \tau$$

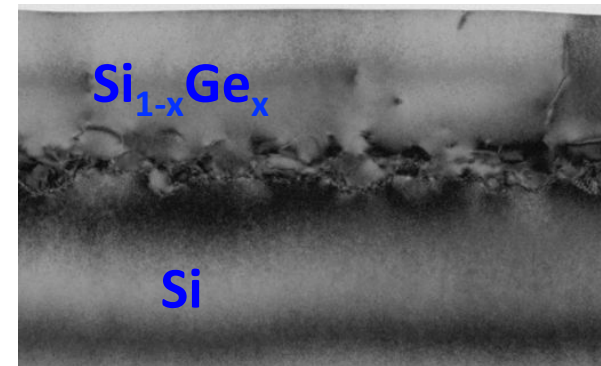
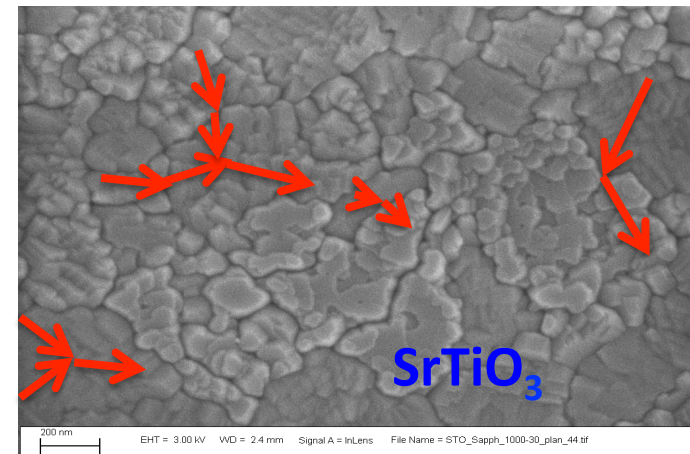
Thermophysics on the nanoscale

What happens if λ is on the order of L ?



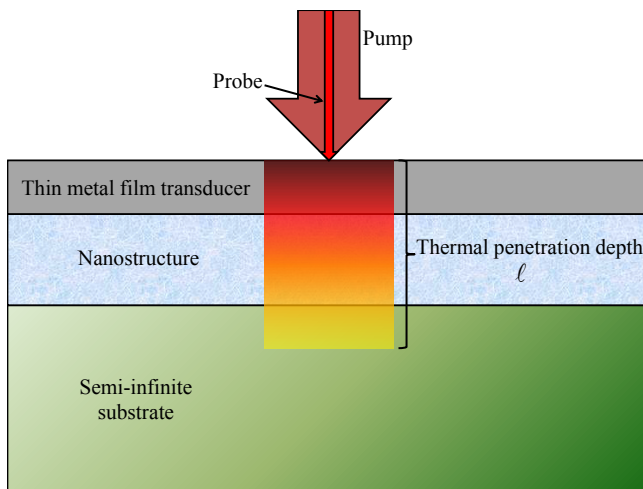
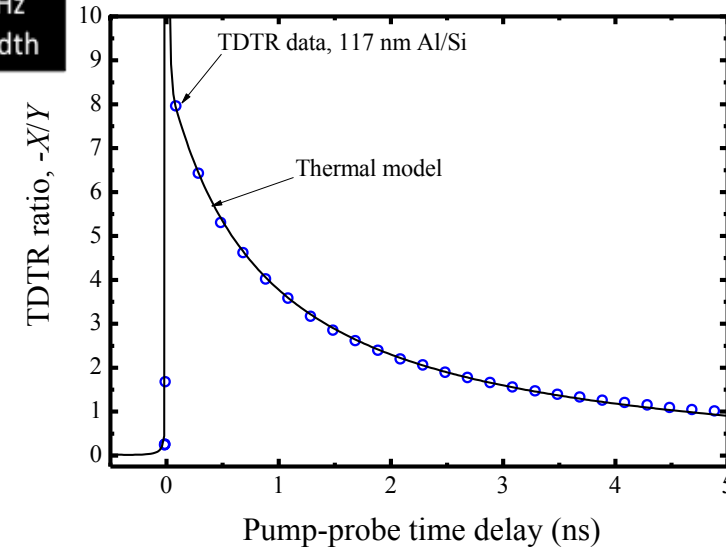
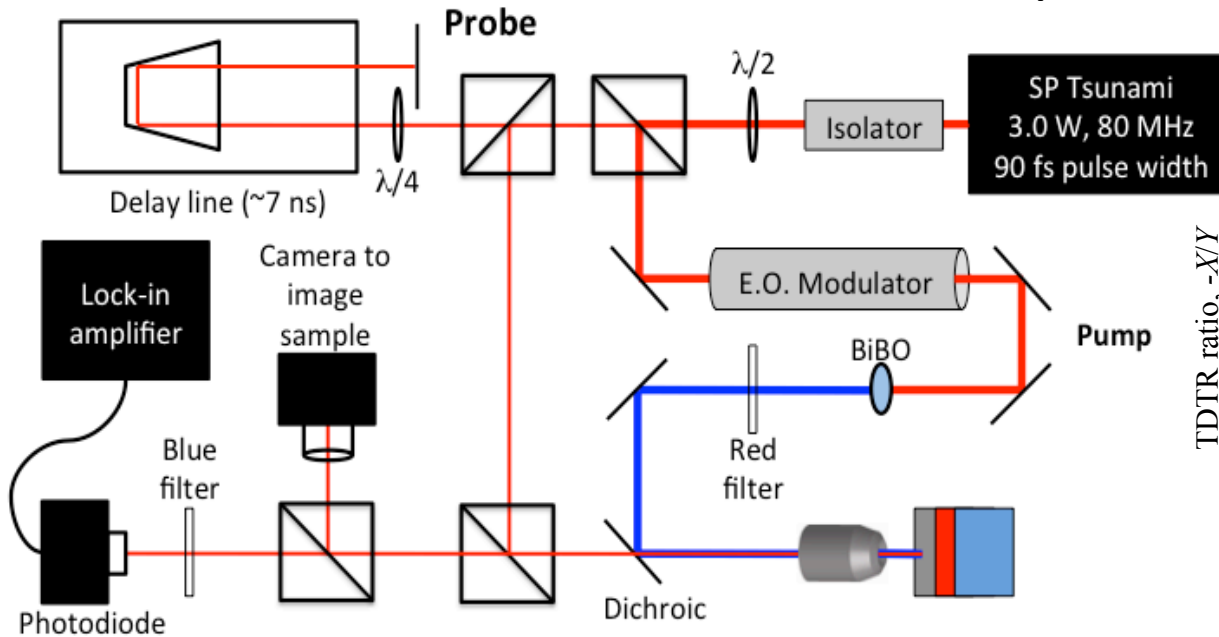
e.g. Nanoscale composites
and thin films

Collaborative work with Jon
Ihlefeld at Sandia



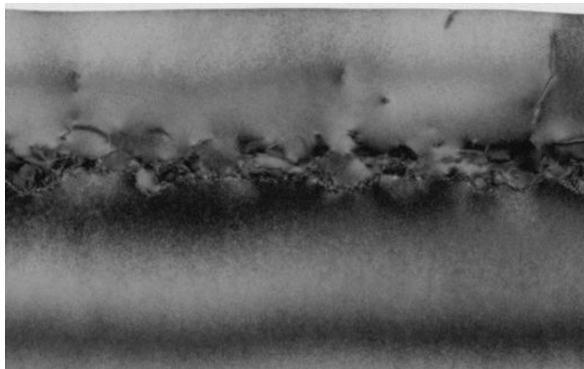
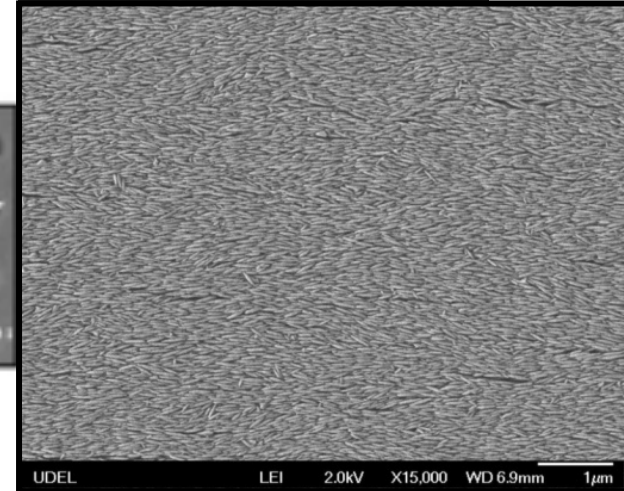
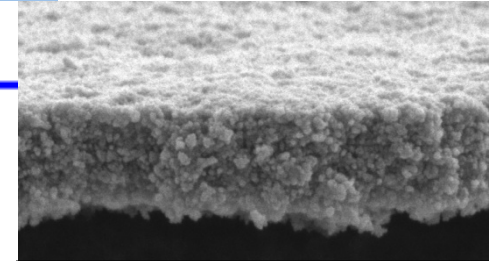
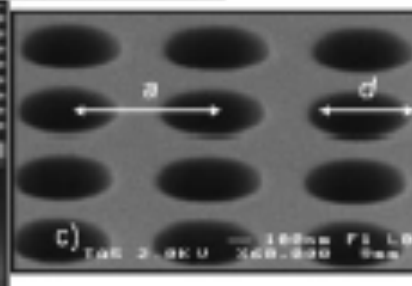
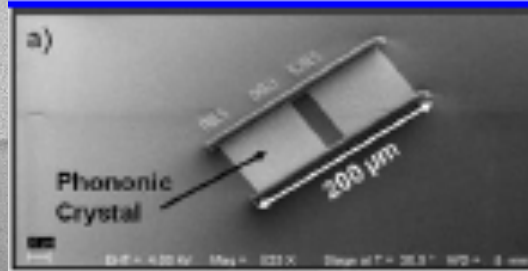
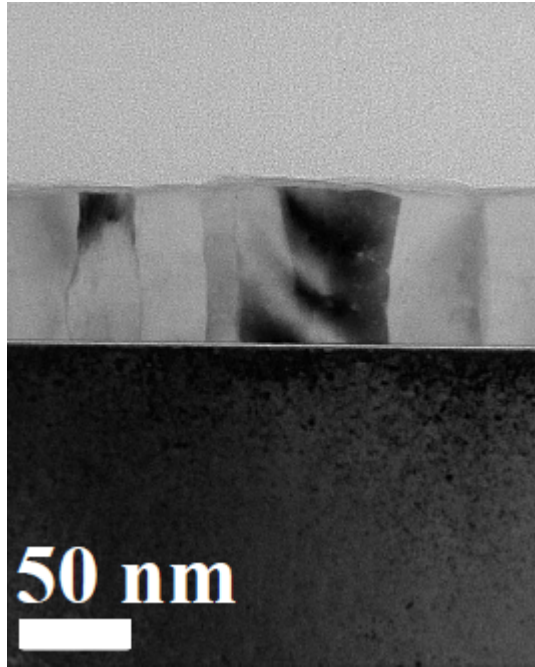
Time Domain ThermoReflectance (TDTR)

Hopkins *et al.*, *J. Heat Trans.* 132, 081302 (2010)



- Can measure thermal conductivity of thin films and substrates (κ) separately from thermal boundary conductance (h_K)
- Nanometer spatial resolution (~ 10 's of nm)
- Femtosecond to nanosecond temporal resolution
- Noncontact

What can we measure with TDTR?

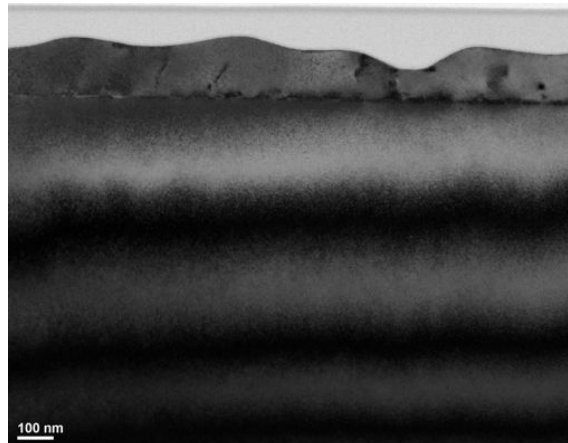


- Thermal conductivity of bulk materials
- Thermal conductivity of thin films and superlattices
- Thermal conductivity of suspended films
- Thermal conductivity of nanoparticle films
- Thermal conductivity of porous films
- Thermal boundary conductance across interfaces

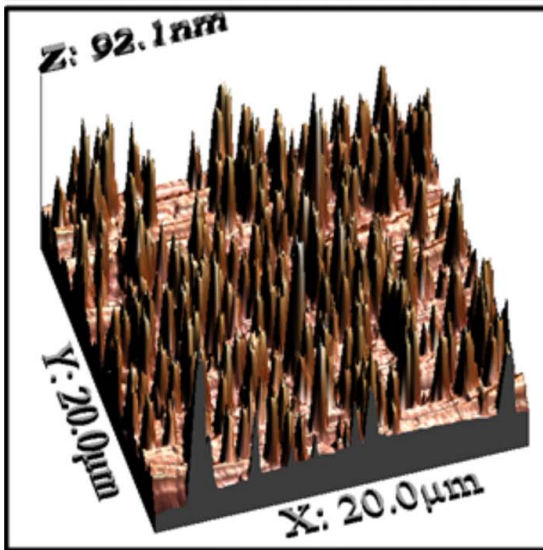
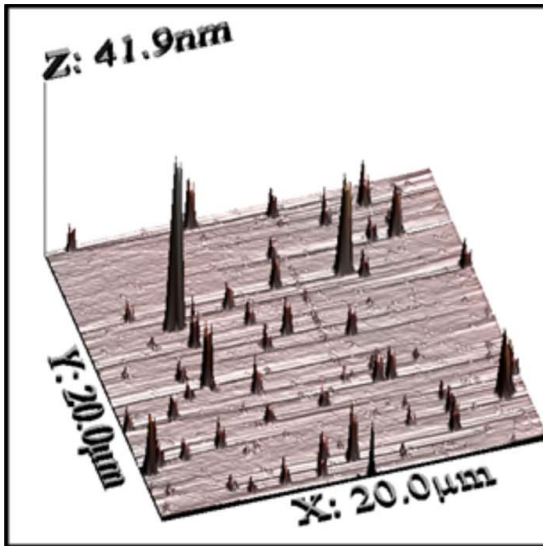
- **Measurement of thermal properties on the nanoscale with time domain thermoreflectance**
- **Effects of geometric roughness on thermal conductance across Al/Si interfaces**
- **Effects of dislocations on thermal conductance across Al/GaSb and GaSb/GaAs interfaces**
- **Effects of bonding on thermal conductance across graphene interfaces**

- **Effects of geometric roughness on thermal conductance across Al/Si interfaces**

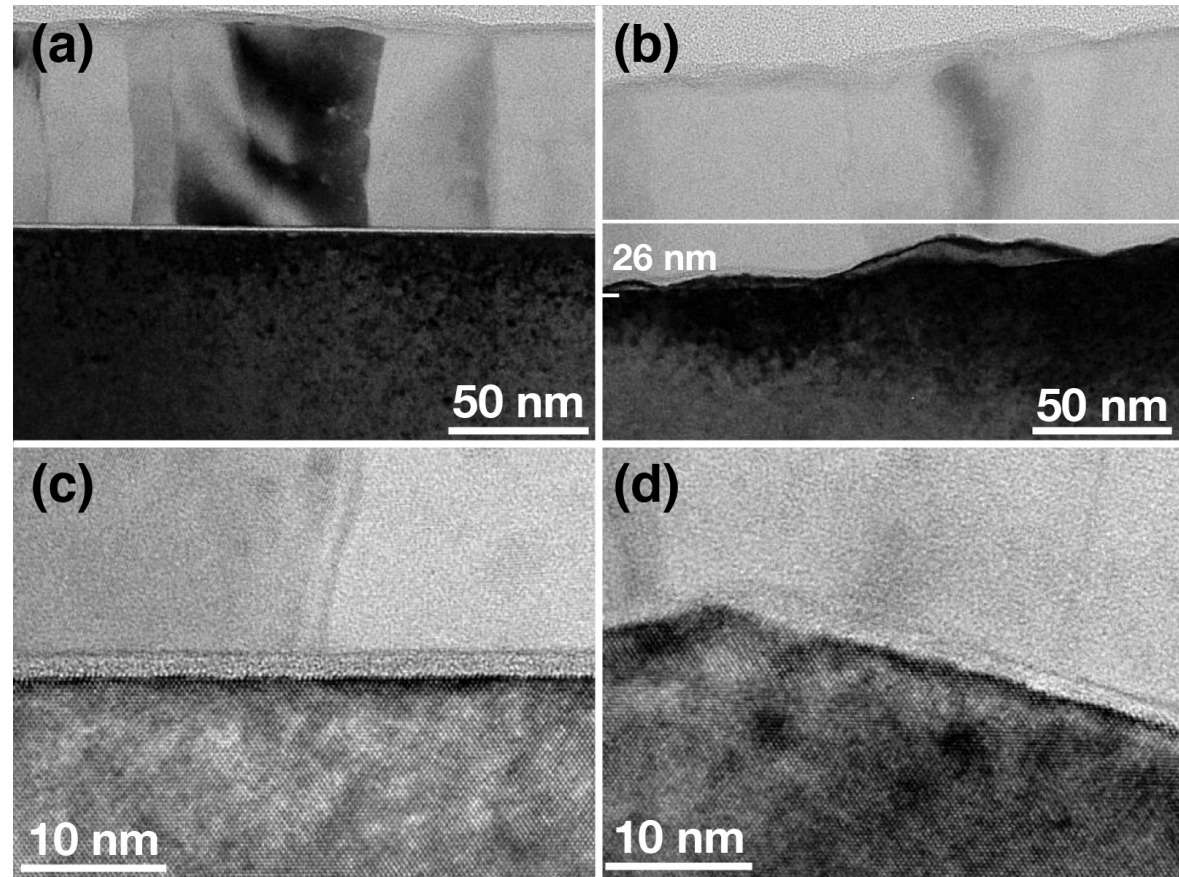
- **Collaborators: Jerry Floro (UVa), Leslie Phinney (Sandia)**
- **Hopkins *et al.*, *Phys. Rev. B* 82, 085307 (2010)**
- **Hopkins *et al.*, *Phys. Rev. B* 84, 035438 (2011)**
- **Duda and Hopkins, *Appl. Phys. Lett.* 100, 111602 (2012)**



Rough Al/Si interfaces – chemical etching



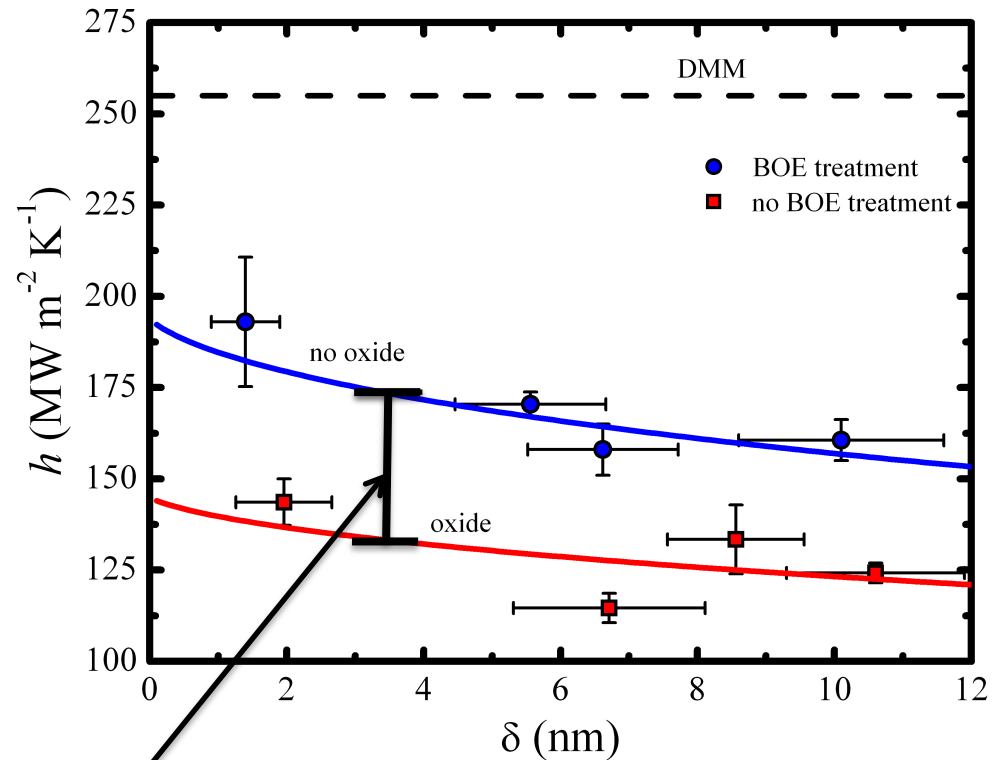
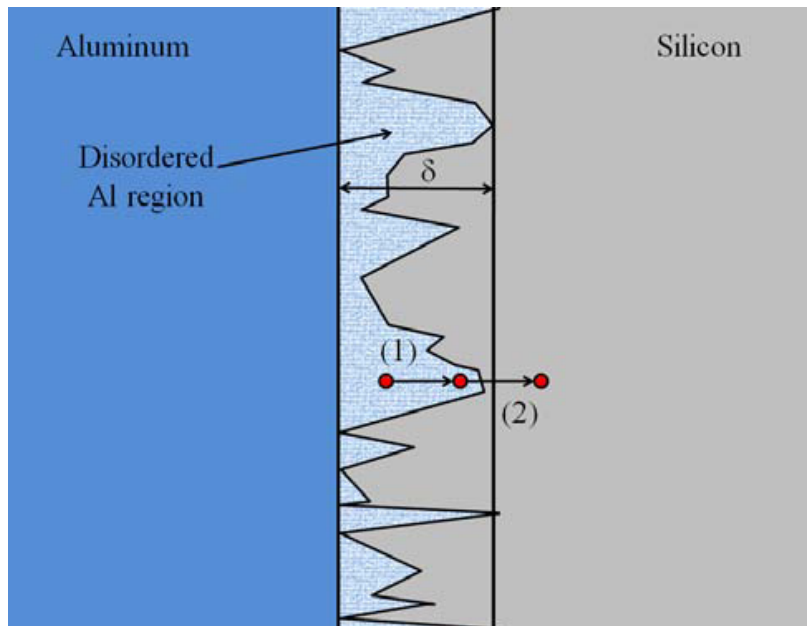
TMAH processed to change surface roughness



Hopkins *et al.*, *Phys. Rev. B.* 82, 085307 (2010)
Duda and Hopkins, *Appl. Phys. Lett.* 100, 111602 (2012)

Effects of the oxide layer

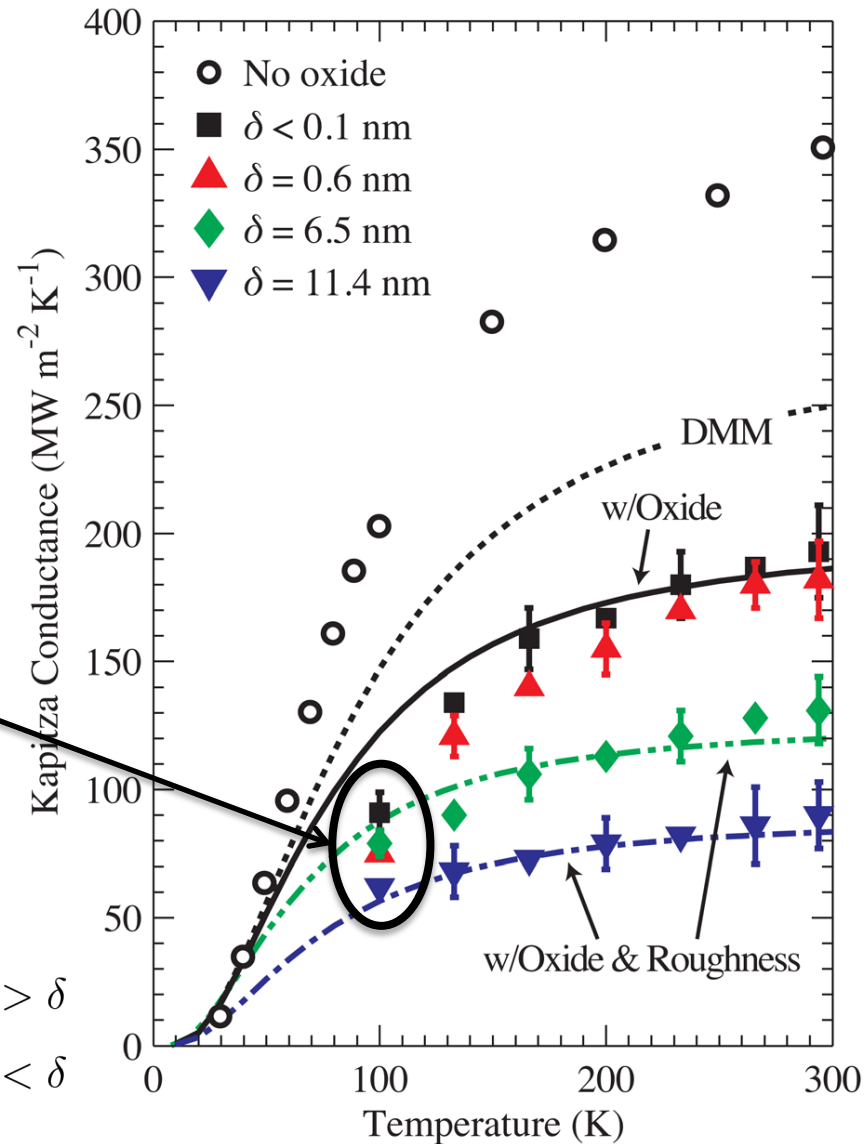
Oxide layer acts as a resistor in series with Al/Si



$$\Delta h_K = \frac{\kappa_{\text{SiO}_2}}{\delta}$$

Temperature trends at rough interfaces

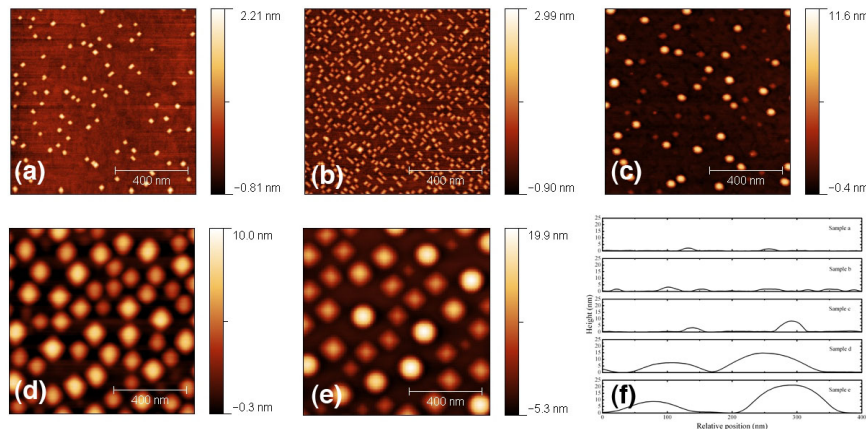
Convergence of h_K –
selected phonon
transmission and
scattering



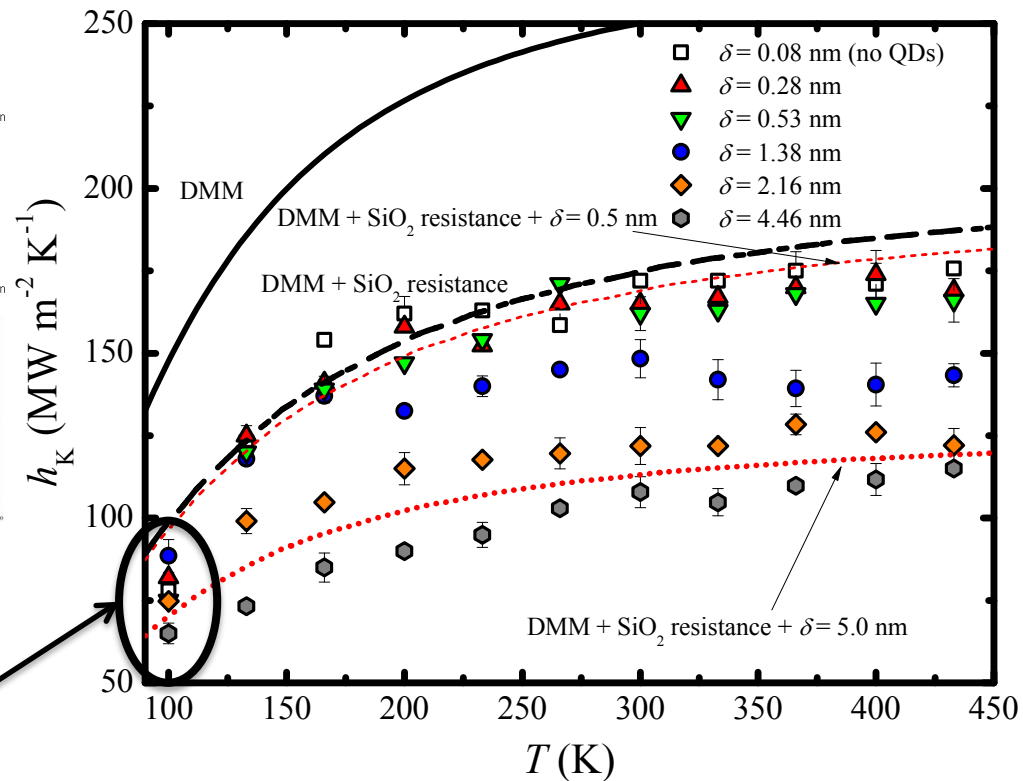
$$h_K = \begin{cases} h_{\text{DMM}} & \lambda > \delta \\ h_{\text{DMM}} \left(\exp \left[- \left(\frac{4\pi\beta}{\lambda} \right) \delta \right] \right) & \lambda < \delta \end{cases}$$

Rough Al/Si interfaces – quantum dots

Jerry Floro's group @ U.Va. (floro@virginia.edu)

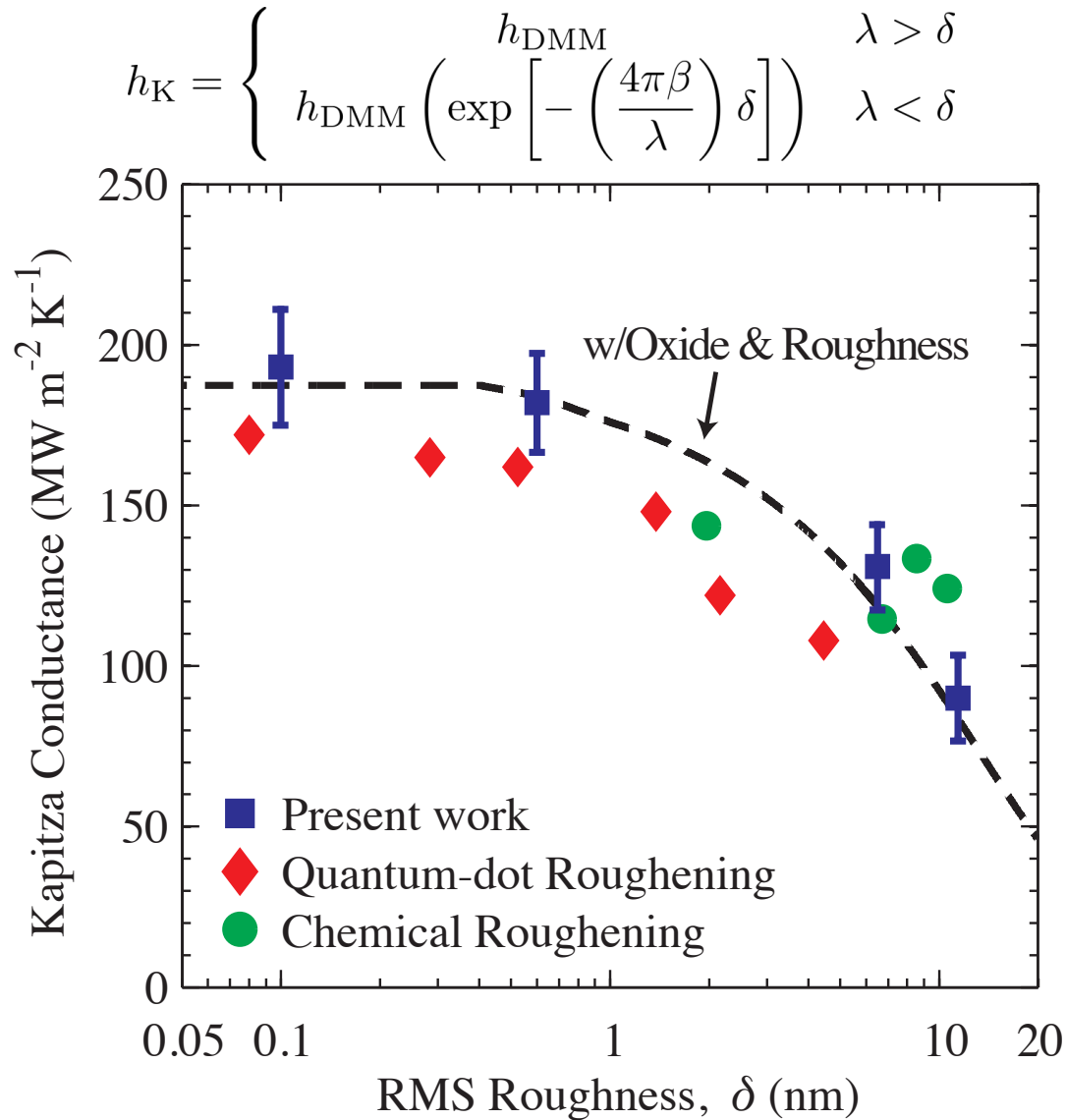


**Convergence of h_K –
selected phonon
transmission and
scattering (SAME AS
CHEMICALLY ROUGH
INTERFACES)**



$$h_K = \begin{cases} h_{\text{DMM}} & \lambda > \delta \\ h_{\text{DMM}} \left(\exp \left[- \left(\frac{4\pi\beta}{\lambda} \right) \delta \right] \right) & \lambda < \delta \end{cases}$$

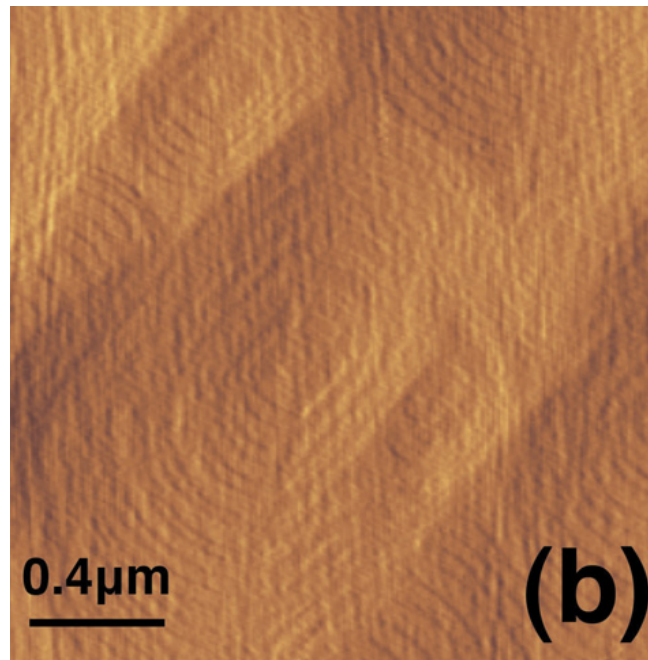
Roughness as a “knob” for thermal control



- **Effects of geometric roughness on thermal conductance across Al/Si interfaces**
- **Roughness can be used to control thermal conductance across interfaces by selectively “filtering” certain phonon wavelengths**
- **Collaborators: John Duda (Postdoc), Jerry Floro (UVa), Leslie Phinney (Sandia)**
- **Hopkins *et al.*, *Phys. Rev. B* 82, 085307 (2010)**
- **Hopkins *et al.*, *Phys. Rev. B* 84, 035438 (2011)**
- **Duda and Hopkins, *Appl. Phys. Lett.* 100, 111602 (2012)**

- **Measurement of thermal properties on the nanoscale with time domain thermoreflectance**
- **Effects of geometric roughness on thermal conductance across Al/Si interfaces**
- **Effects of dislocations on thermal conductance across Al/GaSb and GaSb/GaAs interfaces**
- **Effects of bonding on thermal conductance across graphene interfaces**

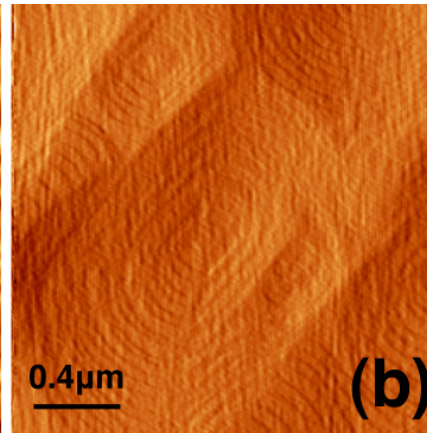
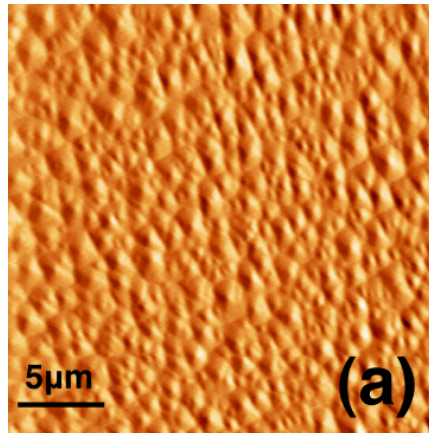
- **Effects of dislocations on thermal conductance across Al/GaSb and GaSb/GaAs interfaces**
- **Collaborators: Ganesh Balakrishnan (UNM)**
- **Hopkins *et al.*, *Appl. Phys. Lett.* 98, 161913 (2011)**



Epitaxial GaSb growth on GaAs

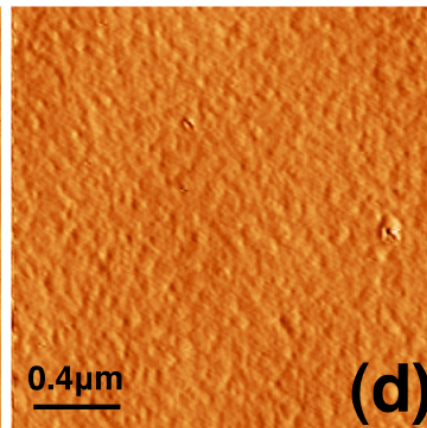
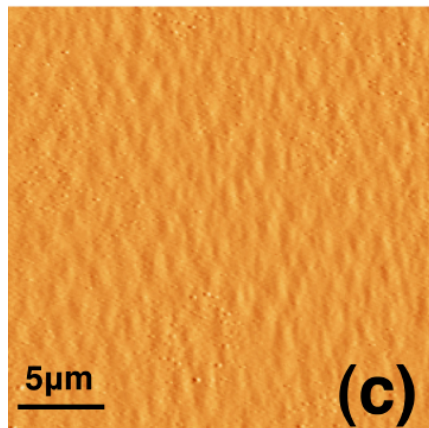
Ganesh Balakrishnan's group @ U. New Mexico (gunny@unm.edu)

Non-IMF



$\delta = 2.3 \text{ nm}$
 $\sim 10^{10} \text{ dislocations/cm}^2$

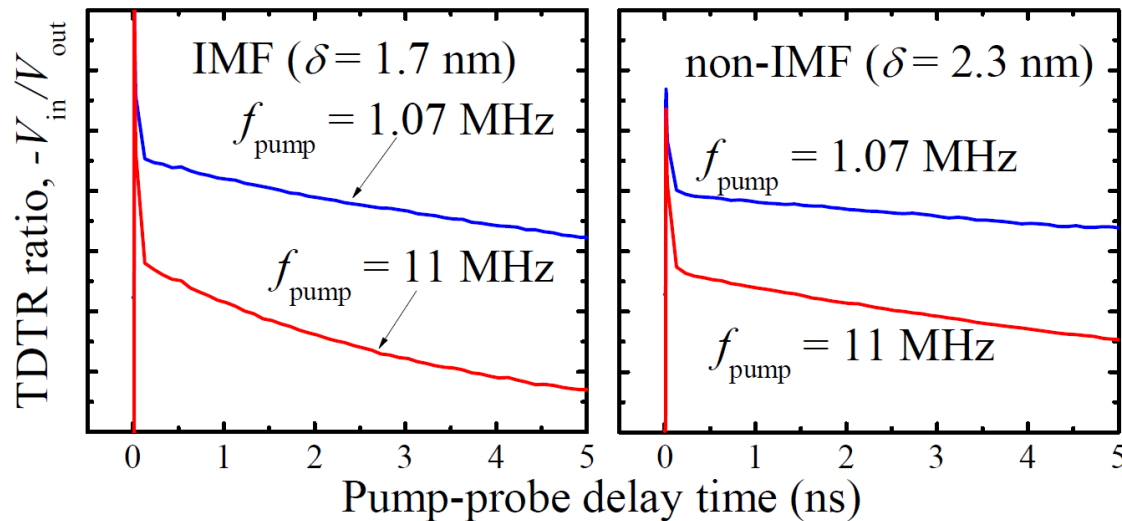
IMF
Reduced
threading and
screw
dislocations



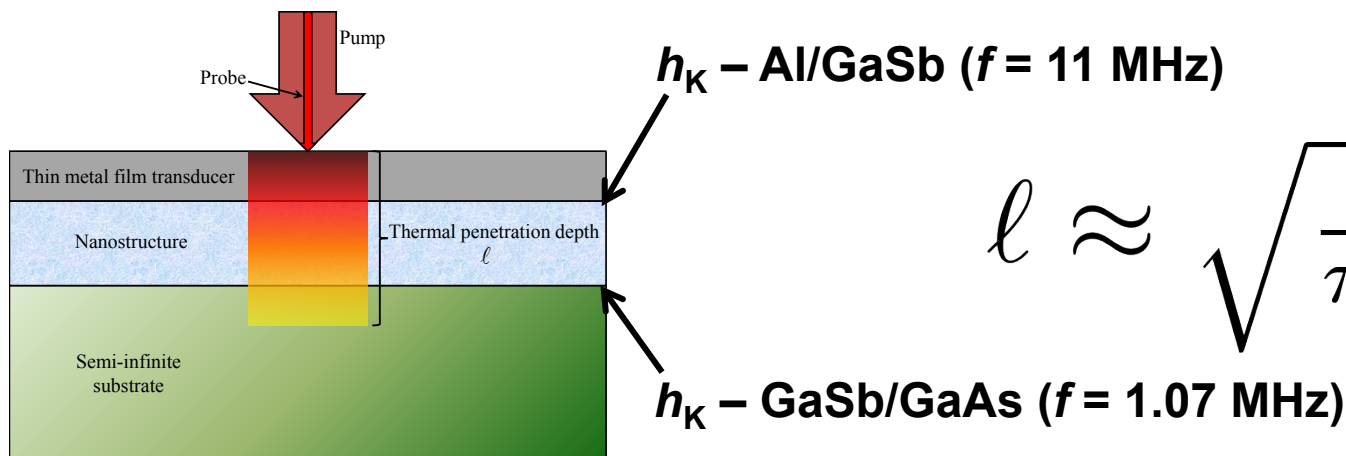
$\delta = 1.7 \text{ nm}$
 $\sim 10^7 \text{ dislocations/cm}^2$

Huang, *et al.*, *Appl. Phys. Lett.* 88, 131911 (2006)
Jallipalli, *et al.*, *Nanoscale Res. Lett.* 4, 1458 (2009)
Huang, *et al.*, *J. Appl. Phys.* 105, 103104 (2009)

“Depth profiling” with TDTR



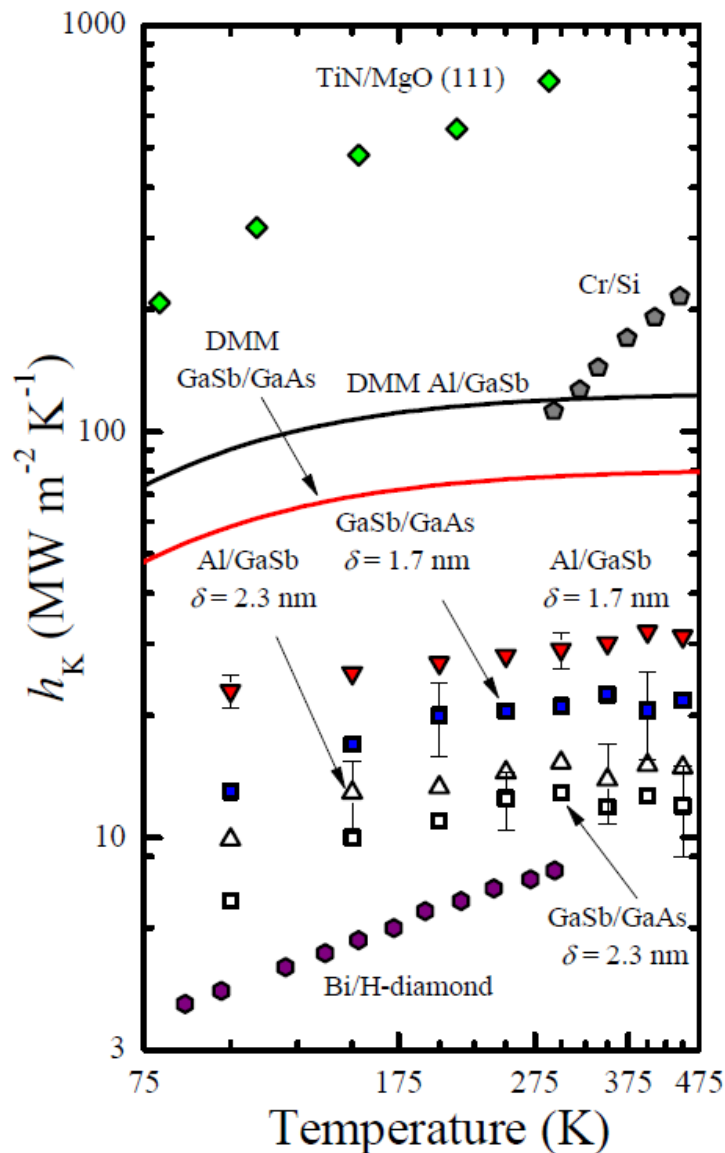
Increase how “deep” you probe in TDTR by decreasing the frequency



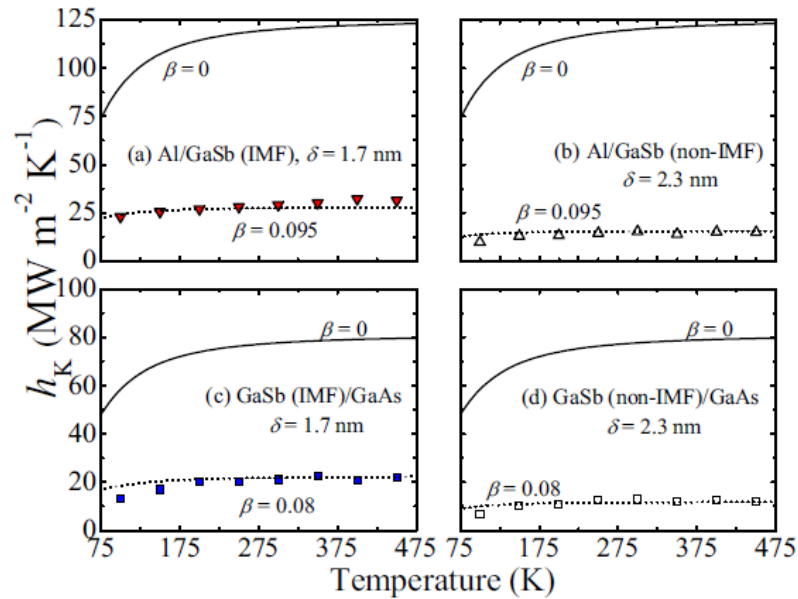
$$\ell \approx \sqrt{\frac{\kappa}{\pi C f_{\text{pump}}}}$$

Hopkins *et al.*, *Appl. Phys. Lett.* 98, 161913 (2011)

Interfacial dislocation effects on h_K



$$h_K = \begin{cases} h_{DMM} & \lambda > \delta \\ h_{DMM} \left(\exp \left[- \left(\frac{4\pi\beta}{\lambda} \right) \delta \right] \right) & \lambda < \delta \end{cases}$$

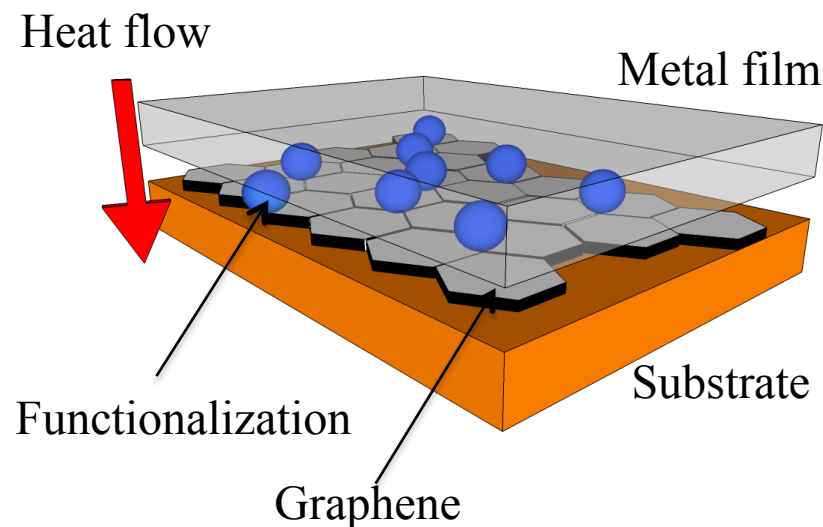


**10³ change in dislocation density
only changes thermal conductance
across dislocation dense interfaces
by a factor of ~2**

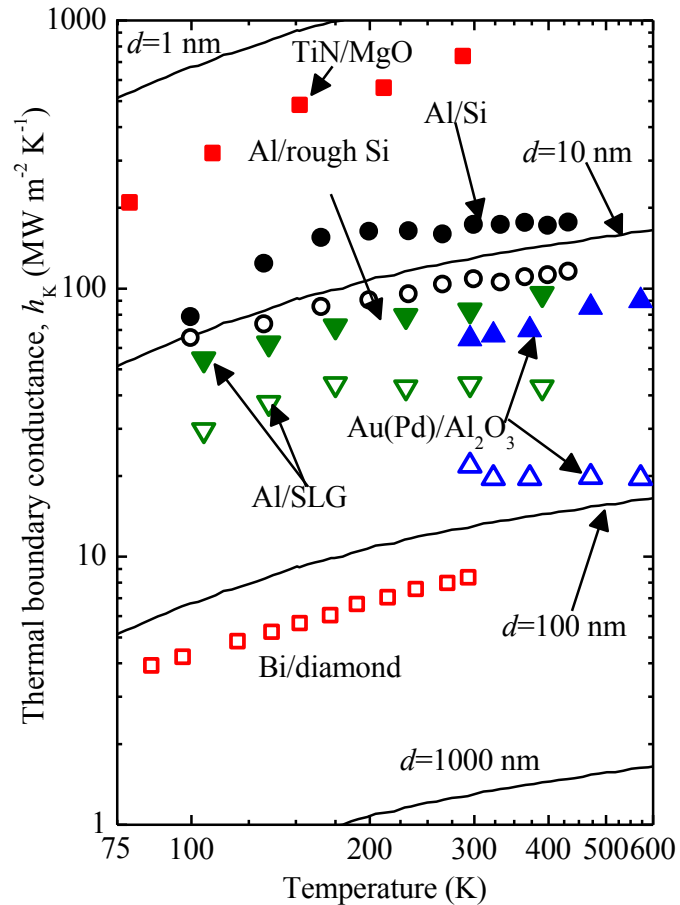
- **Effects of dislocations on thermal conductance across Al/GaSb and GaSb/GaAs interfaces**
- **10^3 change in dislocation density changes thermal conductance by ~ 2 at dislocation dense interfaces.**
- **Collaborators: Ganesh Balakrishnan (UNM)**
- **Hopkins *et al.*, *Appl. Phys. Lett.* 98, 161913 (2011)**

- **Measurement of thermal properties on the nanoscale with time domain thermoreflectance**
- **Effects of geometric roughness on thermal conductance across Al/Si interfaces**
- **Effects of dislocations on thermal conductance across Al/GaSb and GaSb/GaAs interfaces**
- **Effects of bonding on thermal conductance across graphene interfaces**

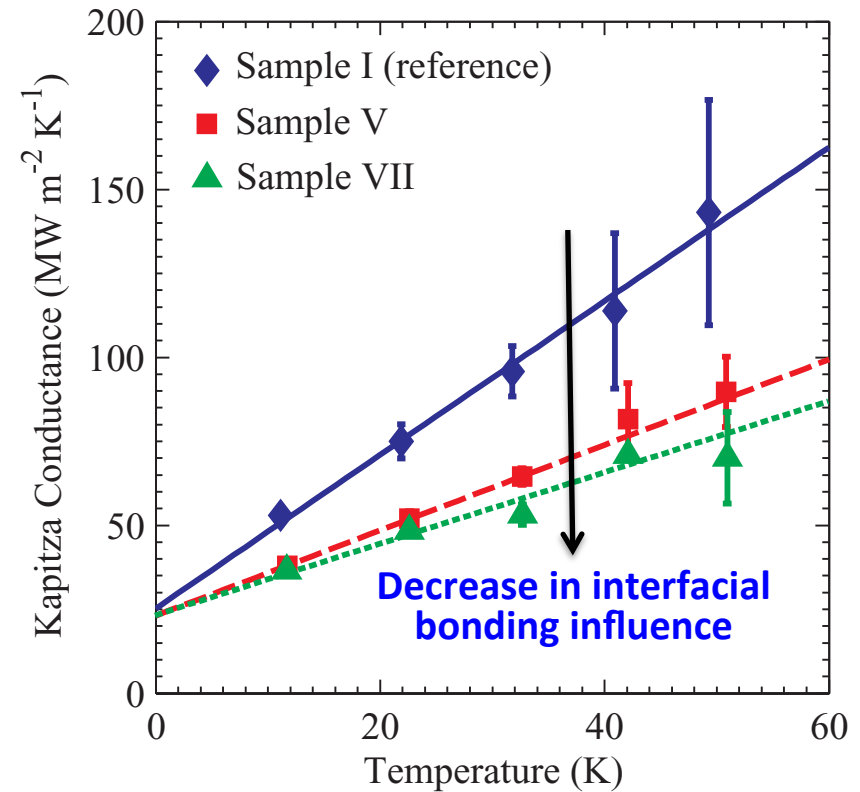
- **Effects of bonding on thermal conductance across graphene interfaces**
- **Collaborators: Scott Walton (NRL)**
- **Hopkins *et al.*, *Nano Lett.* 12, 590 (2012)**
- **Duda *et al.*, *Phys. Rev. B* 84, 193301 (2011)**



Bonding/transport relationships of TBC



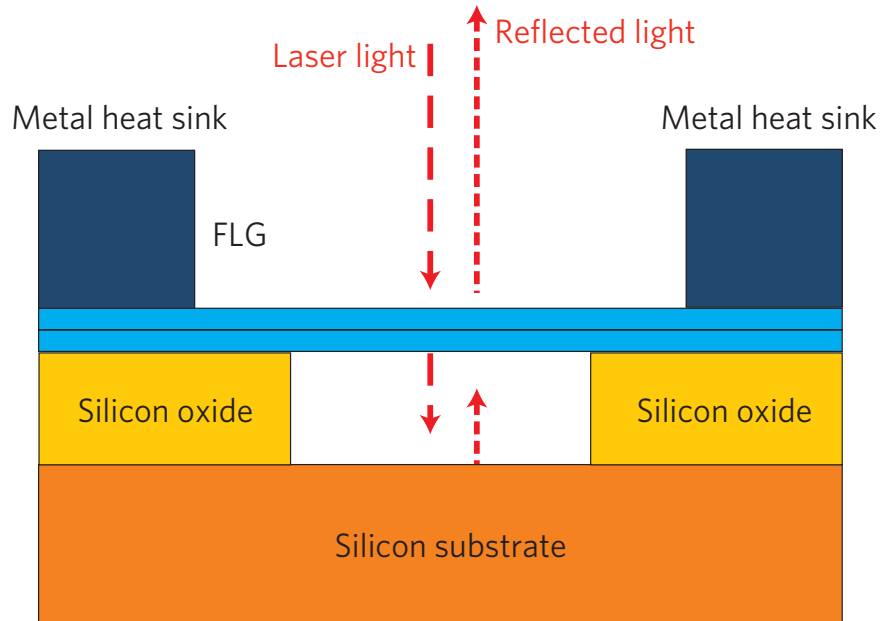
Hopkins *et al.*, *Nano. Lett.* 12, 590 (2012)
 Oh *et al.*, *Adv. Mat.* 23, 5028 (2011)



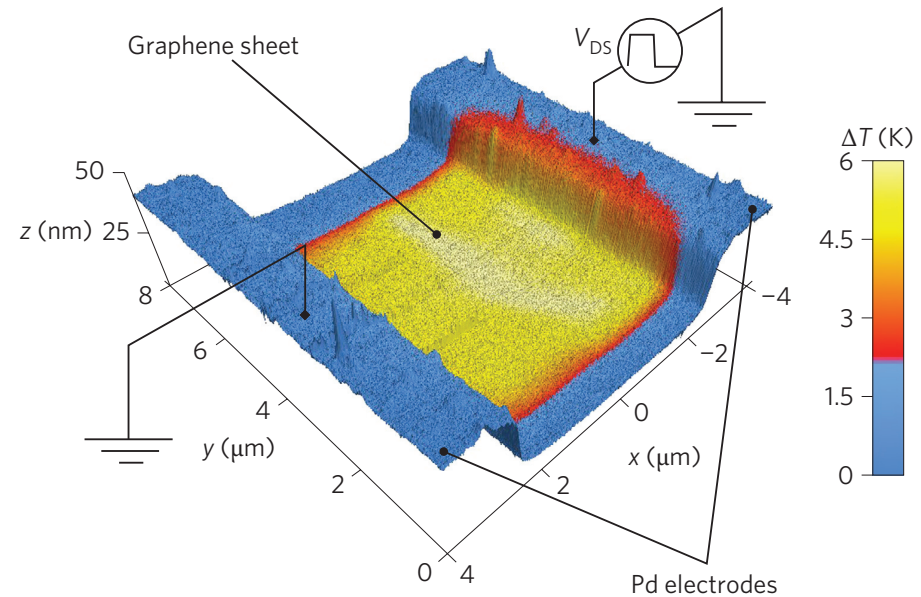
Duda *et al.* *Phys. Rev. B* 84, 193301 (2011)

Bonding at graphene contacts

a



Ghosh *et al.* *Nat. Mat.* 9, 555 (2010)



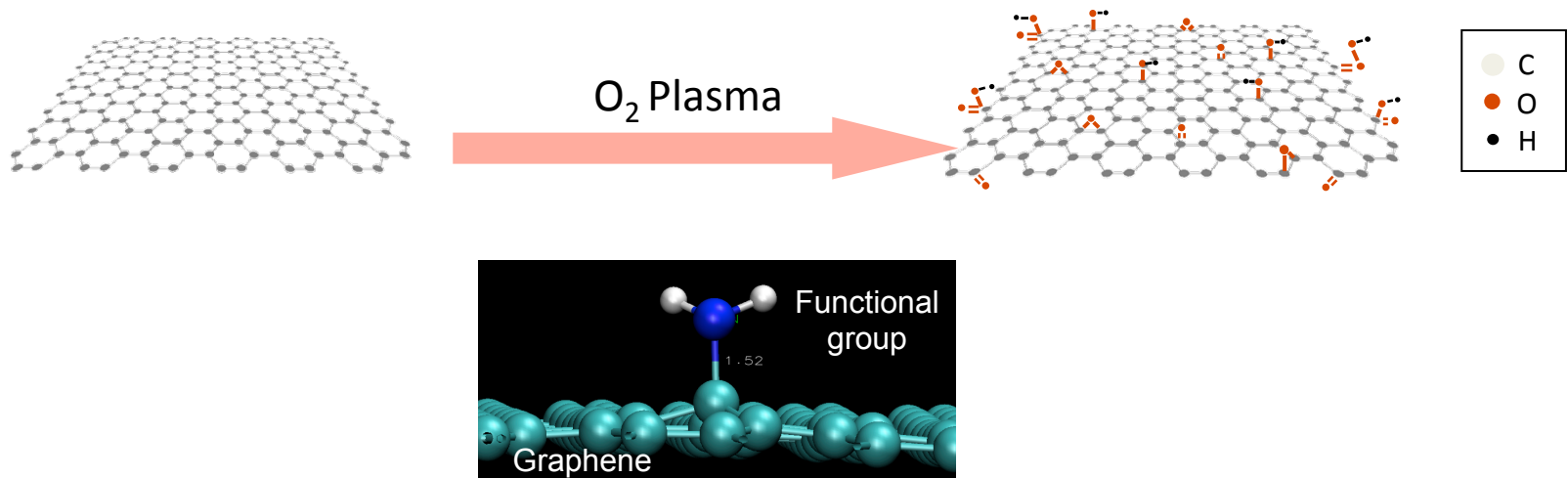
Grosse *et al.* *Nat. Nano.* 6, 287 (2011)

Manipulating bonds at graphene contacts

Scott Walton

Naval Research Laboratories

scott.walton@nrl.navy.gov



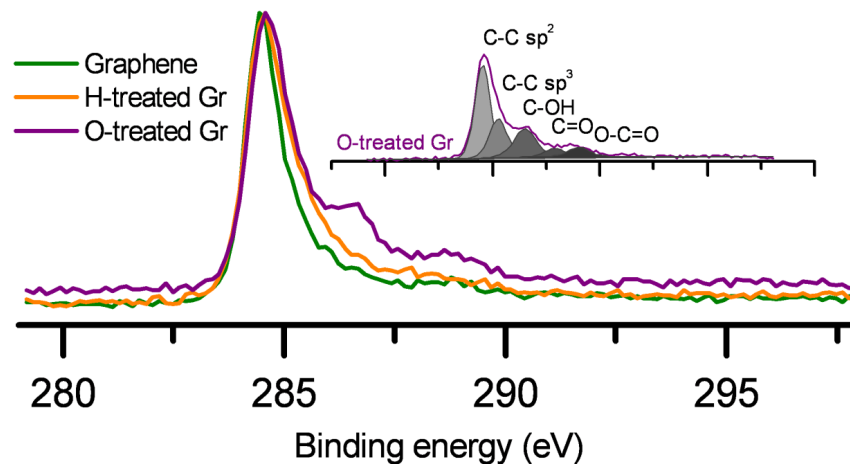
- Functional groups can't be washed off – covalently bound groups
- Functionalization is reversible after anneal – doesn't disrupt graphene

Baraket *et al.* *Appl. Phys. Lett.* v. 96, 231501 (2010)

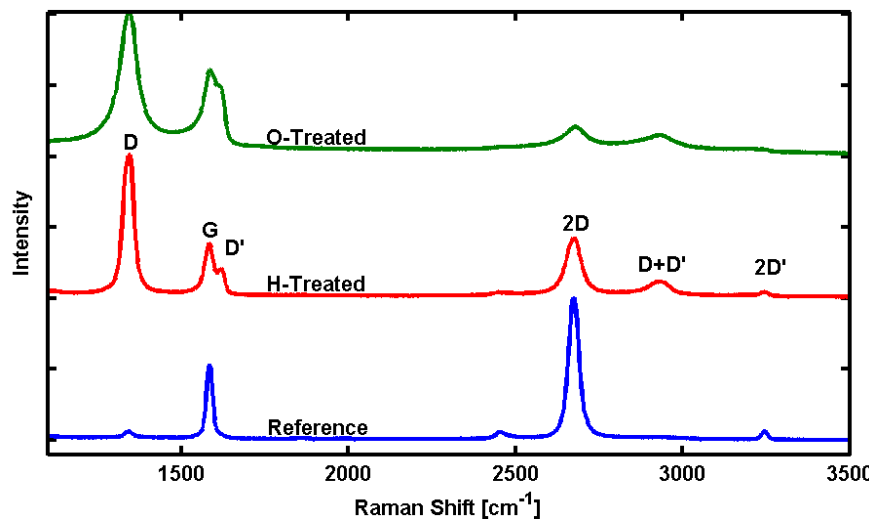
H- and O- functionalized graphene



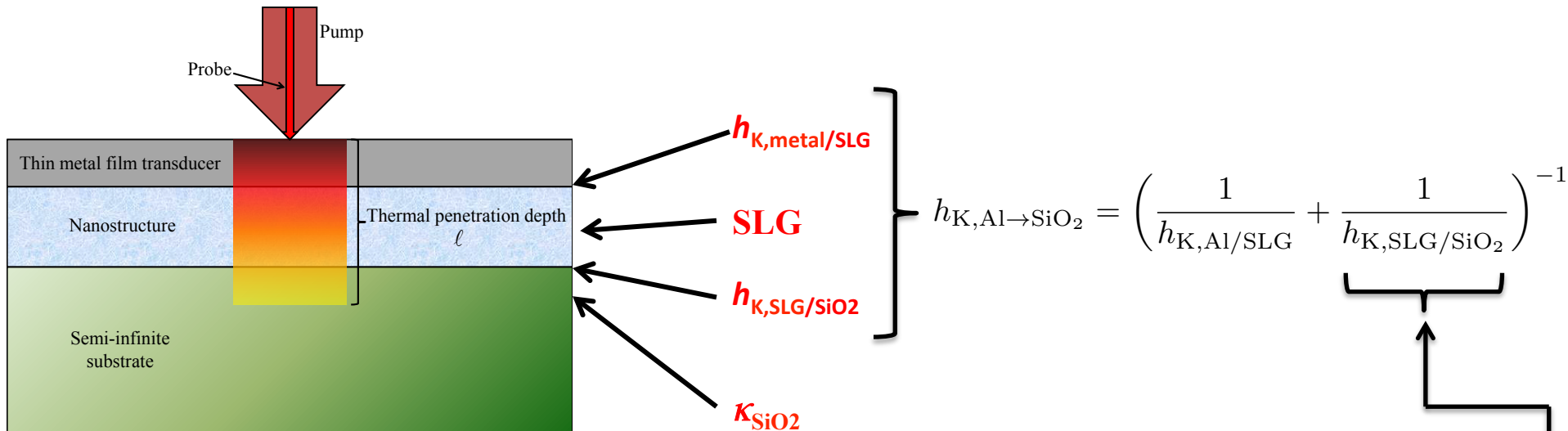
XPS - Scott Walton (NRL)



Raman - Thomas Beechem (Sandia)

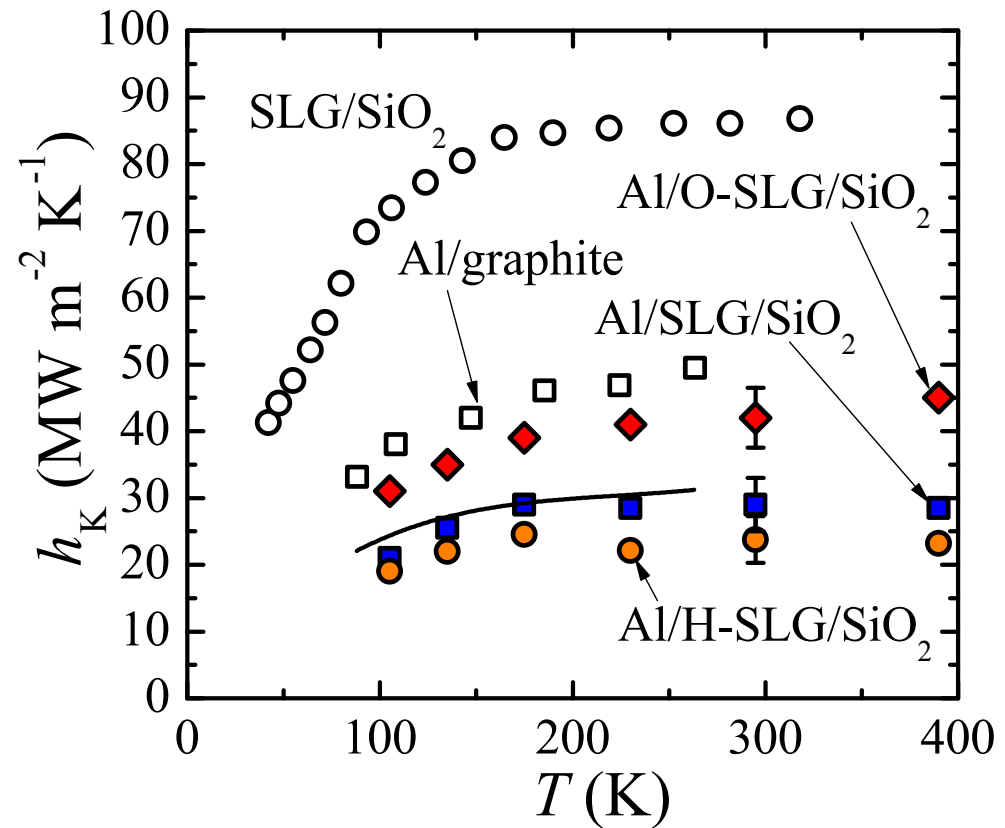
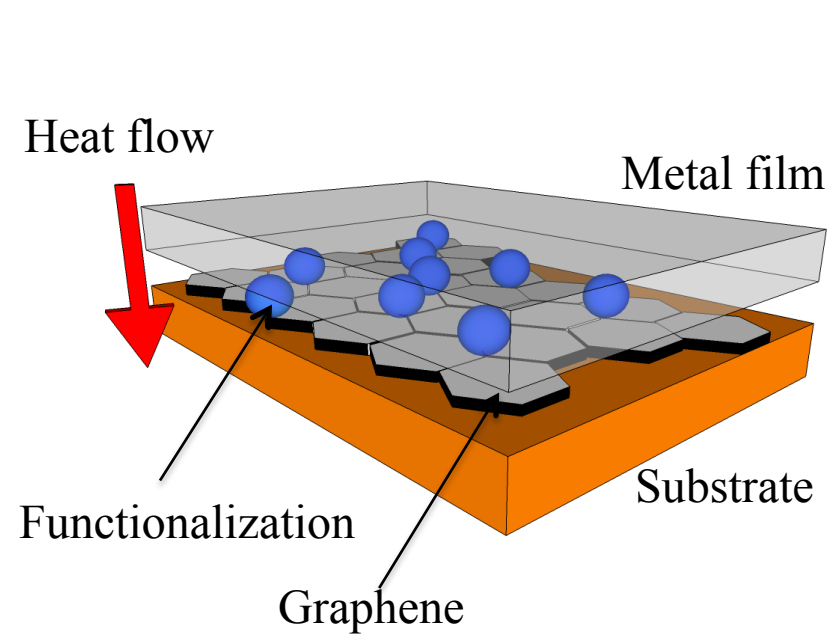


Time Domain ThermoReflectance (TDTR)



- SLG/SiO₂ thermal boundary conductance characterized by Chen *et al.*
Chen, Jang, Bao, Lau, and Dames, *Appl. Phys. Lett.* 95, 161910 (2009)
- Functionalization is reversible after anneal – doesn't disrupt graphene

Al/SLG/SiO₂ conductance



- Hydrogen functionalization only slightly decreases conductance
- Oxygen leads to notable increase in conductance
- Line represents series resistor model of Al/graphite (Schmidt *et al.*) and SLG/SiO₂ (Chen *et al.*)

Schmidt, Collins, Minnich, and Chen, *J. Appl. Phys.* 107, 104907 (2010)

Al/SLG conductance

DMM for metal/SLG (modify DMM for graphite)

$$q_{\text{SLG}} = \frac{1}{8\pi a} \sum_j \int_{k, \text{SLG}} \hbar \omega_j(k) k f v_{2,j}(k) dk$$

Adjust v_2 to match data

$$\frac{v_{\text{O-SLG}}}{v_{\text{SLG}}} \approx 2 \quad v \propto \sqrt{K}$$

$$\frac{K_{\text{O-SLG}}}{K_{\text{SLG}}} \approx \sqrt{2} = 1.4 = 40\% \text{ increase}$$

Realistic dispersion in DMM

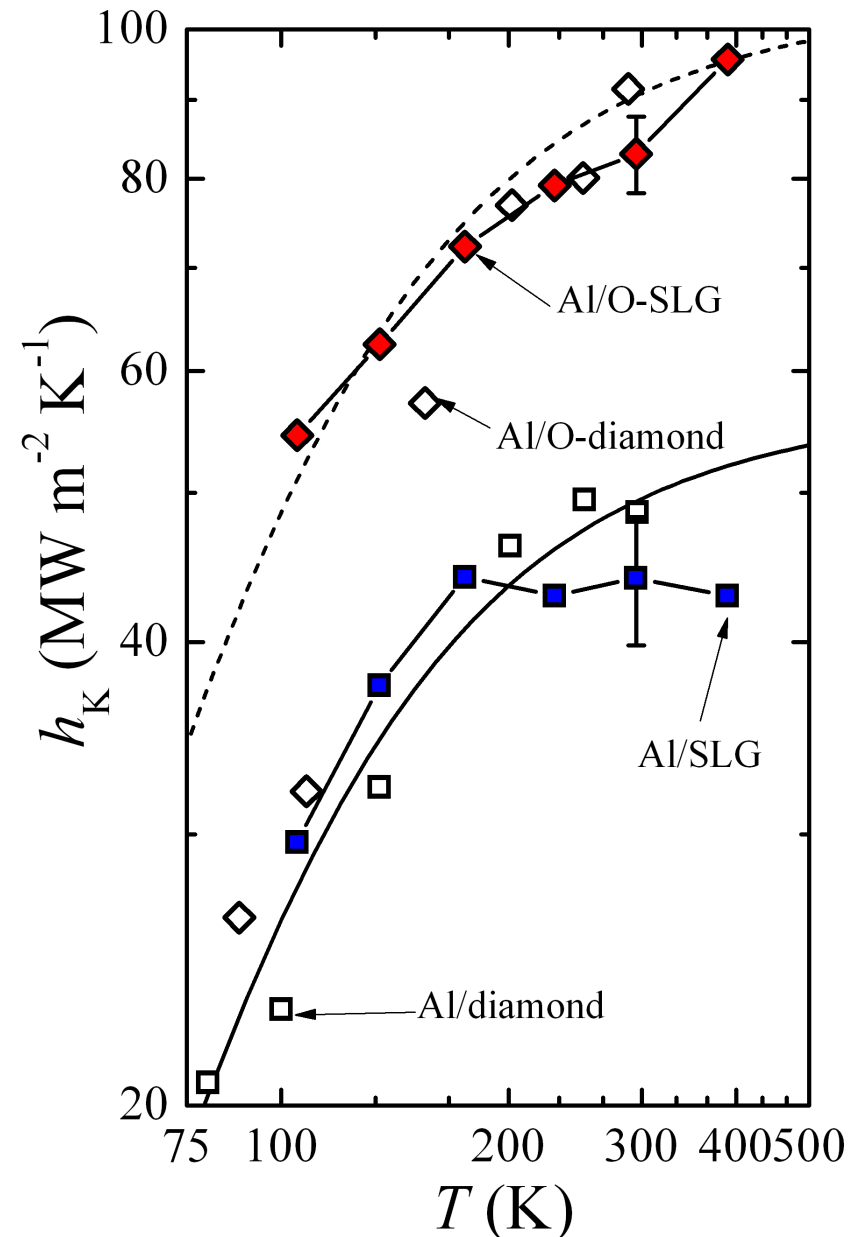
Duda *et al.*, *J. Appl. Phys.* 108, 073515 (2010)

DMM for graphite

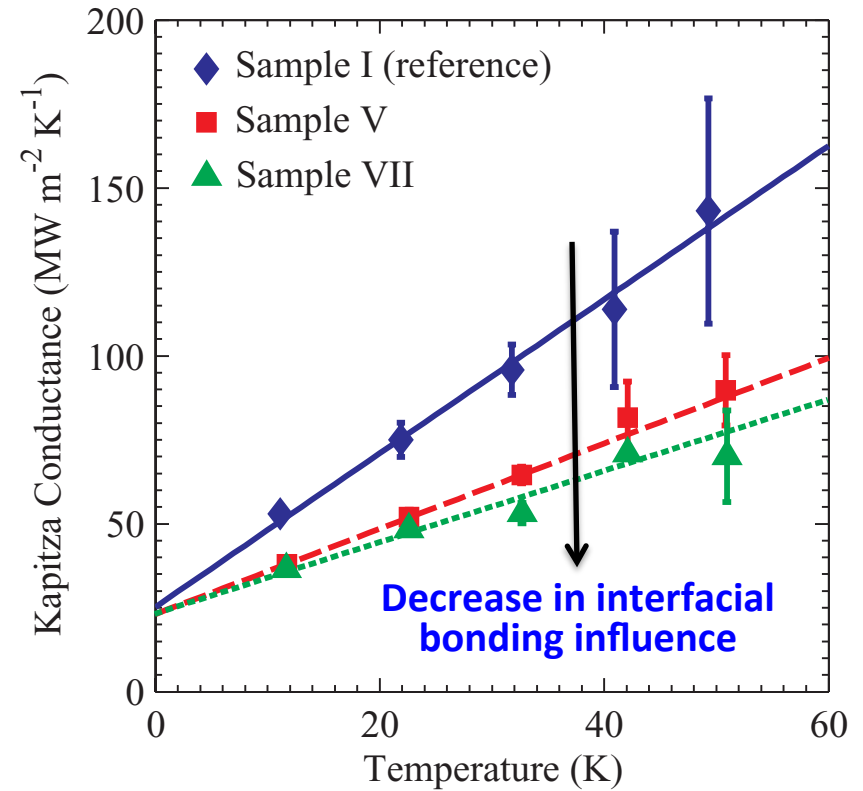
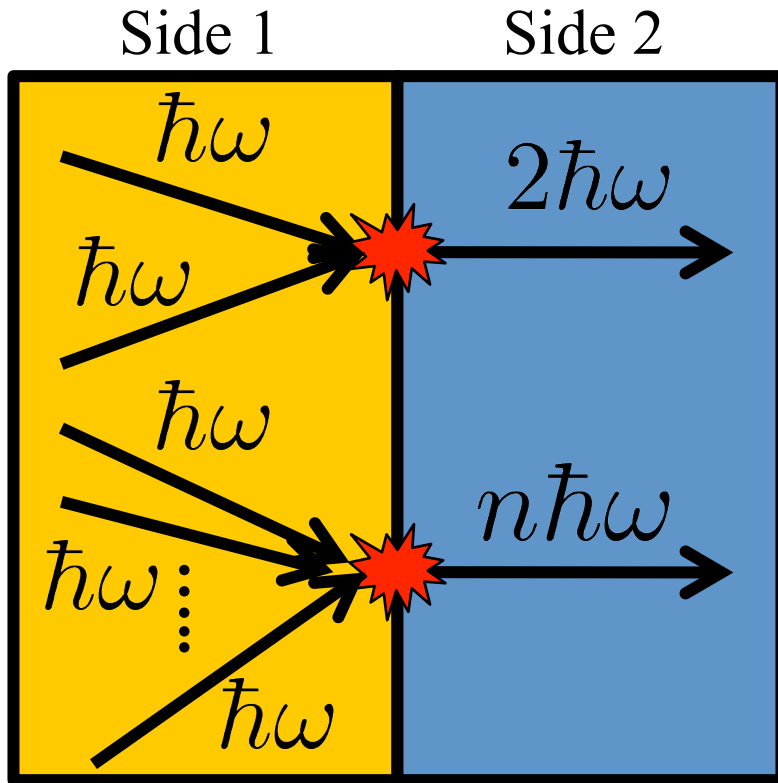
Duda *et al.*, *Appl. Phys. Lett.* 95, 031912 (2009)

Diamond data

Collins and Chen, *Appl. Phys. Lett.* 97, 183102 (2011)

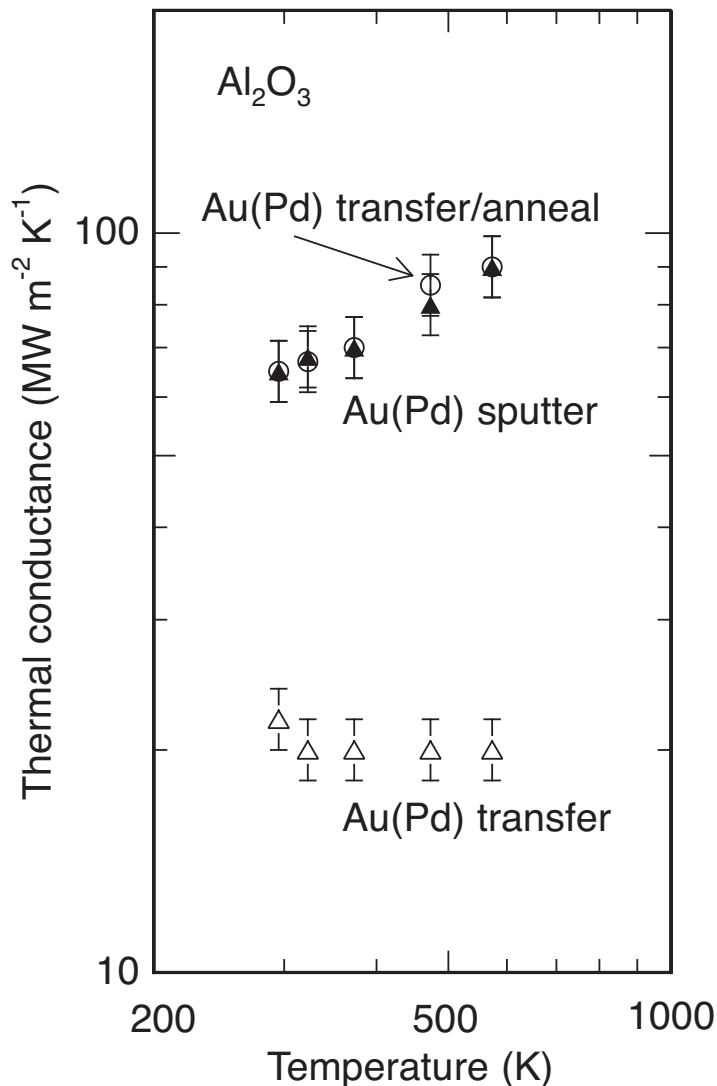


Inelastic scattering at interface?

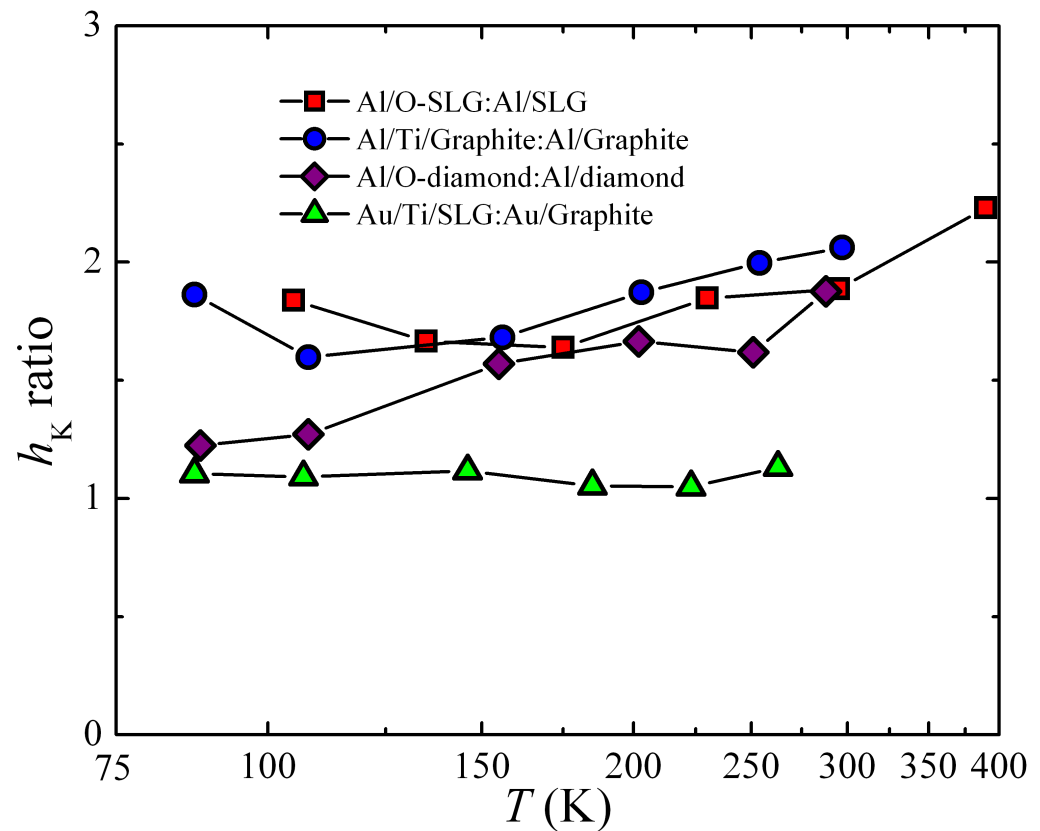


Duda *et al.* *Phys. Rev. B* 84, 193301 (2011)

Inelastic scattering at interface?



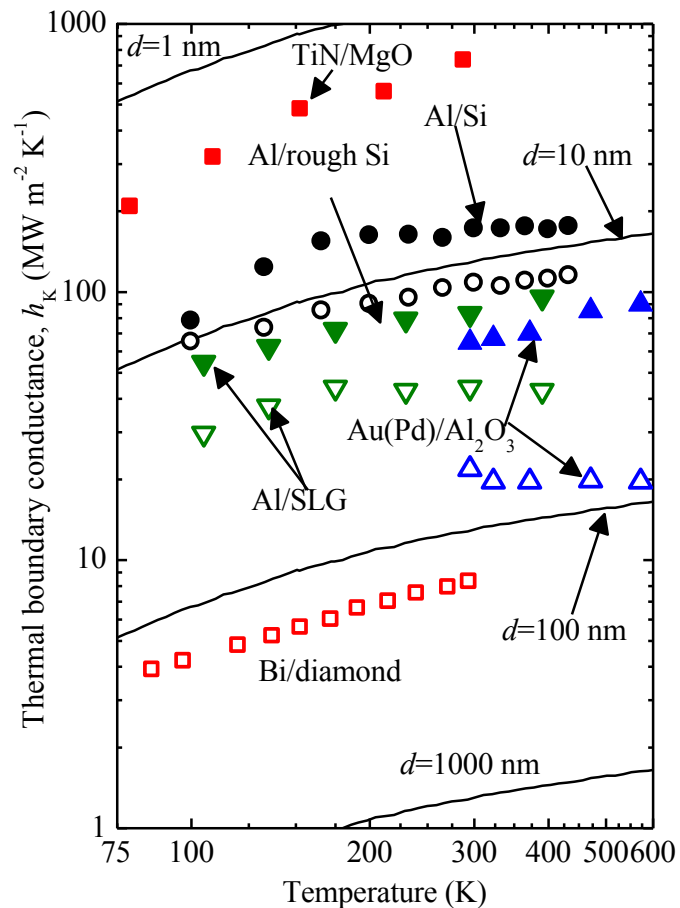
Oh *et al.*, *Adv. Mat.* 23, 5028 (2011)



Hopkins *et al.* *Nano Lett.* 12, 590 (2012)

- **Effects of bonding on thermal conductance across graphene interfaces**
- **Plasma functionalization offers a unique way to manipulate the bonding and change phonon scattering at graphene contacts**
- **Collaborators: Scott Walton (NRL)**
- **Hopkins *et al.*, *Nano Lett.* 12, 590 (2012)**
- **Duda *et al.*, *Phys. Rev. B* 84, 193301 (2011)**

Interfacial quality and bonding can affect thermal conductance



Patrick E. Hopkins

Assistant Professor
Dept. Mech. & Aero. Eng.

University of Virginia
phopkins@virginia.edu
patrickehopkins.com